



Research article

Preterm infant vocal behavior and SpO₂, pulse rate modulation in neonatal intensive care unit

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Abstract

Background: The Japanese premature delivery number still keeps high and the preterm infants must be exposed absolutely different circumstances from the fetuses. In our neonatal intensive care unit (NICU), we focused on preterm infants' vocal behaviors in an incubator, expected possibly spontaneous nonverbal signals of the patient's general conditions and emotion. To seek any significant correlation in the vocal behaviors with the common markers of pathological physiology, this case study with a randomly selected participant was designed to compare the vocal behavior variation with oxygen saturation percentage (SpO₂) and pulse rate (PR) longitudinally for several days, and to explore any modulation during feeding and clinical caring events.

Method: We complied with the approved contents by Institutional Review Board (IRB) Committee. Data from a preterm infant (weight: 1644g, height: 40.4cm, gestational age / recording corrected age: 31 weeks 5 days / 33 weeks 5 days to 34 weeks 2 days, born in July, 2017) were acquired by the longitudinal detector system. Acoustic data (sample rate 24 kHz) were analyzed by sound frequencies to identify crying and caring (suction in this case). SpO₂ and PR were monitored at a limb.

Results and discussion: Acoustic frequency identification visualized the sounds over 500 Hz seemed intermittently repeated per appropriately three hours, like a life rhythm of crying during cares and intervention. Considering the mutual correlation in the serial peaks of "Cry", SpO₂ and PR, it seemed all of them synchronized one another. SpO₂ and PR tended to decrease and increase during crying, respectively. These trends in the patient suggested that there seemed three sequential states, "Stable", "Unstable" and "Recovery". In "Unstable" state, the periods between two adjacent peaks got longer and more variable. SpO₂ histogram distribution shifted to suppression significantly in "Unstable" states. To screen causal possibilities, peak timing between "Cry" and either SpO₂ or PR appeared simultaneous in "Stable" but the SpO₂ and PR delayed to "Cry" in "Unstable" and "Recovery" states. These preliminary hypothetical results should be furthermore investigated to develop diagnostic intelligence by longitudinally monitoring of behavioral and physiological signals.

Keywords: Preterm infant, vocal behavior, oxygen saturation (SpO₂), pulse rate (PR), Neonatal intensive care unit (NICU), developmental disorders.

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1. Introduction

Every year, an estimated 15 million babies are born preterm (before 37 completed weeks of gestation) or low birth weight (less than 2500 grams), many survivors face lifetime of disabilities, including learning disabilities and visual and hearing problems [1]. The advances in neonatal medical treatment and nursing have significantly reduced the mortality rate from 55.3% (1980) to 15.2% (2000) in extremely low birth weight infants (500 g to 999 g) and from 20.7% (1980) to 3.8% (2000) in very low birth weight infants in Japan [2-3]. The prematurity has been reported its high risk of neurodevelopmental disorders including behavioral, cognitive and psychological impairments [25-28]. Early developmental intervention in NICU has been highlighted on preventative effect to these impairments [29-31].

Our previous studies in comparative biology described the essential bio-mechanisms of susceptible period learning for the neuronal development in specific infantile terms [4-13, 22-24]. The neuronal circuit formation in the earlier stages crucially affect physiological and psychological function including social adaptation in the latter stages [32-34]. The critical period learning systems have been addressed the regulation mechanisms by complex interaction between genetic and environmental factors. In particular, it was well-studied that sensory environments significantly impact the basic neuronal developments [4,5,7-16]. To consider preterm infant's functional intervention in the NICU environments, quantitatively and comprehensively diagnostic approaches with physiological and behavioral monitoring have many clinical potentials for the next generation of preventative treatment [9, 35-37].

In the current study, we suggest a daily diagnostic monitoring system quantitatively in a NICU incubator by co-analyzing a preterm patient's behaviors, physiological and the surrounding environments. We explored to infer the patient's clinical condition featuring his/her vocal behavior, particularly "cry" that is supposable as his/her spontaneous expression of negatively emotional signals. The sound data in a NICU incubator were recorded for five days, followed by screening of the characteristic frequencies to discriminate the patient's crying behaviors. We further searched SpO₂ and PR correlation with respiratory relevant behavior, crying. Pulse oximeters display both vital signs, SpO₂ and PR, expressing respirator, neurological or any other disorder problems with diagnostic algorithms in newborns [20-21]. They have become generally critical tools in determining need for oxygen in sick newborns. Routine use of these tools may also aid identification of infants with clinically unrecognized respiratory abnormalities, making it necessary to establish pulse oximeter performance in the neonatal population [19].

2. Methods

2.1. Participant and Measurements

We complied with the approved contents by Saitama Medical University Hospital Institutional Review Board (IRB) Committee. A male participant whose parents agreed was randomly selected for this case study in very preterm patients (28-31 weeks). His birth weight/height and gestational week-age/recording corrected age were 1644g/40.4cm and 31 weeks 5 days / 33 weeks 5 days to

34 weeks 2 days. We observed from July 21st to 25th in year 2017.

2.2. Measurements and data analysis

The acoustic data were longitudinally acquired by a sound level meter (Rion NL-42, Japan, sample rate 24 kHz) in the closed incubator, under non-contact and non-invasive condition. The SpO₂ and PR data at the limb were simultaneously recorded by a clinical pulse oximetry system (N-BSJ, Covidien Nellcor). The sound data collected from preterm infants' data were performed automatic frequency analysis by Matlab customized application. We preliminarily visualized the original sound wave forms of typical "Cry" normalized to scale of [-1, 1] (Figure 1(a)) and processed them into harmonic spectrogram components in the time-frequency graph by fast Fourier transform (FFT) analysis, (Figure 1(b)). Based on our confirmation of the first components generally around 500Hz (a red arrow in Figure 1(b)), the sound over 500Hz was processed by the 6th-order Butterworth high-pass filter, mostly regarded as "Cry" behavioral expression.

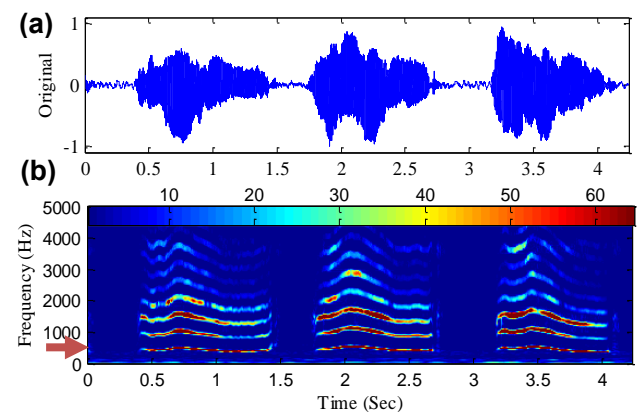


Figure 1. The "Cry" wave forms and the harmonic spectrogram examples of the preterm infant in time-domain and spectrogram.

Note an arrow at 500 Hz around the first component for "Cry" differentiation.

To eliminate further interference noises, the collected duration of sound presence over the minimum threshold, determined from the average per 600 seconds was expressed in a trend graph (Figure 2(a)). To ensure accuracy of this sound detection, we checked the sound data acoustically by our ears.

The SpO₂ and PR were simultaneously monitored at the patient's limb per 4 seconds by a pulse oximeter (Covidien Nellcor) and were made line graphs per 600 seconds (Figure 2(b, c)).

The sound presence duration peaks more than 100 seconds were visually defined and numbered in Figure 2(a).

2.3. Statistics

We used One-way ANOVA (ANALYSIS OF VARIANCE) with post-hoc Tukey HSD (Honestly Significant Difference) Test Calculator by R language (Version: R x64 3.5.1, Platform: x86_64-w64-mingw32/x64 (64-bit)). This test is a post-hoc test based on the studentized range distribution,

can be used to compare 'Stable', 'Unstable' and 'Recovery' states, to find out which specific group's means (compared with each other) are different. The test compared all possible pairs of means. The statistics results of SpO2 and PR differences in the three states were summarized in Table 2.

3. Results

Figure 2 expresses the longitudinal trend results of the sound presence duration (a) with both the SpO2 (b) and PR (c) recorded from the preterm infant cared in a NICU incubator during the five admitted days. The analyzed sound trend firstly seems rhythmic with peaks repeated intermittently per appropriate 180 minutes from 21st to the morning of 23rd and 25th but not from the afternoon of 23rd to 24th. We acoustically confirmed the peaks mostly derived from the infant's crying voice ("Cry"), partially including environmental sounds that were mainly of suction cares given by the nurse at feeding per three hours. Furthermore, the SpO2 and PR trend peaks generally appeared mutually syntonically and well-correlated reciprocally and directly with the "Cry" presence duration peaks, respectively (Figure 2). The summaries of means \pm variances in periods between adjacent peaks of "Cry", the values of SpO2 and PR were 2.8 ± 0.5 [hour], 97.73 ± 2.60 [%] and 165.41 ± 11.62 [bpm], respectively. Meanwhile, the trends of "Cry" and SpO2 possibly suggested three different states, as "Stable", "Unstable" and "Recovery" in turn in Figure 2.

In order to investigate the relevance of the crying behavior with SpO2 and PR, we numbered the "Cry" major peaks more than 100 seconds serially from No.1 to 27 (Figure 2(a)). To consider the causal sequence between vocal behavior, "Cry" and physiological parameters, SpO2 and PR, we compared the peak timing difference as delays of SpO2 ($D_{SpO2-Cry}$) or PR (D_{PR-Cry}) from "Cry" (Table 1) in the three states. In result, we found the "Cry" peaks almost occurred simultaneously with SpO2 and PR peaks in the stable state, however, afterwards in the unstable and recovery states, the "Cry" peaks occurred generally more than 10 minutes before SpO2 or PR peaks. Concerning the frequency period of peaks' occurrence of "Cry", SpO2 and PR, it was suggested around 180 minutes, i.e., near three hours, with the variances about 20 minutes in the stable and recovery states, whereas, longer than three hours (near 200 minutes) with much longer variances during the unstable state.

In further to characterize SpO2 and PR in these three states, we summarized the peak value distribution histograms in Figure 3 and the P-values of One-way ANOVA with post-hoc Tukey HSD test in round robin state comparison in Table 3. Consequently, SpO2 value distributions in 85-100% contributed to significant difference (P -value < 0.05) in comparisons of "Recovery"- "Unstable" and "Stable"- "Unstable". The patient's notable symptoms were confirmed in the clinical logs as stridor, nasal obstruction, stertor sound and retractive breathing in the unstable state at ① and ② of Figure 2.

To search any environmental factors to induce the crying behaviors, we listened to all the original audio files at each peak (No.1~27), and confirmed this patient's crying mostly accompanied by the initial suction sound, with the crying voice lasting for a while frequently after the suction ended.

4. Discussion

The nonverbal expression "Cry", expected as a certain emotional and socio-functional signal in distress [38-39] were attempted to be utilized for the preterm infant's diagnostic intelligence with seeking physiological evaluation in the current clinical report. Frequency filtering trials in the longitudinally recorded sound data, enabled us to elucidate appropriately 180-minute (3-hour) periodic modulation in the NICU incubator in the frequency window over 500 Hz. We acoustically confirmed the peak sound sources were dominated by the infant's crying voices with the nurse's initial sucking at feeding, generally per 3 hours consistently with convention [40]. That said, to hear the self-crying sound and to receive cares led the preterm infant meet much different experiences from the same aged normal fetuses during interactively learning period in the surrounding environments [4-13, 22-24]. For instance, there must be crucial difference of acoustic environments for preterm infants from fetuses at high-frequency sound reduction over 500Hz, that occurred supposedly by uterine structures [16-18]. Since the early developing brainstem dysfunction was reported relevance to later behavioral inhibition as a risk factor for developmental psychopathology [41], the current behavioral, emotional and acoustic environmental monitoring technology may contribute to its preventive intervention for the fundamental neurodevelopment in NICU [42-44]. Simultaneously, this technology has a potential to visualize holistic rhythmicity in life environments and biological functions [45, 47] by the simple methodology. One of the representative early life event rhythms is oral feeding, generally per 3-4 hours [46] during initial development of circadian time-keeping neuro-mechanisms to influence high-risks of psychiatry or any diseases in later life [48].

The current investigated relations between the possibly identified crying behaviors and oxidative saturation and pulse rates significantly suggested hypothetical dependency on the preterm infant's clinical conditions. It was defined as three serially different states, "Stable", "Unstable" and "Recovery" by comparing each period between two adjacent peaks of Figure 2 (Table 1,2 and Figure 3). The peak timing analysis of respiratory-dependent behavior "Cry" with cardiovascular and respiratory parameters SpO2/PR further visualized more than ten-minute delay of SpO2/PR peaks after "Cry" in the "Unstable" and "Recovery" states. This result might inspire a certain biological intermodulation due to developmental or etiological mechanisms [49-53]. Concerning the neonatal OxyCardioRespiroGram, it is simultaneously recording of respiration rate, respiration waveform, instantaneous heart rate, transcutaneous oxygen tension, and relative skin perfusion or "blood flow" from newborn infants [54]. If the OxyCardioRespiroGram is added for the current vocal behavior monitoring evaluation, it must support to obtain the various useful signals.

Such monitoring of multiple kinds of parameters and their mutual correlation with vocal behaviors and physiology may be supportive for novel clinical comprehension in unknown preterm processes much differently from full-term ones [55].

5. Conclusion

The current preliminary evaluation report of longitudinal monitoring system with the preterm infant would attribute to propose a non-invasive intelligence

possibility for high-risk premature infants' developmental diagnosis and future preventive treatments although further investigation and analyses should follow in multiple and variable cases.



Figure 2. A preterm infant's vocal behaviors and SpO2, PR modulation in NICU for 4 days (21st ~25th). (a) Sound presence duration, mainly vocal behavior "Cry", (b) SpO2, (c) PR. According to the trend intermodulation in "Cry" and SpO2, it was tentatively divided into three different states, "Stable", "Unstable" and "Recovery" in each green dotted box. Notable symptoms: ① Wheezing and nasal obstruction at 22:00 on 23rd. ② Retractive breathing and obvious constriction sounds, SpO2 decrease in spite of nasal high flow (NHF) setting at 1:30, 7:59, 15:59 on 24th. ③ SpO2 recovers and stertor sound disappeared after using 30% of oxygen at 16:20 on 24th

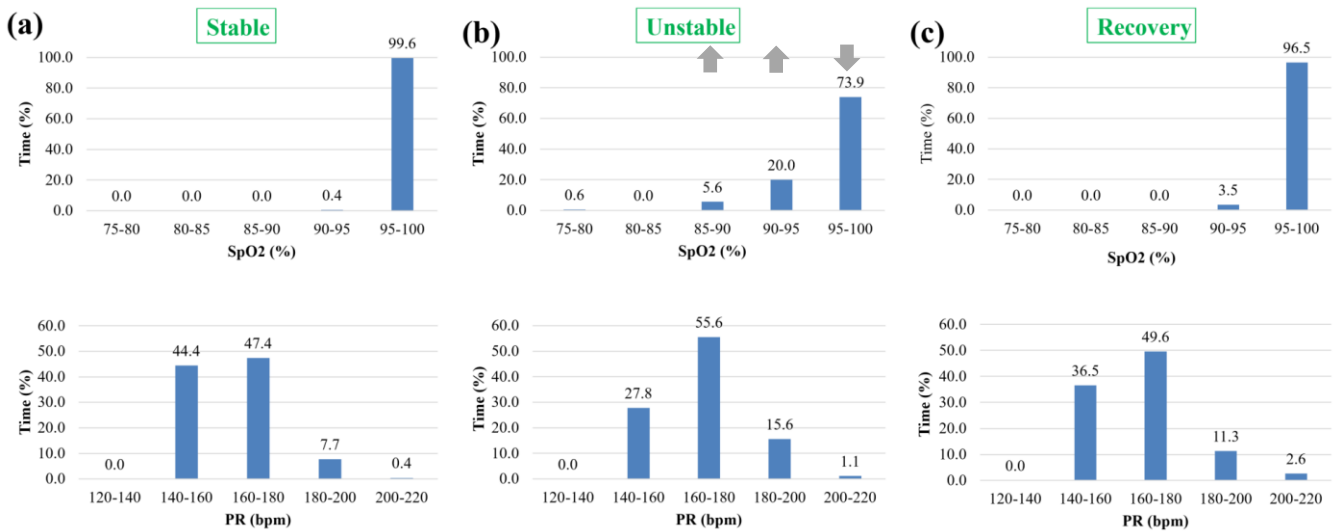


Figure 3. SpO2 and PR distribution histograms in the three states, "Stable", "Unstable" and "Recovery". In "Unstable" state, SpO2 distributions of 95~100 [%] decreased and ones of 85~95 [%] increased significantly (gray arrows in (b)) while PR histograms expressed no significant changes.

Table 1. The peaks' time of crying, SpO2 and PR under three states

States	Peak No.	Peak time [*10 minutes]			Difference of peak time [*10 minutes]			Delay time [*10 minutes]	
		Cry	SpO2	PR	Cry	SpO2	PR	D _{SpO2-Cry}	D _{PR-Cry}
Stable	1	35	36	36				1	1
	2	52	52	52	17	16	16	0	0
	3	73	72	71	21	20	19	-1	-2
	4	89	89	89	16	17	18	0	0
	5	110	111	111	21	22	22	1	1
	6	125	125	126	15	14	15	0	1
	7	143	142	143	18	17	17	-1	0
	8	161	160	161	18	18	18	-1	0
	9	180	180	179	19	20	18	0	-1
	10	197	197	197	17	17	18	0	0
	Mean				18.0	17.9	17.9	-0.1	0.0
	Stdv				2.1	2.4	2.0	0.7	0.9
Unstable	11	269	267	269	72	70	72	-2	0
	12	286	286	286	17	19	17	0	0
	13	307	311	307	21	25	21	4	0
	14	324	325	325	17	14	18	1	1
	15	338	340	340	14	15	15	2	2
	16	346	346	347	8	6	7	0	1
	17	352	353	353	6	7	6	1	1
	18	362	363	363	10	10	10	1	1
	19	379	379	381	17	16	18	0	2
	20	399	402	401	20	23	20	3	2
	Mean				20.2	20.5	20.4	1.0	1.0
	Stdv				18.9	18.5	18.9	1.7	0.8
Recovery	21	415	418	416	16	16	15	3	1
	22	431	431	432	16	13	16	0	1
	23	448	450	449	17	19	17	2	1
	24	467	471	468	19	21	19	4	1
	25	485	487	487	18	16	19	2	2
	26	502	504	504	17	17	17	2	2
	27	521	524	524	19	20	20	3	3
	Mean				17.4	17.4	17.6	2.3	1.6
	Stdv				1.3	2.8	1.8	1.3	0.8

Table 2. The SpO2 and PR differences in the three states analyzed by One-way ANOVA with post-hoc Tukey HSD Test.

P-value	SpO2(%)					PR(bpm)				
	95~100	90~95	85~90	80~85	75~80	200~220	180~200	160~180	140~160	120~140
Recovery-Unstable	0.00002*	0.00203*	0.04938*	-	0.51544	0.89277	0.84698	0.76405	0.80941	-
Stable-Unstable	0*	0.00001*	0.01890*	-	0.40623	0.72736	0.17455	0.46082	0.13286	-
Stable-Recovery	0.33918	0.33035	1	-	1	0.48422	0.53682	0.93765	0.50097	-

- ;no data, *; significant (p<0.05).

Conflict of interest

The authors declare no conflicts of interest

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