Principal component analysis reveals differential attentional modulation of the vocal response to pitch perturbation

Shuntaro Okazaki, a,1 Koichi Mori, b and Minae Okada
Research Institute of National Rehabilitation Center for Persons with Disabilities, 4-1 Namiki, Tokorozawa, Saitama, 359-8555, Japan

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The auditory-vocal system modifies voice fundamental frequency (F0) with auditory feedback. The responses to F0 changes in auditory feedback are known to depend on the task. The hypothesis explored in this study is that the task dependency is the result of multiple components of the F0 responses differently modulated with different tasks. Attention to audition was manipulated by task condition by the instruction to ignore or to count the number of the F0 shifts heard during vocalization. A synthetic voice with pitch shifts was used as auditory pseudo-feedback. The upward and downward shifts evoked very similar vocal F0 response patterns with polarity reversal. Attention to the auditory feedback caused a reduction in the grand-average response amplitude. By decomposing the F0 responses with principal component analysis (PCA), three principal components (PCs) with different peak latencies were found to have contributions above the criterion of 5%, totaling to 74%. All three PCs contributed to a compensatory response under the “ignore” condition. The slowest PC changed its polarity and the intermediate PC was reduced to almost zero under the “count” condition. Thus, the task-dependency of the F0 response to auditory feedback can be described in terms of different sensitivities of components to attention. © 2014 Acoustical Society of America.

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I. INTRODUCTION

Auditory feedback is important for the control of vocal loudness and fundamental frequency (F0) (Jones and Munhall, 2000). When an upward pitch shift is introduced via auditory feedback during production of a sustained vowel, speaking, or singing, the auditory-vocal system responds with a downward shift of F0 to compensate for it, and vice versa (e.g., Elman, 1981; Kawahara, 1993; Burnett et al., 1998; Hain et al., 2000; Burnett and Larson, 2002; Larson et al., 2007). This compensatory response is considered to be reflexive and is often termed a “pitch-shift-reflex (PSR)” (e.g., Burnett et al., 1998; Burnett and Larson, 2002; Chen et al., 2007; Hain et al., 2000; Kiran and Larson, 2001; Sivasankar et al., 2005) because the latency is between 100 and 200 ms after the onset of the introduced pitch shift. The PSR serves to stabilize voice F0 by counteracting unintended F0 changes in the vocalization (Burnett and Larson, 2002).

Although the PSR has been considered to be an automatic and reflexive response, it has been argued that the amplitude of the PSR can be modulated depending on the vocal task. The PSR was shown to be enhanced during speech (Chen et al., 2007; Xu et al., 2004) and singing (Natke et al., 2003) compared with that during sustained vowel vocalization. On the other hand, the PSR during dynamic voice pitch control was found to be smaller than that during sustained vowel vocalization (Burnett and Larson, 2002) although this is not compatible with the previous finding of Mandarin tone sequence production (Xu et al., 2004). Similarly, pitch perturbations presented just prior to a planned pitch increment induced a smaller PSR than when it was presented earlier or when there was no planned F0 change (Liu et al., 2009).

Previous studies have also proposed two or more complex processes in auditory-vocal F0 control. In contrast to the compensating PSR, a following F0 response (in which F0 changes in the same direction as the stimulus) was observed in approximately 15% of the trials (Larson et al., 2007), and even more frequently when the pitch shift was larger (300 cents, Burnett et al., 1998) or when the timing of the pitch-shift stimulus was anticipated (“ideomotor response,” Burnett et al., 2008). Furthermore, a second response with a latency of approximately 300 ms was observed in addition to, or as a part of, the PSR (Kawahara 1993; Burnett et al., 1998; Hain et al., 2000). The second response occurred more frequently when the duration of the pitch shift stimulus was greater than 100 ms (Burnett et al., 1998). Hain et al. (2000) have proposed a conceptual model that explains the compensating and tracking (following) modes of voice F0 control. When subjects are told to ignore an external input, the compensating mode is facilitated. On the other hand, when they are told to respond to the input, the tracking mode is facilitated. However, the effects of the intention to respond voluntarily and the attention to the external stimuli on the F0 responses are not fully elucidated.

Although most of the previous studies have tried to isolate the PSR from other components of the responses by using pitch-shift stimuli with a relatively short duration (e.g., 100 ms in Burnett et al., 2008), the phasic property of the PSR and the second response may be shaped by the response reaction in a closed-loop auditory-vocal system (cf. Leydon...
et al., 2003). The complex responses in the auditory-vocal system could only be fully characterized in an open-loop measurement system. Hain et al. (2001) have proposed that the duration of the pitch shift responses lengthens by up to several hundred milliseconds in the open-loop auditory-vocal system, which may suggest that the multiple components of the pitch shift response are temporally overlapped and thus cannot be separated from each other even in an open-loop system. We hypothesized that the more voluntary components of the response to the pitch shift may be distinct from the PSR, and modulated by the attention to the external stimuli. Since the two modes of responses (Hain et al., 2000) are stochastic rather than deterministic (Larson et al., 2007; Burnett et al., 1998), we tested these hypotheses by decomposing the pitch shift response based on the variability of the response across conditions (with or without pitch shift and ignoring or attending), trials, and participants using principal component analysis (PCA).

II. MATERIALS AND METHODS

A. Participants

Ten healthy adults (2 males, 8 females, age 22–29) with no history of neurological or speech disorders participated. None of the participants had had any formal vocal training or experience as a singer (by self-report). All participants signed informed consent approved by the Internal Ethical Review Board of National Rehabilitation Center for Persons with Disabilities.

B. Apparatus

Participants were seated in a sound-attenuated chamber with a headset (HMD 25-1, Sennheiser Electronic KG, Germany) placed over the head (Fig. 1). The microphone was located approximately 3 cm from the lips. The nominal frequency response ranges of the headphones and the microphone were 20–16 000 Hz and 100–16 000 Hz, respectively. A monitor (LP2275w, Hewlett-Packard, Palo Alto, CA) was placed in front of the participant. A vowel /a/ was made from a “small choir” of a GM2 instrument sound sources (General MIDI System Level 2, Roland, Osaka, Japan). First, the “small choir” was played for 5 s from the GM2 instrument at C3 for male and at C4 for female participants, respectively and was recorded at a sampling rate of 48 kHz. Second, the F0 contours of the recorded sounds were replaced with those of a constant 120 Hz for males and 240 Hz for females by the “Time-Domain Pitch-Synchronous Overlap-and-Add” (TD-PSOLA) method (Moulines and Charpentier, 1990) in Praat software (Boersma, 2001). This method changes F0 without significantly altering the overall spectral shape, or formant information, of the original vowel (see spectra in Fig. 2). For pitch-shift stimuli, the F0 contours additionally included intermittent upward and downward shifts as described in the next section. The auditory stimuli were delivered at a sampling rate of 48 kHz. To measure the open-loop characteristics of the voice F0 control, the synthesized vowel sound was presented as a pseudo-feedback voice at 82 dB sound pressure level (SPL), mixed with white noise at 80 dB SPL from a

![FIG. 1. Schematic diagram of the experimental system. Participants were sitting in front of the monitor and wore a headset. Synthesized voice, mixed with masking noise, was presented through the headphones. The uttered voices and unmixed pseudo-feedback were recorded on a digital recorder.](image1)

![FIG. 2. Spectrum density of the pseudo-feedback sound stimuli for males (upper panel) and females (lower panel). The spectra are computed with Welch's periodogram method (analysis window: 50 ms) after pre-emphasis of high frequencies with a slope of 6 dB/oct. The fundamental frequencies are transposed with the TD-PSOLA method from C3 to 120 Hz for males and from C4 to 240 Hz for females.](image2)
The previous trial (Fig. 3).

vocalization. The next trial began 9 s after the onset of voice was terminated and participants were to stop the in duration) replaced the green cue, the pseudo-feedback to maintain it as long as the green cue was on. Concurrently with the green cue, a diotic pseudo-
vocalizing at the onset of the visual green “þ” cue and to maintain it as long as the green cue was on. Concurrently with the green cue, a diotic pseudo-feedback voice was presented with the noise that masked the subject’s voice. When a visual blue “þ” cue of 5 s appeared where the red cue had been presented. Participants were instructed to vocalize the vowel /a/ at the same pitch as the auditory cue. They were to start vocalizing at the onset of the visual green “þ” cue and to maintain it as long as the green cue was on. The next trial began 9 s after the onset of the previous trial (Fig. 3).

C. Experimental task

The experiment was performed in a sound attenuated room and the participant was cued by visual and auditory stimuli. In each trial, a visual red “+” cue (500 ms in duration) centered in the display and a diotic auditory cue of the synthesized voice /a/ (500 ms in duration) were synchronously presented twice with an interval of 0.5 s. At 2 s after the onset of the first cue, a visual green “+” cue of 5 s appeared where the red cue had been presented. Participants were instructed to vocalize the vowel /a/ at the same pitch as the auditory cue. They were to start vocalizing at the onset of the visual green “+” cue and to maintain it as long as the green cue was on. Concurrently with the green cue, a diotic pseudo-feedback voice was presented with the noise that masked the subject’s voice. When a visual blue “+” cue (500 ms in duration) replaced the green cue, the pseudo-feedback voice was terminated and participants were to stop the vocalization.

D. Method of analysis

The F0 contours of both recorded utterances and pseudo-feedback voices were extracted using an analysis window of 25 ms with a step size of 10 ms using WaveSurfer software (Sjölander and Beskow, 2000). The segments from 100 ms before to 690 ms after each of the four onsets of the pitch-shifts in a given trial were subjected to analysis. The F0 contours were converted to cent values of a half-tone interval relative to the F0 mean in the 100-ms pre-stimulus period. After this processing, the F0 responses to downward pitch-shift were inverted to be compared to those to the upward shift in terms of the response amplitude: The time-series data of F0 responses were averaged across 64 trials for each stimulus direction per each task condition of attention in each subject. The averaged time-series data were analyzed by a three-way repeated measures analysis of variance (ANOVA) [Time (80 time points from −100 ms to 690 ms relative to the stimulus pitch shift onset) × stimulus directions (upward or downward) × task conditions of attention (“ignore” or “count”). Since this analysis did not reveal a significant difference in the response amplitude relative to the pre-stimulus average between the opposite stimulus directions (see Sec. III), a two-way repeated measures ANOVA (Time × task conditions of attention) was performed on the dataset of the averaged upward and downward shift responses. Post hoc multiple comparisons with a Bonferroni correction were performed at the corresponding time points across the attentional conditions (80 time points in total, aligned to the stimulus pitch shift onset timing) to
address the time profile of the attention effect on the F0 response.

Finally, the F0 responses of all participants in all trials under all conditions were analyzed using Principal Component Analysis (PCA, Jolliffe, 2002) (Fig. 5). The F0 responses to no pitch-shift were also included in the PCA analysis, which were the F0 contours during the corresponding periods of the analysis for the pitch shift responses, i.e., the segments of 900–1700 ms, 1900–2700 ms, 2900–3700 ms, and 3900–4700 ms from the onset of the visual green “+” cue. The PCA is hypothesized to reveal the differential effects of attention on the orthogonal response components in the auditory-vocal system. The factor loadings for each principal component (PC) whose contribution ratio exceeded 5% was subjected to further statistical analysis in order to determine the effects of the attention (“ignore” and “count”). Since the polarity of PCA components is arbitrary, we chose the polarity so that the earliest deflection after time 0 (stimulus pitch shift onset timing) was positive-going for each component. The factor loadings for each experimental condition (with and without pitch shift in “ignore” condition, and with and without pitch shift in “count” condition) were statistically evaluated against zero by a one-sample t-test with a Bonferroni correction (N = 4).

III. RESULTS

In both “ignore” and “count” tasks (48 trials in each), participants vocalized a sustained vowel /a/ while hearing the auditory pseudo-feedback with four pitch shifts in two thirds of the trials (32 of 48 trials, upward and downward pitch shifts were presented with the same probability) and with no pitch shift in the remaining 16 trials. Thus, we obtained and analyzed 3840 responses (10 participants × 2 task conditions of attention × 3 stimulus directions (upward, downward, and no pitch shift) × 16 trials × 4 repetitions). Figure 4 shows the respective averaged F0 responses of all participants to the upward (upper left) and downward pitch shifts (lower left). The pitch difference between the voice and the reference sound (at 120 Hz for males and 240 Hz for females) during the 100 ms window before the first pitch shift stimulus was 2.1 ± 1.1 Hz (average ± standard deviation). To apply an ANOVA, we first calculated the mean values of the F0 responses for each subject. The mean F0 responses to the upward and downward pitch-shift stimuli, with the responses to the latter reversed in polarity, were compared by a three-way repeated measures ANOVA (80 time points × 2 stimulus directions × 2 task conditions of attention). We found a significant main effect of the attentional condition [F(1,9) = 23.495, p = 0.001], but not of time [F(79,711) = 1.796, p = 0.019] or stimulus direction [F(1,9) = 0.248, p = 0.630]. This indicates that the F0 responses to the upward and downward frequency shifts were different in direction but not in amplitude or time course, nor did they show an interaction with the two experimental conditions. Consequently, we averaged the responses to upward and downward stimuli (Fig. 4, right) and analyzed them with a two-way repeated measures ANOVA (2 task conditions of attention × 2 stimulus directions × 80 time points). As expected, the main effect of the attentional condition [F(1,9) = 30.218, p < 0.001] and the interaction between the attentional condition and the time [F(79,1501) = 16.660, p < 0.001] were significant. The post hoc analysis of the F0 at each time point revealed that the F0 response was significantly decreased by attention manipulation in the latency range between 180 and 470 ms after the stimulus onset (p < 0.05, corrected by a Bonferroni method, thick bar in the right figure in Fig. 4).

![Figure 4](image-url)
The averaged F0 response in the “ignore” condition showed a peak of 8.2 cents at 190 ms and a plateau afterward that ended more than 100 ms after the stimulus offset. On the other hand, the averaged F0 response in the “count” condition had two phases with the transient peak of 3.2 cents at 170 ms, and a smaller and slower following response peaking at 300 ms after the stimulus onset. All of these peaks are within the period of the significant decrease of the F0 response with attention (“count” task, Fig. 4, right). However, the two peaks, or local minima, are both discernible in the “ignore” and in the “count” conditions with different amplitudes, which suggests that similar sub-processes but with different compositional weights may underlie the condition-dependent results. Since the slopes of the two peaks overlapped, we next tried to decompose the F0 responses using PCA.

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All the responses obtained in this study were subjected to principal component analysis. Three orthogonal principal components (factors) that exceeded 5% in contribution were adopted for further evaluation (Fig. 5, left column, PC1, PC2, PC3). The integrated contribution of the three factors was 74% (48%, 18%, and 8%, respectively). Since no averaged factor loadings of these components for the no pitch-shift control were different from zero, all three factors are considered as the constituents of the pitch shift response. The first factor (PC1) was the slowest response peaking at 630 ms from the stimulus onset. Its factor loadings were evaluated across two conditions of attention and with or without pitch shift stimuli by Bonferroni-corrected one-sample t-test (Fig. 5). The multiple comparisons showed a significant factor loading opposite to the stimulus pitch-shift (i.e., “compensatory”) in the “ignore” condition, and a significant factor loading to the same direction as the stimuli (i.e., “following”) in the “count” condition (p < 0.05, corrected). The second principal component (PC2) indicated a faster response with the peak latency of 240 ms. In contrast to the PC1, a significant factor loading, which was in the opposite direction to the pitch-shift stimulation, was found only in the “ignore” condition but not in the “count” condition (p < 0.05, corrected). The third principal component (PC3) was the fastest of the three, with peak latency of 170 ms. The PC3 exhibited significant opposing response to the stimulation in both “ignore” and “count” conditions (p < 0.05, corrected). The F0 responses were reconstructed only from the major three PC’s multiplied by the respective factor loadings, with the responses during the baseline period (−100 to 0 ms) zeroed (Fig. 6).

IV. DISCUSSIONS

In this study, we investigated the open-loop characteristics of the auditory-vocal F0 control using F0 step stimuli of a synthetic voice as auditory pseudo-feedback, and quantitatively evaluated the effects of auditory attention on the control. The auditory synthetic voice stimuli with pitch shifts...
induced pitch shift responses in the vocalization of the listener, just as tonal stimuli do (Lee et al., 2008; Sivasankar et al., 2005). As proposed in a previous study (Sivasankar et al., 2005), the amplitude of the F0 response in this study (8.3 cents under the “ignore” condition in the grand average) may be slightly smaller than that of the real auditory feedback of one’s own voice.

Although PCA has been extensively applied to the time-domain data, such as EEG signals (e.g., Donchin and Heffley, 1979), the physiological and functional implications of its results should be carefully interpreted. In this study, we observed (1) that 74% of total variance was explained using only three components, (2) that the three components (PC1, PC2, and PC3) have positive earliest peaks at 630, 240, and 170 ms, respectively, and (3) that the value difference of each component’s loading factor between different tasks and conditions was statistically significant. Experimental manipulation of the pitch shifts and the attention to the stimuli seemed to be suitable for the PCA decomposition in the present study. We observed a rapid component in the pitch-shift responses with earlier peak latencies of 170 ms (for the grand average F0 response in “count” condition and for the third principal component) and 190 ms (for the grand average F0 response in “ignore” condition), which are comparable to the previously proposed PSR (e.g., Burnett et al., 1998; Burnett and Larson, 2002; Chen et al., 2007; Hain et al., 2000; Kiran and Larson, 2001; Sivasankar et al., 2005). The grand average F0 responses (Fig. 4) showed a plateau that mirrored the stimulus step in the “ignore” condition, indicating an inverting low-pass filter characteristic of the auditory-vocal F0 control. Hain et al. (2001) examined the open-loop characteristics of auditory-vocal system by inserting a delay into the feedback loop and reported a similar plateau characteristic of the PSR. A previous study has proposed a second response with a longer peak latency and greater magnitude that temporally overlaps the first PSR (Burnett et al., 1998). Although these responses have been hard to isolate from each other, we have been able to separate them using PCA, and we have shown the individually different effects that attention has on them.

Since the earliest response component with a peak latency less than 200 ms (PC3) was opposite both to the unattended and attended pitch shifts, it can be interpreted to reflect a pre-attentive process, and consistent with the PSR that is always produced in the opposing direction to the pitch shift stimulus, regardless of how accurately an individual overtly identifies the pitch shift’s direction (Burnett and Madonia, 2006; Burnett et al., 2008). As previous studies have proposed that the PSR attenuates differently depending on task (Burnett and Larson, 2002; Liu et al., 2009), the earliest peak of the F0 response in this study was also attenuated by attending to the pitch shift stimuli as shown in Figs. 4 and 6. However, this phenomenon could be analytically explained by the different combinations of the rapid and pre-attentive response component (PC3) and the slower but overlapping, attention-dependent components (PC1 and PC2) in this study.

The previously proposed second response with the peak latency of approximately 300 ms (Kawahara, 1993; Burnett et al., 1998; Hain et al., 2000) is apparently compatible with the second principal component of the pitch shift response in this study. However, this response has also been reported to occasionally follow the pitch shift stimuli (Burnett et al., 1998; Burnett et al., 2008; Larson et al., 2007), which could be explained by the polarity reversal of the loading of the first principal component and the significant reduction of the loading of the second component of the response in the opposite direction to the stimulation in the attended condition. Actually, a small following response was observed in the grand average as well (Fig. 4) under the “count” condition in the present study.

As demonstrated in the present study, the amplitude of the PSR has been modulated depending on the vocal task (Burnett and Larson, 2002; Chen et al., 2007; Donath et al., 2002; Liu et al., 2009; Natke et al., 2003; Xu et al., 2004), although there were some conflicting results in the previous studies (i.e., amplitude of the PSR in sustained vowel phonation vs other tasks). The previous findings that the PSR amplitude was decreased during the dynamical vocal control (Burnett and Larson, 2002; Liu et al., 2009) can be partly explained by the higher demand of attention to the auditory feedback in these tasks. The other findings about PSR in speaking and singing (Chen et al., 2007; Natke et al., 2003; Xu et al., 2004) could not be explained solely by the attention to the auditory feedback. Some other factors, such as the F0 dependent characteristics of the PSR (Liu and Larson, 2007; Okazaki et al., 2009), should be considered as well. In the present study, the slow response component to the pitch shift stimuli (PC2) was attenuated and the slowest component (PC1) exhibited following-like characteristics. The attention-dependent modulation of these responses is compatible with the previously proposed model of the compensating and tracking (following) modes of the voice F0 control (Hain et al., 2000).

The findings of the neural substrate for the pitch shift response have suggested that the auditory cortex is involved (Eliades and Wang, 2008; Hain et al., 2000; Masuda et al., 2008; Tourville et al., 2008; Toyomura et al., 2007). However, the relationships between the variable characteristics of pitch shift responses and the auditory cortical processing still remain unclear. In neurophysiological (Creutzfeldt et al., 1989) and MEG (Hari et al., 1989; Numminen et al., 1999; Curio et al., 2000; Houtte et al., 2002) studies, the neuronal response to one’s own voice in the temporal lobe (or auditory cortex) was significantly lower during its production than that during listening without speaking. In addition, by counting heard words, auditory attention is induced, which in turn enhances the activity in the auditory cortex (Hari, 1991; Grady et al., 1997). Taken together with previous findings, the slower components (PC1 and PC2) rather than the pre-attentive component (PC3) in the present study may well be regulated in the auditory cortex. Although it is not clear whether attention-induced higher activation in the auditory cortex attenuates the pitch shift response or induces the following type of pitch shift responses, the finding that the ERP components in response to feedback perturbation were significantly larger during vocalization than listening (Behroozmand et al., 2009), lends more support for the latter. The following response in the auditory feedback control may contribute to the speech coordination and auditory attention in aligning one’s own voice with the external one,
possibly through a mirror neuron system (Kalinsonski and Saltuklaroglu, 2003; Garrod and Pickering, 2009).

V. CONCLUSIONS

Using PCA, we have quantitatively and objectively identified the attention independent PSR, and two types of more complex response components that were modulated by auditory attention. Particularly, one type of the complex components (the first principal component) had a long latency and could explain the previously proposed following response under an attended condition. The other response (the second principal component) had a moderate latency, overlapped the fast PSR component in time, and could explain the task dependent PSR attenuation by auditory attention. These findings provide a collective view for the variable response components in the auditory feedback voice F0 control. PCA is an essential method of analysis for extracting and understanding the nature of the individual components under different attentional conditions.

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