

# 1

## Aspects of the Semantics of Logophoricity: Comparison of Malayalam with Yoruba and Japanese

Yo Matsumoto

### 1 Introduction

Logophoric pronouns are pronouns that characteristically refer to the person whose speech or thought is reported. Since Hagège (1974) and Clements (1975) first examined them in West African languages three decades ago, there have been increasing interests in expressions coding logophoricity, and their grammatical properties (e.g., the grammatical environments logophoric pronouns and other markers occur) have been examined extensively in the literature (see Culy 1994, Huang 2000). This paper focuses on their semantics (Kuno 1976, Sells 1987, Safir 2004a, b, Oshima to appear a, b). I first establish two major semantic properties of logophoric pronouns on the basis of data from Japanese and Yoruba. I will then show that Malayalam reflexive *taan* has those properties, but in slightly different ways. I will argue that the differences can be captured by parameterizing two constraint proposed.<sup>1</sup>

---

<sup>1</sup> This paper goes back to the term paper that I wrote in 1987 for K. P. Mohanan's Structure of Malayalam class at Stanford University, which I took with my classmate Tara Mohanan. This paper would have never existed without the Mohanan family; it grew as I kept asking Mo and Tara questions at their home, while Amu was playing (and waiting) around us. I would also like to thank Desola Amos and Femi Opadiji for Yoruba data. An earlier, unpublished version of this paper has often been cited as Matsumoto (1990), whose contents are summarized in Kay (1992). In the present version I have included discussions of related works published after 1990, but my basic claims remain the same.

In one class Mohanan once proclaimed that the initial footnote of a paper should say "all of the remaining errors found in this paper should be attributed to my teachers, because they educated me." So, Mo, should I say that here?

## 2. Semantic properties of logophoric pronouns

In many West African languages such as Ewe and Abe certain pronouns are used in the complement clause of the verbs of speech and mental activities to refer to the “logophoric individual” whose speech or thought is represented. An example from Yoruba is given in (1) (see Bamgbose 1966, Adesola 2005).

- (1)  $\acute{o}_i$  ní { $\acute{o}_{w_i}/\delta un_i$ } fẹ́ẹ́ lọ  
 He say he/self like go  
 ‘He said that he wanted to go.’

In this language the pronoun  $\delta un$  is used in the complement of the verb of speech or thought to refer to the person whose speech or belief is represented. The pronoun  $\acute{o}$  (for third person singular), often called a weak pronoun or a clitic pronoun (Pulleyblank 1986), is interpreted in a complementary fashion in this context.

It has also been suggested that long-distance reflexives in languages such as Japanese, Chinese and Icelandic also have logophoric properties. Take Japanese reflexive pronoun *zibun*, whose discourse properties have been discussed much in the literature (Kuno 1972, 1978, 1986a, Kameyama 1984, Iida 1996, etc.). Unlike Yoruba  $\delta un$ , Japanese *zibun* can have as an antecedent not just the subject of the verb of speech or thought, but any subject (or some other argument in some cases), as in (2).

- (2)  $Zyon_i$  wa  $Taro_j$  ga  $zibun_{i,j}$  o hihan shita to itta.  
 John Top Taro Nom self Acc criticize did Comp said.  
 ‘John said that Taro criticized him/himself.’

It has been argued that *zibun* entails that the antecedent’s “point of view” is taken in the discourse, at least in its long-distance use (e.g., Kuno 1978). This has been related to logophoricity (e.g., Kuno 1978, Sells 1987), though some have doubted real connection (Culy 1997).

In this paper, I argue that logophoric pronouns (including “point-of-view reflexives”) tend to have the following two properties—properties that they share with the first person pronouns: they represent 1) the primary deictic center, and 2) the source of identification. I will show that differences among languages can be captured by parameterizing constraints coming from these properties.

### 2.1 Logophoric Individual as the Primary Deictic Center

Events that are linguistically described can have a deictic center or the

---

location from which events are observed, which I call PIVOT, after Sells (1987). One obvious PIVOT is the location of the speaker at the time of utterance, described by the adverb *here*, which I call the primary PIVOT (P-PIVOT). PIVOT can be placed on someone other than the first-person speaker, in which case I will use the term the secondary PIVOT (S-PIVOT).

The uses of deictic expressions crucially involves PIVOT, though the way they make reference to it differs from expression to expression. For example, in its basic use the verb *come* requires that the speaker or the hearer be at the goal of motion at the time of utterance or at the time of motion event; *go* requires the speaker not be present at the goal of motion at the time of utterance but not at the time of the motion event (Fillmore 1997). Due to these restrictions the goal of *go* cannot be where P-PIVOT is, but that of *come* can, as shown in (3).

(3) John {came/#went} here.

The situation is more complicated when *come* and *go* appear in the complement clause of a verb of speech (Ohye 1980), as in (4).

(4) John says that Susan once {came/went} to him.

In the event described by the complement clause, the person described as reporting the event, in this case, John, functions as S-PIVOT. The verb *come* allows the goal to be where this S-PIVOT is, as shown in (4). The verb *go*, in contrast, cannot be used in reference to S-PIVOT. If it were, P-PIVOT could be at the goal of *go*, as long as S-PIVOT is not located there. However, *go* cannot be used in such a case, as shown in (5).

(5) John said that his daughter once {came/#went} here from where he was at that time.

Other PIVOT-sensitive expressions include demonstratives like *this* and *that*. English demonstratives are always used in reference to the location of the first-person speaker at the time of utterance (P-PIVOT). Consider the phrases *on this side of* and *on that side of*.

(6) There is a cat on {this/that} side of John.

These expressions crucially involve three participants: an object whose location is indicated (e.g. a cat above), called FIGURE; an object or place in reference to which the location of FIGURE is described (e.g. John above), called GROUND; and finally, the syntactically unexpressed first-person speaker, from whose point of observation the choice of *this* and *that* is determined. This first-person speaker must be distinct from the GROUND, since the GROUND provides the dividing line between the *this* side and the *that* side, which must lie at some distance from the speaker. For this reason

the speaker cannot be the GROUND, as shown in (7a). In addition, it is logically impossible that the speaker is on the far side of the GROUND, seen from the speaker. Therefore it cannot be the FIGURE of *on that side of*, as shown in (7b).

- (7) a. #There is a cat on that side of me.  
 b. #I am now on that side of the river. (with the deictic reading of *that*)

This means that the GROUND of *on this/that side of* is P-PIVOT-incompatible, and so is the FIGURE of *on that side of*.

Demonstratives like *this* and *that* are not interpreted in reference to S-PIVOT (except in free indirect speech). In the following sentence, the point of observation can only be P-PIVOT; it cannot be interpreted with respect to the S-PIVOT, John.

- (8) John didn't know that there was a cat on that side of Bill.

It has been argued that some logophoric pronouns, like Japanese *zibun*, function as deictic center (Kuno 1976, 1986a, Sells 1987, Iida 1996). I argue that logophoric pronouns in general tend to be sensitive to the constraint in (9).

- (9) P-PIVOT constraint: Logophoric pronouns is treated as P-PIVOT, and therefore they must be compatible with P-PIVOT requirement of other expressions.

I will illustrate this constraint by Japanese *zibun*. (The following is what I take to be a more accurate account of Japanese data than is usually given in the literature. Some of the data given have been known since Kuno 1972.)

First, consider the *iku* 'go'/'*kuru* 'come' contrast with respect to *zibun*. In the complement clause in (10), Taro is only an S-PIVOT, and therefore he can be at the goal of *kuru* but also of *iku*.

- (10) Taro<sub>i</sub> wa boku no ko ga kare<sub>i</sub> no tokoro ni {itta/kita} to iu.  
 Taro Top I Gen child Nom he Gen place Go went/came Comp say  
 'Taro<sub>i</sub> says that my child {went/came} to him<sub>i</sub>.'

However, if *zibun* is used, Taro is treated as P-PIVOT. Therefore he can be at the goal of *kuru* only, as in (11); the goal of *iku* is P-PIVOT-incompatible, and therefore this verb is ruled out if the referent of *zibun* is at the goal.

- (11) Taro<sub>i</sub> wa boku no kodomo ga zibun<sub>i</sub> no tokoro ni {\*itta/kita} to itta.  
 Taro Top I Gen childNom self Gen place Go went/came said  
 'Taro<sub>i</sub> said that my child {went/came} to him<sub>i</sub>.'

Other evidence comes from the use of *kotira/atira gawa* 'this/that side'. Note the contrast in (12).

- (12) a. \*Zyon<sub>i</sub> wa [kawa no atira gawa ni zibun<sub>i</sub> ga iru] to itte iru.  
 John Top river Gen that side Loc self Nom exist Comp say Asp  
 ‘John<sub>i</sub> says that he<sub>i</sub> is on the other side of the river.’
- b. Zyon<sub>i</sub> wa [{\*zibun<sub>i</sub>/kare<sub>i</sub>} no atira gawa ni  
 John Top {self/he} Gen that side Loc  
 neko ga iru] no o sir-anai.  
 cat Nom exist Nmlz Acc know-Neg  
 ‘John<sub>i</sub> does not know that there is a cat on that side of him<sub>i</sub>.’

*Zibun* is excluded in (12) because the referents of *zibun* are at the P-PIVOT-incompatible locations.

Such P-PIVOT-sensitivity is not limited to the complement of the verbs of speech or thought. *Zibun* has to respect the P-PIVOT constraint even when it has an intra-clause antecedent, as shown in (13).

- (13) Zyon<sub>i</sub> wa sibiraku ima no {kare<sub>i</sub>/\*zibun<sub>i</sub>} no atira-gawa ni ita.  
 John Top for.a.while now Gen he/self Gen that-sideLoc was.  
 ‘For a while, John was on that side of him (=his position) now.’

Relevance of P-PIVOT to logophoric pronouns in West African languages has not been well-explored (Huang 2000). Culy (1997) even claims that logophoric pronouns in these language do not represent “point of view” unlike Japanese. In fact, Yoruba *òun* is also sensitive to the P-PIVOT constraint. (14) shows that the referent of *òun* cannot be at the goal of the verb *lọ* ‘go’; the nonlogophoric *ó* creates no problem. (See below for the circumstances under which *ó* is used in complement clauses.)

- (14) Jónù ko rántí pé Olú lọ sí ìhí tí {ó/#òun} wà  
 John Neg remember Comp Olu go at city Rel he/self exist  
 ‘John does not remember that Olu went to the city where he was.’

## 2.2 Logophoric individual as the Source of Identification

Second, consider the notion of the source of identification. The first person speaker is usually the source of expressions used to describe an entity. Consider the sentences in (15).

- (15) a. Judah kneeled to a minister of Egypt.  
 b. Judah kneeled to his brother Joseph.

In a situation a speaker can say (15a), s/he can choose to use (15b) if s/he believes or knows that the minister of Egypt that Judah kneeled to was his own (younger) brother Joseph. Note that such a description is possible irrespective of the belief/knowledge on the part of the referent of the

pronoun *his* (Judah). (15b) can be used even when Judah did not know the identity of the minister, as in the Joseph Story in the Old Testament

The first person pronoun is different in this respect. The first-person pronoun refers to the speaker himself/herself, whose description/identification is reflected in the wordings of the utterance. Therefore whenever this pronoun is used, it refers to the person that its referent identifies as himself/herself. If the referent of the first person pronoun did not know/believe that the minister is his/her own brother, s/he would not (or could not) say (16b).

- (16) a. I kneeled to a minister of Egypt.  
b. I kneeled to my brother Joseph.

Things are a little more complicated, however, since what one says can be different from what one believes or knows. Suppose someone, say Abraham, has a wife named Sarah, but for some reason he wants to hide the fact she is his wife, and tells a lie, as in (17).

- (17) Abraham: “Sarah is my sister.”

Here *my sister* does not reflect his belief. He has *presented* or described Sarah as his sister to the hearer (he wants the hearer to believe so). This means that *my* in (17), or the first person pronoun in general, can be more accurately regarded as referring to the person who its referent (speaker) *presents* as identical with himself/herself.

Logophoric pronoun, I claim, can be similar to the first-person pronoun in this respect: logophoric pronouns tend to be sensitive to the constraint in (18).

- (18) Self-identification Constraint: A logophoric pronoun must represent a person whom its referent a) believes to be himself/herself, or b) verbally presents as identical with himself/herself.

Note the use of disjunction in this constraint. I will show that logophoric pronouns can differ in the contexts in which belief or presentation counts.<sup>2</sup>

A claim similar to this one has been independently made by Safir (2004a, b). Based on Adesola’s (2005) observation concerning Yoruba *òun* in a complement of a verb of thought, Safir claims that logophoric pronouns are interpreted under the *de se* reading, or “self-conscious self-reference on the part of a reported speaker.” The present claim differs from his in that possible discrepancy between what one believes and what one says is recognized.

Yoruba pronoun *òun* is sensitive to a version of Self-identification

<sup>2</sup> The disjunction here is reminiscent of Sells’ (1987) distinction between SOURCE (speech source) and SELF (“mind” reported) as elements of logophoricity

Constraint. First, consider (19).

- (19) Júdà<sub>i</sub> {sò/rò} (wí)pé òun<sub>i</sub> pàdé àbùrò {(#)òun<sub>i</sub>/rè<sub>i</sub>}.  
 Judah say/think that self meet sibling self/his  
 ‘Judah<sub>i</sub> says/thinks that he<sub>i</sub> met his<sub>i</sub> brother.’

In (19), *òun* is acceptable only if Judah in fact believes/knows that he met his brother when a verb of thought is used, and only if Judah in fact says so when a verb of speech is used. Under the Joseph/Judah scenario in which he did not know it and did not say so, *òun* is not acceptable (the judgement given in parentheses in (19) indicates the acceptability in the intended scenario). The nonlogophoric *rè* (the genitive form of *ó*) creates no problem.

An important feature of Yoruba *òun* is that when it occurs in the complement of a verb of speech, it is what is presented, and *not* what is believed, that counts. This can be shown in the sentences like (20).

- (20) Abraham said that his wife Sarah was his sister.

I consider two major readings of this sentence. In one case, Abraham said that he had married his own sister. In the other case, he lied (the Abraham/Sarah scenario above). Most importantly, in the lying scenario, *his wife* matches his belief, but not what he said (i.e., what he presented as his belief); *his sister* does not match his belief but matches what he presented as his belief.<sup>3</sup>

Yoruba expresses this situation in the following way.

- (21) Ábúráámù<sub>i</sub> sò (wí)pé àbùrò {òun<sub>i</sub>/#rè<sub>i</sub>}ni ìyàwó {(#)òun<sub>i</sub>/(✓)rè<sub>i</sub>}.  
 Abraham say Comp sibling self/his Cop wife self/his  
 ‘Abraham<sub>i</sub> says that his<sub>i</sub> wife is his<sub>i</sub> sister.’

In (21), in the Abraham/Sarah scenario ‘his’ in ‘his wife’ cannot be *òun* but ‘his’ in ‘his sister’ must, suggesting that *òun* represents what the speaker presents and not what s/he in fact believes. (If Abraham said “my wife is my sister,” then both must be *òun*.)

Irrelevance of John’s belief in the speech complement can also be shown in the situation like the following (McCawley 1970).

- (22) a. John: “I didn’t kiss Mary.”  
 b. John says that he didn’t kiss the girl that he kissed.

In reporting the utterance like (22a), the first-person speaker can say (22b) if s/he believes that Mary is in fact the person John kissed. Now, there are two

<sup>3</sup> Another reading is that he had a recognition problem (he mistook his wife to be his sister). I will ignore this reading to avoid unnecessary complication.

major situations in which (22b) might be used. In one case John has a memory problem: he somehow believes that he didn't kiss Mary, and he said so. In this case, the phrase *the girl that he kissed* neither reflects John's belief about the girl nor does it match his verbal presentation of her. In the other case he is telling a lie: he in fact believes (or knows) he kissed Mary but he says to the contrary. In this case, the phrase does match his belief, but not his verbal presentation.

The Yoruba version of (22b) is given in (23).

- (23) Jónù<sub>i</sub> sọ (wí) pé òun<sub>i</sub> ò-pàdé ọmọ̀bìrìn tí {#òun<sub>i</sub>/ó<sub>i</sub>} pàdé  
 John say that self Neg-meet girl that self/he meet  
 'John<sub>i</sub> says that he<sub>i</sub> didn't meet the girl that he<sub>i</sub> met.'

Here, *ó* is good but *òun* is not. Most importantly, *òun* is unacceptable both in the memory problem scenario and the lying scenario, suggesting that *òun* must match the described speaker's verbal presentation. (One informant said that (23) might be interpreted as John saying that he does not feel like he in fact met the girl, a reading compatible with the constraint above.)

So, in Yoruba, *òun* must refer to the person whom its referent (as a speaker) presents as identical with himself/herself when used in the complement of a verb of speech, and to the person whom its referent believes to be identical with himself/herself when used in the complement of a verb of thought (as in (19)).

Not all logophoric pronouns are sensitive to this constraint. Consider Japanese *zibun* in (24).

- (24) a. Yuda<sub>i</sub> wa zibun<sub>i</sub> no otoooto ni hizamaduita.  
 Judah Top self Gen younger.brother Dat kneeled  
 'Judah kneeled to his younger brother.'
- b. Zyon<sub>i</sub> wa [PRO<sub>i</sub> [zibun<sub>i</sub> ga kisu sita] hito ni  
 John Top self Nom kiss did person Dat  
 kisu shinakatta] to {itte/omotte} iru  
 kiss didn't.do Comp say/think Asp  
 'John<sub>i</sub> says/thinks that he<sub>i</sub> didn't kiss the girl that he<sub>i</sub> kissed.'

Unlike *òun*, Japanese *zibun* is fully acceptable in (24a) under the Joseph/Judah scenario. It is also fully acceptable in (24b), both in the memory problem scenario and the lying scenario with *itte* 'say'. These observations clearly run counter to Oshima's (to appear b) claim that Japanese *zibun* is interpreted in the *de se* reading.<sup>4</sup>

<sup>4</sup>Oshima's claim is based on the following sentence.

- (i) Zyon wa [zibun ga boku o tasuke-ta] to omotte i-ru.  
 John Top self Nom I Acc help-Pst Comp think Asp-NPst

### 3. Malayalam *taan*

In this section I show that a Malayalam reflexive *taan* has properties similar to Japanese *zibun* and Yoruba *òun*.

#### 3.1. A Previous Analysis: Syntactic Conditions

Malayalam has two reflexives *taan* and *swa-* (Mohanán 1982a). *taan* is a Dravidian reflexive that is shared by other Dravidian languages, while *swa-* is Sanskrit in origin. In this paper I will focus on *taan*, and refer to *swa-* only in passing for comparison, since the former is the one that has logophoric properties. Some dialectal differences are known as to the use of *taan* as a reflexive (Asher & Kumani 1997). In this paper I will examine this reflexive as used by the two major speakers I consulted—K. P. Mohanán and Tara Mohanán.

The syntactic conditions of *taan* have been discussed by Mohanán (1982a,b), Asher & Kumani (1997), and Jayaseelan (1999a, b). In Mohanán's analysis of his own dialect, *taan* must satisfy the following conditions.

- (25) a. C-commanding Subjecthood Condition: *taan* must be bound by a c-commanding subject.  
 b. Disjoint Reference Condition (Anti-Locality Condition): *taan* must be free in the minimal NP or S that contains it.

These are supported by the following sentences. (26a) shows that *taan* (as an argument of a verb) must be free in the S that contains it, while (26b) shows that it can have an antecedent within the minimal clause if it is an NP contained in an NP. Note that the antecedent does not have to be the subject of the verbs of speech or thought, like Japanese but unlike Yoruba, as long

---

'John thinks that he helped me.'

He claims that this sentence is not acceptable when amnestiac John happened to read in his biography that someone called John helped the speaker without knowing that he is in fact himself. If this is the case it appears to be due to the nature of the complement of the verb of believing, which appears to favor *de se* interpretation. The following sentence, in which *yonda* 'read' is used instead, can be naturally interpreted in the non *de se* reading.

- (ii) Zyon wa [zibun ga kanozyo o tasuketa] koto o yonda.  
 John Top self Nom she Acc helped Comp Acc read

'John read that he helped her.'

The present analysis is at odds with Oshima's claim that *kare* 'he', if used in place of *zibun* in (i), does not have *de se* reading, since . My judgement, however, is that *kare* in this position cannot be have the upper subject as an antecedent in any reading, the judgement shared by Kuno (1986).

as the conditions above are satisfied, as shown in (26c).

- (26) a.  $joon_i$  [ $meeri_j$   $\underline{tanne}_{i,*j}$   $nulli$ ]  $ennə$   $paraŋŋu$ .  
 John Mary self.Acc pinched Comp said  
 ‘John said that Mary pinched him/\*herself.’
- b.  $joon_i$   $meeriye_j$   $\underline{tante}_{i,*j}$   $wiittl$   $weccə$   $umma$   $weccu$ .  
 John Mary.Acc self.Gen house.Loc at kiss placed  
 ‘John kissed Mary at his/\*her house.’
- c.  $raajaawə_i$  [ $\underline{tanne}_{i,*j}$   $aaraadhik'k'unna$ ]  $aale_j$   $kaŋtu$ .  
 king.Nom self.Acc worship-Rel person.Acc saw  
 ‘King<sub>i</sub> saw a person<sub>j</sub> who worships self<sub>i,\*j</sub>.’

There are certain counterexamples to the subjecthood condition, however (see Mohanan 1982, Jayaseelan 1999). (27) are examples, in which *taan* can have a non-subject antecedent that represent the source of the thought represented in the clause that contains it, though acceptability according to speakers.<sup>5</sup>

- (27) a. % $Moohanante$   $abhipraayaattil$   $\underline{tanne}$   $kuŋŋi$   $dhiiran-aanə$   
 Mohanan.Gen opinion.Loc self.Gen child brave.one-Cop  
 ‘In Mohanan’s opinion, his child is brave.’
- b. % $[\underline{tanne}$   $kuŋŋik'k'ə$   $praisə$   $kitttyaŋə$   $joonne$   $santoosippiccu$   
 self.Gen child.Dat prize get.Nm John.Acc happy.Caus.Pst  
 ‘That his child won the prize made John happy.’

These examples suggest that Malayalam *taan* is logophoric. In fact Jayaseelan (1999b) claims that *taan* can sometimes have an antecedent outside the sentence that contains it, and claims that such uses are logophoric in nature. In this paper, I claim that even sentence-bound, “normal” uses of this reflexive has the semantic properties of logophoric pronouns seen above.

### 3.2 Logophoric properties of *taan*

#### 3.2.1 Deixis

Malayalam *taan* has the P-PIVOT constraint as a necessary condition. Malayalam has several P-PIVOT-sensitive expressions. The P-PIVOT constraint above predicts that unacceptability occurs when *taan* conflicts with the P-PIVOT compatibility requirement of other expressions.

An example can be seen in the verbs *waruka* ‘come’ and *pookuka*

<sup>5</sup> In Yoruba and some other West-African languages the source argument of the verb ‘hear’ can be an antecedent of a logophoric pronoun (see Culy 1994). This is not (easily) possible in Malayalam.

‘go’ (Asher & Kumari 1997: 349). Consider (28).

- (28)  $joon_i$   $iwi\tau_e$  { $wannu/*pooyi$ }  
 John here came/went  
 ‘John came/\*went here.’

As can be seen from (28), the Goal argument of *waruka* can be P-PIVOT, while that of *pookuka* cannot. Consider now (29).

- (29) [ $\tau_{anne}_i$   $ka\tau_{aan}$  { $wanna/*pookunna$ }]  $kuttika\tau_{eyum}$   $joon_i$   $karayippiccu$ .  
 self see-ing come-Rel/go-Rel children.Acc John cry.Caus.Pst  
 ‘John<sub>i</sub> made the children who {came/\*went} to see him<sub>i</sub> cry.’

In (29), the referent of *taan* cannot be at the goal of *pookuka*, which is P-PIVOT incompatible, as predicted by the P-PIVOT constraint.

Another kind of PIVOT-sensitive expression in Malayalam is the verbs of giving *koṭukkuka* and *ṭaruka* (Mohanan 1983, Asher & Kumari 1997), which are similar to Japanese verbs of giving *ageru* and *kureru* (see Kuno 1986a). *koṭukkuka* requires its recipient (Dative Object) argument to be the third person, whereas there is no restriction on the agent (Subject). *ṭaruka*, in contrast, requires the recipient argument to be the first or the second person, while there is no restriction on the subject. These are seen in the following examples.

- (30) a.  $\tau_{aan}/\tau_{ii}/joon$   $meerik'k'ə$   $pustakam$  { $koṭuttu/*\tau_{annu}$ }.  
 I/You/John Mary.Dat1 book gave<sub>to3</sub>/gave<sub>to1,2</sub>  
 ‘I/You/John gave a book to Mary.’
- b.  $\tau_{ii}/meeri$   $enik'k'ə$   $pustakam$  { $*koṭuttu / \tau_{annu}$ }.  
 you/Mary I.Dat1 book gave<sub>to3</sub>/gave<sub>to1,2</sub>  
 ‘You/Mary gave a book to me.’
- c.  $\tau_{aan}/meeri$   $einak'k'ə$   $pustakam$  { $*koṭuttu / \tau_{annu}$ }.  
 I/Mary you.Dat1 book gave<sub>to3</sub>/gave<sub>to1,2</sub>  
 ‘I/Mary gave a book to you.’

Thus, the recipient argument of *koṭukkuka* is P-PIVOT-incompatible. (The agent argument of *ṭaruka* is P-PIVOT-incompatible except when the recipient argument is the second person, but I will not discuss this here.)

Now consider the following.

- (31) a.  $joon_i$  [ $meeri$   $\tau_{annik'k'ə}_i$  { $*koṭutta / \tau_{anna}$ }]  
 John Mary self.Dat<sub>1</sub> gave<sub>to3-Rel</sub>/gave<sub>to1,2-Rel</sub>  
 $kaarə$   $rippyar$   $cey\tau_u$ .  
 car-Acc repair did  
 ‘John<sub>i</sub> repaired the bicycle that Mary gave to him<sub>i</sub>.’

- b.  $joon_i$  [ $meeri$   $tanik$ ' $k$ ' $\text{e}_i$   $pustakam$  { $*kotuttu/tannu$ }]  $enn\text{e}$   $para\eta\eta u$ .  
 John Mary self.Dat book gave<sub>to3</sub>/gave<sub>to1,2</sub> Comp say-Past  
 'John<sub>i</sub> said that Mary gave a book to him<sub>i</sub>.'

(31a, b) show that *taan* cannot occur as the recipient argument of *koṭukkuka*, but can occur as the same argument of *taruka* (the regular third person pronoun *awan* behaves in the opposite way). This is predicted if *taan* behaves as the P-PIVOT.

The next pair of P-PIVOT-sensitive expressions in Malayalam to be discussed is *i-ppuratt* 'on this side of' and *a-ppuratt* 'on that side of'. As in English and Japanese equivalents, the GROUND argument of these expressions are P-PIVOT-incompatible, so is the FIGURE of *a-ppuratt*, as shown in (32).

- (32) a. { $joonnte/*ente$ }  $i-ppuratt\text{e}$   $puccay-unt\text{e}$ .  
 John.Gen/I.Gen this-side.Loc cat-Cop  
 'There is a cat on this side of {John/\*me}.'  
 b. { $joon/*\eta an$ }  $\eta adiyunt\text{e}$   $a-ppuratt-ane$ .  
 John/I river-Gen that-side-is  
 '{John is/\*I am} on that side of the river.'

Now consider (33), in which *taan* is not acceptable, as predicted.

- (33) a. [{ $joonnte/*tante_i$ }]  $i-ppuratt\text{e}$   $puccay-unt\text{e}enn\text{e}$   
 John.Gen/self.Gen this-side.Loc cat-Cop Comp  
 $joon\text{ne}_i$   $ariyilla$   
 John.Dat know.Neg  
 'John<sub>i</sub> doesn't know that there is a cat on this side of him<sub>i</sub>.'  
 b.  $*[taan_i \eta adiyunt\text{e} a-ppuratt-ane enn\text{e}]$   $joon_i$   $para\eta\eta u$ .  
 self river-Gen that-side-is Comp John said  
 'John<sub>i</sub> said that he<sub>i</sub> was on the that side of the river.'

I formulated the P-PIVOT constraint as a condition on a logophoric pronoun, rather than its antecedent. This claim is based on the following observation.

- (34)  $joon_i$   $tante_i$   $ammayute$   $kuute$   $\eta adiyunt\text{e}$   $a-ppuratt\text{e}$   $nilkkunu$ .  
 John self-Gen mother with river-Gen that-side standing  
 'John<sub>i</sub> is standing with his<sub>i</sub> mother on the other side of the river.'

In (34), the antecedent of *taan* appears where P-PIVOT cannot appear.

### 3.2.2 Self-identification

The following constraint for Malayalam *taan*.

- (35) *taan* must represent a person whom its referent believes to be himself/herself, or, in the complement of a verb of speech, a person whom its referent presents as identical with himself/herself.

This analysis is supported by the following observations.

- (36) iidipus<sub>i</sub> {(#)tante<sub>i</sub>/swaṅtam<sub>i</sub>} ammaye kalyaanm kaziccu  
 Oedipus self.Gen/self.Gen mother marriage did  
 ‘Oedipus<sub>i</sub> married his<sub>i</sub> mother.’

In (36) *tante* is acceptable only if Oedipus knew/believed that he had married his own mother; it is unacceptable in the scenario in which Oedipus, as in a Greek myth, did not know the identity of his wife.

Malayalam differs from Yoruba in that the referent’s belief counts in all contexts, including the complement of a verb of speech. Consider the following sentence, in the Abraham/Sarah scenario above.

- (37) awa<sub>i</sub> [tante<sub>i</sub>bhaarya saara tante<sub>i</sub> sahoodari aana] ennə (nuna) paraṅṅu  
 he self’s wife Sarah self’s sister Cop Comp lie said  
 ‘He<sub>i</sub> said (lied) that his<sub>i</sub> wife Sarah was his<sub>i</sub> sister.’

In (37) *taan* can be used both for ‘his (wife)’ and ‘his (sister)’ in this scenario, suggesting that both the speaker’s knowledge/belief and his/her presentation count in the speech complement clause.

This is also confirmed by the following sentence.

- (38) joo<sub>i</sub> [PRO<sub>i</sub> [taan<sub>i</sub> aticca] kuṭṭiye aticcilla] ennə paraṅṅu  
 John self hit.Rel child.Acc hit.Neg Comp said  
 ‘John<sub>i</sub> said that he<sub>i</sub> did not hit the girl that he<sub>i</sub> hit.’

*Taan* in (38) is judged as acceptable in the lying scenario, but as unacceptable or almost unacceptable in the memory problem scenario, where *taan* does not refer to the person whom John believes himself to be.

When the matrix verb of (38) above is changed to a verb that represents belief, the lying interpretation is no longer possible, and so (39) is unacceptable.

- (39) #joo<sub>i</sub> [PRO<sub>i</sub> [taan<sub>i</sub> aticca] kuṭṭiye aticcilla] ennə wisawsikkunnu  
 John self hit-Rel child.Acc hit-Neg Comp believe  
 ‘John<sub>i</sub> believes that he<sub>i</sub> did not hit the girl that he<sub>i</sub> hit.’

One consequence of both P-PIVOT constraint and the Self-identification

constraint is that the referent has consciousness, and consequently, is a living person (cf. the first person pronoun always refer to a living person). This prediction is borne out, as shown in (40). The use of a pronoun *awan* in contrast creates no problem.

- (40)  $joon_i$  [ $\{*taan_i/awan_i\}$ ] leekhanannaliluute maranattinu seesam  
 John self/he writings-through death-Gen after  
 influuens ceytta] vidwaanmaar wali prasiddhi neeti  
 influence did-Rel scholars through fame gained  
 ‘John<sub>i</sub> became famous through the scholars that he<sub>i</sub> influenced after  
 his death through his writings.’

#### 4. Concluding Remarks

In this paper, I argued that logophoric pronouns tend to respect 1) P-PIVOT constraint and 2) Self-identification Constraint. Logophoric pronouns in the three languages examined vary in a) whether they respect one or both of these conditions, and b) in which contexts belief (as opposed to verbal presentation) counts in self-identification. The three languages examined do not exhaust the logical possibilities that this parameterization allows. Examination of other languages might reveal examples of remaining possibilities.

#### References

- Asher, R. E. and T. C. Kumari. 1997. *Malayalam*. Abingdon, Oxon: Routledge.  
 Adesola, Oluseye. 2005. Pronouns and null operators: A-bar dependencies and relations in Yoruba. Ph. D. dissertation, Rutgers, The State University of New Jersey.  
 Bangbose, Ayo. 1966. *A Grammar of Yoruba*. Cambridge: Cambridge University Press.  
 Clements, George, N. 1975. The logophoric pronoun in Ewe: Its role in discourse. *Journal of Western African Language* 10, 2, 141-177.  
 Culy, Christopher. 1994. Aspects of Logophoric Marking. *Linguistics* 32:1055-94.  
 Culy, Christopher. 1997. Logophoric pronouns and point of view. *Linguistics* 35:845-859.  
 Fillmore, Charles. 1997. *Lectures on Deixis*. Stanford: CSLI Publications.  
 Hagiège, Claude. 1974. Les pronoms logophoriques. *Bulletin de la Société de Linguistique de Paris* 69: 287-310.  
 Huang, Yan. 2000. *Anaphora: A Cross-linguistic Study*. New York: Oxford University Press.  
 Iida, Masayo. 1996. *Context and Binding in Japanese*. Stanford: CSLI Publications.

- Jayaseelan, K. A. 1999a. Lexical Anaphors and Pronouns in Malayalam. *Anaphors and Pronouns in Selected South Asian Languages*, eds. B. Lust, K. Wali, J. Gair and K. V. Subbarao. Berlin: Mouton de Gruyter.
- Jayaseelan, K. A. 1999b. *Parametric Studies in Malayalam Syntax*. Mumbai, India: Allied Publishers.
- Kameyama, Megumi. 1984. Subjective/logophoric bound anaphor *zibun*. *Papers from the Regional Meeting of Chicago Linguistic Society*.
- Kay, Paul. 1992. The Inheritance of Presuppositions. *Linguistics and Philosophy* 15:333-381.
- Kuno, Susumu. 1972. Pronominalization, reflexivization, and direct discourse. *Linguistic Inquiry* 3:161-195.
- Kuno, Susumu. 1978. *Danwa no Bunpoo* [Discourse Grammar]. Tokyo: Taishukan.
- Kuno, Susumu. 1986a. *Functional Syntax. Anaphora, Discourse and Empathy*. Chicago: University of Chicago Press.
- Kuno, Susumu. 1986b. *Anaphora in Japanese*. In *Papers from the First. SDF Workshop in Japanese Syntax*, ed. S.-Y. Kuroda, 11-70. San Diego: University of California at San Diego, Department of Linguistics.
- Matsumoto, Yo. 1990. Logophoric Conditions on Reflexives in Malayalam. manuscript. Department of Linguistics, Stanford University.
- McCawley, James. 1970. Where do noun phrases come from? *Readings in English Transformational Grammar*, ed. by Roderick Jacobs and Peter Rosenbaum, 166-83. Waltham, Mass: Ginn.
- Mohanan, Karuvannur P. 1982a. Grammatical relations and anaphora in Malayalam. *Papers in Syntax* (MIT Working Papers, Vol. IV), ed. A. Marantz and T. Stowell.
- Mohanan, Karuvannur P. 1982b. Pronouns in Malayalam. *Studies in the Linguistic Sciences* 11:67-75.
- Ohye, Saburo. 1980. Nichi-eigo no Hikaku Kenkyuu: Shukansei o Megutte [The Comparison of Japanese and English: On subjectivity]. Tokyo: Nan'undo.
- Oshima, David Y. to appear a. Perspective, logophoricity, and embedded tense in Japanese. In Yukinori Takubo, ed., *Japanese/Korean Linguistics* 16. Stanford: CSLI Publications.
- Oshima, David Y. to appear b. On empathic and logophoric binding. *Research on Language and Computation*.
- Pulleyblank, Douglas. 1986. Clitics in Yoruba. *The Syntax of Pronominal Clitics* (Syntax and Semantics 19), 43-64.
- Safir, Ken. 2004a. *The Syntax of Anaphora*. Oxford/New York: Oxford University Press.
- Safir, Ken. 2004b. Person, context and perspective. *Rivista di Linguistica/Italian Journal of Linguistics* 16.
- Sells, Peter. 1987. Aspects of logophoricity. *Linguistic Inquiry* 18:445-479.