

# 格子ゲージ理論における一般化対称性と トポロジカル現象

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阪大理 → 理研iTHEMS

30/3/2024 駒場研究会場の理論への非摂動的アプローチ

- O. Morikawa, H. Wada and S. Yamaguchi, PRD **107**, 045020 (2023) [2211.12079].
- M. Abe, OM and H. Suzuki, PTEP **2023**, no.2, 023B03 (2023) [2210.12967].
- N. Kan, OM, Y. Nagoya and H. Wada, EPJC **83**, no.6, 481 (2023) [2302.13466].
- M. Abe, OM, S. Onoda, H. Suzuki and Y. Tanizaki, JHEP **08**, 118 (2023) [2303.10977].
- M. Abe, OM and S. Onoda, PRD **108**, 014506 (2023) [2304.11813].
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- OM, S. Onoda and H. Suzuki, 2403.03420.

- 1 Introduction
  - Symmetry and 't Hooft anomaly
  - Generalized global symmetry
- 2 Review on topology of lattice gauge fields [Lüscher]
- 3 Fractionality of topology in lattice  $SU(N)/\mathbb{Z}_N$  gauge theory [Abe-OM-Suzuki, Abe-OM-Onoda-Suzuki-Tanizaki]
- 4 Higher-group structure in lattice gauge theory [Kan-OM-Nagoya-Wada, Abe-OM-Onoda]
  - Higher-group symmetry under modification of instanton sum
- 5 Magnetic operators and Witten effect on the lattice [Abe-OM-Onoda-Suzuki-Tanizaki (OM-Onoda-Suzuki)]
  - Magnetic operators in lattice 2D scalar theory
- 6 Summary

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# Symmetry and 't Hooft anomaly matching

- **Symmetry**: fundamental tool in physics
  - ▶ Universal applications to high energy physics, condensed matter physics and mathematics

- Noether theorem and conservation law

$$\text{Sym} : \phi \mapsto \phi'; S(\phi) = S(\phi'), \quad \partial_{\mu} j^{\mu} = 0$$

$$\text{Charge } Q \equiv \int j^0 d^{D-1}x$$

- 't Hooft anomaly matching for strongly coupled theories [79]
  - ▶ Assume global symmetry  $G$  in system
  - ▶ Introduce background gauge field  $A$  assoc.  $G$  (by gauging  $G$ )

$$\mathcal{Z}[A] = \int \mathcal{D}\{\phi\} e^{-S(\{\phi\}, A)} \stackrel{?}{=} \mathcal{Z}[A^g] = \dots = e^{\mathcal{A}[A, g]} \mathcal{Z}[A]$$

$e^{\mathcal{A}} \neq 1$  **Anomalous**  $\longrightarrow$  't Hooft anomaly which is invariant at any energy scale (**renormalization group inv.**)

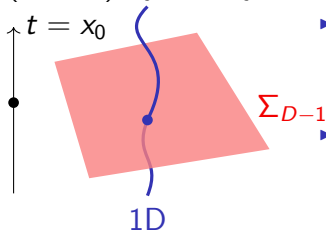
- ▶ Restriction on low-energy dynamics: **SSB, phase structure, SPT**

# Recent generalization of symmetry

- **Generalized global symmetry** [Gaiotto–Kapustin–Seiberg–Willet '14]
    - ▶ Coupled with topological field theory
      - ★ Changing topological structure without changing local dynamics [Kapustin–Seiberg '14]:
      - ★ Nontrivial information by using generalized 't Hooft anomaly matching [E.g., OM–Wada–Yamaguchi '23]
  - Basic property: fractionality of topological charge
    - ▶ Usually, topo. charge  $Q \sim \int F\tilde{F} \in \mathbb{Z}$  under topo. sectors
      - ★  $\theta$  term:  $\theta Q$  (strong CP problem, axion physics, sign problem)
    - ▶ **Discrete higher-form sym**  $\rightarrow$  background gauge field  $B$ :  
 $Q \sim \int B\tilde{B} \in \frac{1}{N}\mathbb{Z}$ , 't Hooft anomaly  $\mathcal{Z}_{\theta+2\pi}[B] = e^{-2\pi i Q} \mathcal{Z}_\theta[B]$   
[Kapustin–Thorngren '13, Gaiotto–Kapustin–Komargodski–Seiberg '17]
- global desc.  $\Rightarrow$  't Hooft twisted boundary condition  $Q \sim \int F\tilde{F} \in \frac{1}{N}\mathbb{Z}$   
[van Baal '82] cf. [Edwards–Heller–Narayanan, de Forcrand–Jahn, Fodor–Holland–Kuti–Nógrádi–Schroeder, Kitano–Suyama–Yamada, Itou, ...]

# Generalization: higher-form symmetry

- (0-form) Symmetry



- ▶ Charge (codim 1)

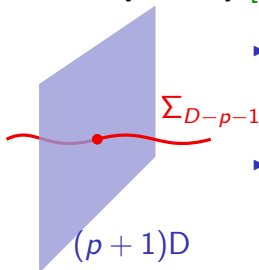
$$Q \equiv \int_{\Sigma_{D-1}} j_0 dx_1 \wedge \cdots \wedge dx_{D-1}$$

- ▶ Symmetry operator

$$U_\alpha(\Sigma_{D-1}) = e^{i\alpha Q}$$

Topological under deformation of  $\Sigma_{D-1}$

- Higher-form symmetry [Gaiotto–Kapustin–Seiberg–Willet '14]



- ▶  $p$ -form symmetry  $G^{[p]}$  (codim  $p+1$ )

$$Q \equiv \int_{\Sigma_{D-p-1}} \star j^{(p+1)}, \quad U_\alpha(\Sigma) = e^{i\alpha Q}$$

- ▶ Transforming a “loop” operator  $W(C)$

$$W(C) \mapsto U(\Sigma)W(C)$$

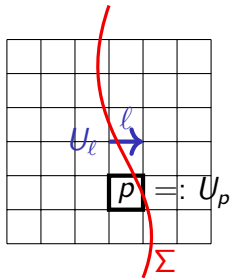
$$= e^{i\alpha \#(\Sigma, C)} W(C) \quad \text{w/ linking } \#$$

# Center symmetry in YM theory

- Lattice  $SU(N)$  YM theory
  - ▶ link variable  $U_\ell \in SU(N)$
- Center symmetry:  $\mathbb{Z}_N^{[1]}$

$$e^{\frac{2\pi i}{N}k} \in \mathbb{Z}_N \subset SU(N); \quad U_\ell \mapsto e^{\frac{2\pi i}{N}k \#(\Sigma, \ell)} U_\ell$$

Intersection  $\#$  of  $\Sigma$  & link  $\ell$ ;  $U_p \mapsto U_p$

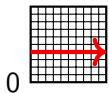


- Gauging the center symmetry

$$S \sim \sum \text{Tr} e^{-\frac{2\pi i}{N} B_p} U_p \quad B_p: \text{2-form gauge field assoc. } \mathbb{Z}_N^{[1]}$$

invariant under  $U_\ell \mapsto e^{\frac{2\pi i}{N}\lambda_\ell} U_\ell, B_p \mapsto B_p + (d\lambda)_p$

- ▶ Recall 't Hooft twisted b.c. [79]:  $U_{n+L\hat{\nu}, \mu} = g_{n, \nu}^{-1} U_{n, \mu} g_{n+\hat{\mu}, \nu}$



$$\text{gauge transf } g_{n+L\hat{\nu}, \mu}^{-1} g_{n, \nu}^{-1} g_{n, \mu} g_{n+L\hat{\mu}, \nu} = e^{\frac{2\pi i}{N} z_{\mu\nu}} \in \mathbb{Z}_N$$

$$\text{'t Hooft flux } z_{\mu\nu} = \sum B_p \text{ mod } N$$

# Aiming at transparent understanding

- Wise but *not transparent* understanding

- ▶ Topological objects from **lattice** viewpoint as center sym

- ▶ Formal discussion in **continuum** theory

- ★  $\mathbb{Z}_N^{[q]}$  gauge field:  $U(1)$  field  $B^{(q)}$

with constraint  $NB^{(q)} = dB^{(q-1)}$  from charge- $N$  Higgs

- ▶  $Q \sim \frac{1}{N} \int B \wedge B?$   $\xrightarrow[\text{cohomology op}]{\text{Swapping } \wedge \text{ w/}}$   $\frac{1}{N} \int P_2(B) \sim -\frac{\varepsilon_{\mu\nu\rho\sigma} z_{\mu\nu} z_{\rho\sigma}}{8N}$

- ★ Global nature described by Čech cohomology (discrete group!)

- ▶ Indicating mixed 't Hooft anomaly with chiral sym/ $\theta$ -periodicity

$$\mathcal{Z}_{\theta+2\pi}[B_p] = e^{-2\pi i Q} \mathcal{Z}_{\theta}[B_p] \quad \text{not } 2\pi \text{ periodic}$$

- **Fully regularized framework**: Lattice regularization

- ▶ Lattice construction of fiber bundle and  $Q$  [Lüscher '84] (§2)

- ▶ Coupled with *higher-form* lattice gauge fields (§3)

- Application to **higher-group symmetry** (§4),

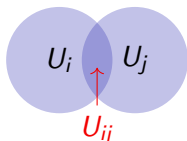
Attempt to construct **magnetic monopole** on lattice (§5)



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# Principal fiber bundle

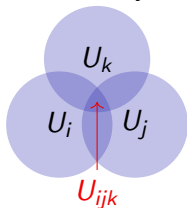
- Recall Dirac's discussion
  - ▶ Gauge fields cannot be defined globally in spacetime
  - ▶ Defined on each subspace: northern/southern hemisphere
- Manifold (spacetime)  $X$ ; open covering (patches)  $\{U_i\}$ 
  - ▶ Gauge group  $G$ , gauge field  $a_i$  on  $U_i$
  - ▶ Relation between  $a_i$  and  $a_j$ ?



Gauge transformation:

$$a_j = g_{ij}^{-1} a_i g_{ij} + g_{ij}^{-1} d g_{ij} \quad \text{at } U_{ij}$$

- ▶ Consistency condition for transition function  $g_{ij}$ :

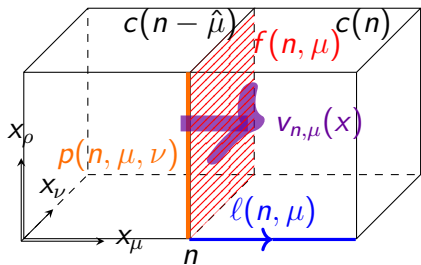


$$g_{ii} = \text{id}, \quad g_{ji} = g_{ij}^{-1}$$

Cocycle condition:  $g_{ij} g_{jk} g_{ki} = 1 \quad \text{at } U_{ijk}$

# Bundle structure on lattice?

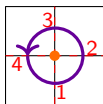
- No continuity for lattice fields?
  - ▶ Any configuration can be deformed continuously to others
  - ▶ We can observe topological structure even on lattice by Lüscher
- Setup/strategy: Lattice  $\Lambda$  divides  $X$  into 4D hypercubes



4D cell  $c(n)$   
 3D face  $f(n, \mu)$   
 2D plaquette  $p(n, \mu, \nu)$   
 1D link  $l(n, \mu)$

- 1 Regard  $\{c(n)\}$  as patches
- 2 Define transition function  $v_{n, \mu}(x)$  at  $f(n, \mu)$  from data as  $U_\ell$

- ▶ Difficult to define it at  $x \neq n$  s.t. cocycle condition is kept intact



$$v_1 v_2 v_3^{-1} v_4^{-1} = 1$$

at  $x \in p(n)$

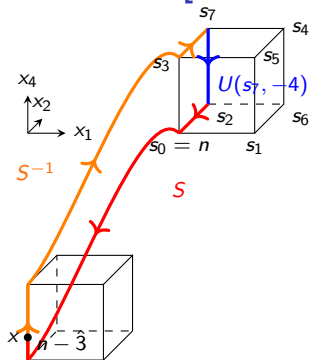
# Construction of topo. sectors on lattice [Lüscher]

- Parallel transporter (interpolation):

$$U_\ell \rightarrow v_f(n) \rightarrow v_f(x) \Leftarrow \text{Cocycle}$$

$$v_{n,\mu}(n) = U(n - \hat{\mu}, \mu)$$

$$v_{n,\mu}(x) \equiv S_{n,\mu}^{n-\hat{\mu}}(x)^{-1} v_{n,\mu}(n) S_{n,\mu}^n(x)$$



- Topo. sectors on lattice so that  $[Q \text{ in terms of } v_f(x)] \in \mathbb{Z}$

$$Q = \sum_{n \in \Lambda} q(n), \quad q(n) = -\frac{1}{24\pi^2} \sum_{\mu, \nu, \rho, \sigma} \epsilon_{\mu\nu\rho\sigma} \left\{ 3 \int_{\rho_{n,\mu\nu}} d^2x \text{Tr} \left[ (v_{n,\mu} \partial_\rho v_{n,\mu}^{-1}) (v_{n-\hat{\mu},\nu}^{-1} \partial_\sigma v_{n-\hat{\mu},\nu}) \right] \right. \\ \left. + \int_{f_{n,\mu}} d^3x \text{Tr} \left[ (v_{n,\mu}^{-1} \partial_\nu v_{n,\mu}) (v_{n,\mu}^{-1} \partial_\rho v_{n,\mu}) (v_{n,\mu}^{-1} \partial_\sigma v_{n,\mu}) \right] \right\}$$

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$$w^n(x) = U_{n,4}^{y_4} U_{n+y_4\hat{4},3}^{y_3} U_{n+y_4\hat{4}+y_3\hat{3},2}^{y_2} U_{n+y_4\hat{4}+y_3\hat{3}+y_2\hat{2},1}^{y_1}$$

$$f_{n,\mu}^m(x_\gamma) = (u_{s_3 s_0}^m)^{y_\gamma} (u_{s_0 s_3}^m u_{s_3 s_7}^m u_{s_7 s_2}^m u_{s_2 s_0}^m)^{y_\gamma} u_{s_0 s_2}^m (u_{s_2 s_7}^m)^{y_\gamma},$$

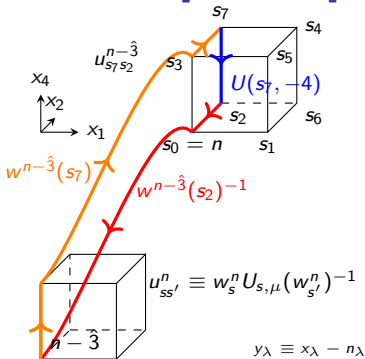
$$g_{n,\mu}^m(x_\gamma) = (u_{s_5 s_1}^m)^{y_\gamma} (u_{s_1 s_5}^m u_{s_5 s_4}^m u_{s_4 s_6}^m u_{s_6 s_1}^m)^{y_\gamma} u_{s_1 s_6}^m (u_{s_6 s_4}^m)^{y_\gamma},$$

$$h_{n,\mu}^m(x_\gamma) = (u_{s_3 s_0}^m)^{y_\gamma} (u_{s_0 s_3}^m u_{s_3 s_5}^m u_{s_5 s_1}^m u_{s_1 s_0}^m)^{y_\gamma} u_{s_0 s_1}^m (u_{s_1 s_5}^m)^{y_\gamma},$$

$$k_{n,\mu}^m(x_\gamma) = (u_{s_7 s_2}^m)^{y_\gamma} (u_{s_2 s_7}^m u_{s_7 s_4}^m u_{s_4 s_6}^m u_{s_6 s_2}^m)^{y_\gamma} u_{s_2 s_6}^m (u_{s_6 s_4}^m)^{y_\gamma},$$

$$l_{n,\mu}^m(x_\beta, x_\gamma) = [f_{n,\mu}^m(x_\gamma)]^{-1 y_\beta} [f_{n,\mu}^m(x_\gamma) k_{n,\mu}^m(x_\gamma) g_{n,\mu}^m(x_\gamma)^{-1} h_{n,\mu}^m(x_\gamma)^{-1}]^{y_\beta} h_{n,\mu}^m(x_\gamma) [g_{n,\mu}^m(x_\gamma)]^{y_\beta},$$

$$S_{n,\mu}^m(x_\alpha, x_\beta, x_\gamma) = (u_{s_0 s_3}^m)^{y_\gamma} [f_{n,\mu}^m(x_\gamma)]^{y_\beta} [l_{n,\mu}^m(x_\beta, x_\gamma)]^{y_\alpha}.$$

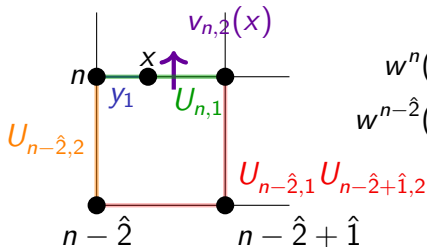


- Topo. sectors on lattice so that  $[Q \text{ in terms of } v_f(x)] \in \mathbb{Z}$

$$Q = \sum_{n \in \Lambda} q(n), \quad q(n) = -\frac{1}{24\pi^2} \sum_{\mu, \nu, \rho, \sigma} \epsilon_{\mu\nu\rho\sigma} \left\{ 3 \int_{\rho_{n,\mu\nu}} d^2x \text{Tr} \left[ (v_{n,\mu} \partial_\rho v_{n,\mu}^{-1}) (v_{n-\hat{\mu},\nu}^{-1} \partial_\sigma v_{n-\hat{\mu},\nu}) \right] \right. \\ \left. + \int_{f_{n,\mu}} d^3x \text{Tr} \left[ (v_{n,\mu}^{-1} \partial_\nu v_{n,\mu}) (v_{n,\mu}^{-1} \partial_\rho v_{n,\mu}) (v_{n,\mu}^{-1} \partial_\sigma v_{n,\mu}) \right] \right\}$$

# Exercise: Lattice 2D $U(1)$ gauge theory

- $v_{n,\mu}(x) = w^{n-\hat{\mu}}(x)w^n(x)^{-1}$  [Lüscher '98, Fujiwara et al. '00]



$$w^n(x) = U_{n,1}^{y_1}$$

$$w^{n-\hat{2}}(x) = [U_{n-\hat{2},1} U_{n-\hat{2}+\hat{1},2}]^{y_1} U_{n-\hat{2},2}^{1-y_1}$$

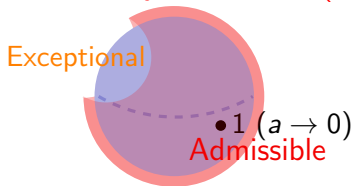
- Explicit expression of  $v$ :

$$\begin{aligned} v_{n,1}(x) &= U_{n-\hat{1},1} & v_{n,2}(x) &= U_{n-\hat{2},2} [U_{n-\hat{2},1} U_{n-\hat{2}+\hat{1},2} U_{n,1}^{-1} U_{n-\hat{2},2}^{-1}]^{y_1} \\ & & &= U_{n-\hat{2},2} \exp[iy_1 F_{12}(n - \hat{2})] \end{aligned}$$

- ▶ Field strength:  $F_p \equiv \frac{1}{i} \ln U_p$  for  $-\pi < F_p \leq \pi$
- ▶ ( $nD$ ) To ensure Bianchi identity  $dF_p = 0$ , we should impose  $\sup_p |F_p| < \epsilon$ ,  $0 < \epsilon < \frac{\pi}{3} \rightarrow$  **Admissibility condition** (see also §5)

# Admissibility condition

- In general, admissibility = well-defined-ness of  $u^y$  ( $0 \leq y \leq 1$ )
  - $U(1)$ :  $F_p = \frac{1}{i} \ln U_p$  for plaquette  $U_p$
  - $S_{n,\mu}^m(x)$  is written in terms of  $(u_{ss'}^n)^y$  where  $u$  is a loop  
 $n \rightarrow s \rightarrow s' \rightarrow n$
- E.g.,  $u^y$  is ill-defined at  $u = -1$ ; ill-def regions separate **sectors**
- Admissibility condition**  $\text{tr}(1 - U_p) < \epsilon$  [Lüscher '84]



- Admissible lattice gauge fields: well-defined conf space  $\sim$  disk
- Exceptional region
  - Topological freezing
  - Monopole as lattice artifact

- Under the admissibility condition, we can prove that  $Q \in \mathbb{Z}$ ; we observe topo. sectors even on lattice!
- How about index theorem for finite  $a$ ?

$$\text{Index}(D) = \underbrace{-\frac{a}{2} \text{Tr} \gamma_5 D_{\text{ov}}}_{\text{Admissibility } \epsilon_{\text{ov}}} = \underbrace{n_+ - n_-}_{\in \mathbb{Z}} \stackrel{?}{=} \frac{1}{32\pi^2} \int_x \varepsilon_{\mu\nu\rho\sigma} \text{tr}[F_{\mu\nu} F_{\rho\sigma}]$$

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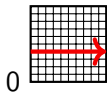
# Cocycle condition relaxed by higher-form sym

- Lüscher's construction *Coupled* with higher-form gauge fields
  - ▶  $SU(N)$  YM theory coupled with  $\mathbb{Z}_N$  2-form gauge field

$$S \sim \sum \text{Tr} e^{-\frac{2\pi i}{N} B_p} U_p \quad B_p: \text{2-form gauge field assoc. } \mathbb{Z}_N^{[1]}$$

invariant under  $U_\ell \mapsto e^{\frac{2\pi i}{N} \lambda_\ell} U_\ell$ ,  $B_p \mapsto B_p + (d\lambda)_p$

- ▶ 't Hooft twisted b.c. ['79]:  $U_{n+L\hat{\nu},\mu} = g_{n,\nu}^{-1} U_{n,\mu} g_{n+\hat{\mu},\nu}$



$$g_{n+L\hat{\nu},\mu}^{-1} g_{n,\nu}^{-1} g_{n,\mu} g_{n+L\hat{\mu},\nu} = e^{\frac{2\pi i}{N} z_{\mu\nu}} \in \mathbb{Z}_N$$

't Hooft flux  $z_{\mu\nu} = \sum B_p \text{ mod } N$

- Cocycle condition can take a  $\mathbb{Z}_N$  value:

$$\tilde{v}_{n-\hat{\nu},\mu}(x) \tilde{v}_{n,\nu}(x) \tilde{v}_{n,\mu}(x)^{-1} \tilde{v}_{n-\hat{\mu},\nu}(x)^{-1} = e^{\frac{2\pi i}{N} B_{\mu\nu}(n-\hat{\mu}-\hat{\nu})}$$

- ▶  $\mathbb{Z}_N$  blind matters: adjoint repr.
- ▶  $\mathbb{Z}_N^{[1]}$  gauge inv. if  $\tilde{v}_{n,\mu}(x) \mapsto e^{\frac{2\pi i}{N} \lambda_{n-\hat{\mu},\mu}} \tilde{v}_{n,\mu}(x)$
- What is definition of  $\tilde{v}_{n,\mu}(x)$ ?

# $\mathbb{Z}_N^{[1]}$ gauge invariant construction

- Gauge invariant plaquette

$$\tilde{U}_p \equiv e^{-\frac{2\pi i}{N} B_p} U_p$$

- ▶ Recall  $U_\ell \mapsto e^{\frac{2\pi i}{N} \lambda_\ell} U_\ell$
- ▶ Admissibility  $\text{tr}(1 - \tilde{U}_p) < \epsilon$

- $u$ : product of plaquettes  $\rightarrow \tilde{u}$

$$\tilde{u}_{S_7 S_2}^{n-\hat{3}} = e^{\frac{2\pi i}{N} B_{34}(n-\hat{3})} e^{\frac{2\pi i}{N} B_{24}(n)} u_{S_7 S_2}^{n-\hat{3}}$$

- Similarly,  $\tilde{v}$  is defined in terms of  $\tilde{u}$

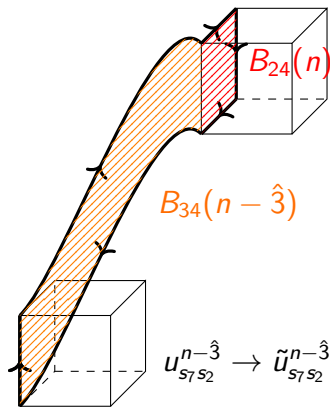
- ▶ Gauge covariance

$$\tilde{v}_{n,\mu}(x) \mapsto e^{\frac{2\pi i}{N} \lambda_\mu(n-\hat{\mu})} \tilde{v}_{n,\mu}(x)$$

- Fractional topological charge  $Q = \sum_n q(n) \in -\frac{\varepsilon_{\mu\nu\rho\sigma} Z_{\mu\nu} Z_{\rho\sigma}}{8N} + \mathbb{Z}$

$$q(n) = -\frac{1}{8N} \sum_{\mu,\nu,\rho,\sigma} \varepsilon_{\mu\nu\rho\sigma} B_{\mu\nu}(n) B_{\rho\sigma}(n + \hat{\mu} + \hat{\nu}) + \check{q}(n)$$

where  $\sum_n \check{q}(n) \in \mathbb{Z}$  (each term in  $q$  is not inv. under  $\mathbb{Z}_N^{[1]}$ )



# Mixed 't Hooft anomaly in $SU(N)$ or $U(1)$ GT

- 1-form inv. action:  $S_{\text{YM}} + S_{\text{matter}} - i\theta Q$

$$\mathcal{Z}_{\theta+2\pi}[B_\rho] = e^{-2\pi i Q[B_\rho]} \mathcal{Z}_\theta[B_\rho]$$

Anomaly between  $\mathbb{Z}_N^{[1]}$  gauge inv. and  $\theta$  periodicity

- In case of  $U(1)$  gauge theory, gauging  $\mathbb{Z}_q^{[1]} \subset U(1)_E$  symmetry

$$Q \in \frac{1}{8q^2} \varepsilon_{\mu\nu\rho\sigma} Z_{\mu\nu} Z_{\rho\sigma} + \frac{1}{4q} (\varepsilon_{\mu\nu\rho\sigma} Z_{\mu\nu})_{\mu\nu \leftrightarrow \rho\sigma} \mathbb{Z} + \mathbb{Z}$$

Modified as  $-iq\theta Q$  by Witten effect [Honda–Tanizaki '20]

- ▶ If  $-i\check{\theta}Q$ ,  $\check{\theta} \sim \check{\theta} + 2\pi q$ ;  $\check{\theta} = q\theta$ , then  $\theta \sim \theta + 2\pi$
- ▶ Electric charge of dyon =  $qe + \frac{1}{2\pi}\check{\theta}$  (matters with charge  $q\mathbb{Z}$ )
- ▶ 't Hooft anomaly =  $e^{-2\pi iqQ}$  with  $qQ \in \frac{1}{q}\mathbb{Z}$
- ▶ Under some kind of modification (see next §), there exist  $\mathbb{Z}_N^{[1]}$  if multiple of  $q$

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# Generalization: higher-group structure

- In general suppose  $\otimes_{i,p} G_i^{[p]}$  global symmetry
- After gauging, a naive direct product of symmetry groups?
  - ▶ Can each symmetry be gauged *individually*?
- Gauging  $G^{[0]} \times H^{[1]}$  global symmetry, then gauge transf.:

$$A \mapsto A + d\lambda^{(0)}, \quad B \mapsto B + d\lambda^{(1)} + Ad\lambda^{(0)}$$

2-group symmetry (cf. superstring theory [Green–Schwarz '84])

- ▶  $p$ -group symmetry:  $G_0^{[0]} \tilde{\times} \dots \tilde{\times} G_{p-1}^{[p-1]}$
- E.g., 4D  $SU(N)$  gauge theory with instanton number  $p\mathbb{Z}$ 
  - ▶ For any  $p \in \mathbb{Z}$ , local and unitary [Seiberg '10]
  - ▶ Global symmetry:  $\underbrace{\mathbb{Z}_N^{[1]} \text{ center sym}}_{\text{gauging}} \times \mathbb{Z}_p^{[3]} \text{ sym} \xrightarrow{\text{gauging}} \text{4-group}$   
[Tanizaki–Ünsal '19]  
cf. [Hidaka–Nitta–Yokokura '21]
- How to modify instanton sum & realize higher-group on lattice?

# Modified instanton-sum: higher-group symmetry

- For  $Q = \sum_n q_n$ , insert the delta function

$$\delta(q_n - pc_n) \rightarrow Q = p \sum_n c_n \in p\mathbb{Z}$$

where  $U(1)$  4-form field strength  $c_n$

- Obviously no nontrivial configurations for  $B_p$
- Introducing new field  $\Omega_n$  ( $\Omega_n \in \mathbb{R}$  and  $\sum_n \Omega_n \in \mathbb{Z}$ )
  - Replacement:  $c_n \rightarrow c_n - \frac{1}{Np}\Omega_n$ : 3-form gauge inv

$$q_n - pc_n + \frac{1}{N}\Omega_n = 0 \quad : \text{fractionality allowed}$$

- Redefine  $\Omega_n$  as  $\tilde{\Omega}_n \equiv \frac{1}{N}\Omega_n - \underbrace{\frac{1}{8N}\varepsilon_{\mu\nu\rho\sigma} B_{n,\mu\nu} B_{n+\hat{\mu}+\hat{\nu},\rho\sigma}}_{\text{fractional part of } Q}$

$$\text{Again } \sum_n \tilde{\Omega}_n \in \mathbb{Z}$$

$$\check{q}_n - pc_n + \tilde{\Omega}_n = 0 \quad \text{where } \check{q}_n: \text{integral part of } Q$$

- 1-form and 3-form gauge transf with  $\Omega_n^{(3)} \in \mathbb{R}$ :

$$B_p \mapsto B_p + (d\lambda)_p, \quad c_n \mapsto c_n + \frac{1}{p}d\Omega_n^{(3)} (+\mathbb{Z}),$$

$$\tilde{\Omega}_n \mapsto \tilde{\Omega}_n + d\Omega_n^{(3)} (+p\mathbb{Z}) + \left[ \frac{2}{N}B \wedge d\lambda + \frac{1}{N}d\lambda \wedge d\lambda \right] (+\mathbb{Z})$$

- Mixture of 1-form and 3-form gauge transf

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# Admissibility $\rightarrow$ absence of monopole?

- Magnetic monopole
  - ▶ Magnetic defect operators provide nontrivial topology
  - ▶ Quite heavy but significant in nonperturbative dynamics
  - ▶ Maxwell equation w/ monopole current  $j_m$ :  $d \star F = j_e$ ,  $dF = j_m$ .
- **Admissibility  $dF = 0$**  to reinstate topo. structure on lattice fields
  - ▶ Exercise:  $0 < \epsilon < \pi/3$ ?  
 $F_p \equiv \frac{1}{i} \ln U_p$ ,  $a_\ell \equiv \frac{1}{i} \ln U_\ell$  in  $(-\pi, \pi)$ ;  $F_p = (da)_p + 2\pi N_p$  since

$$(da)_p = \Delta_\mu a_{n,\nu} - \Delta_\nu a_{n,\mu} = [a_{n+\hat{\mu},\nu} - a_{n,\nu}] - [a_{n+\hat{\nu},\mu} - a_{n,\mu}]$$
$$|da| \leq 4|a| \leq 4\pi; \quad \text{Introduce } N \text{ as } |da + 2\pi N| \leq \pi.$$

$$\text{Imposing } |F_p| < \epsilon, \quad 6\epsilon > \underbrace{|\varepsilon_{\mu\nu\rho\sigma} \Delta_\nu F_{\rho\sigma}|}_{\rightarrow 0} = 2\pi \underbrace{|\varepsilon_{\mu\nu\rho\sigma} \Delta_\nu N_{\rho\sigma}|}_{< 1};$$

therefore  $6\epsilon < 2\pi$

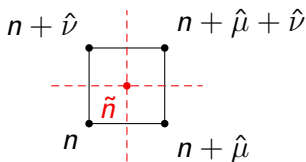
- How to discuss monopole properties on lattice w/ admissibility?
  - ▶ 2D compact bosons:  $\theta$  term and magnetic operators
  - ▶ Observation of analogue of Witten effect
  - ▶ Future study: 4D gauge theory



# Lattice formulation of $\theta$ angle and Witten effect

- Compact scalar fields:  $\phi_1(n)$ ,  $\phi_2(\tilde{n})$

- ▶ Dual lattice  $\tilde{n} = n + \frac{1}{2}\hat{1} + \frac{1}{2}\hat{2}$
- ▶  $\partial\phi_a(s, \mu) \equiv \frac{1}{i} \ln e^{-i\phi_a(s)} e^{i\phi_a(s+\hat{\mu})}$
- ▶  $\sup_{\ell} |\partial\phi_{a,\ell}| < \epsilon$ ,  $0 < \epsilon < \pi/2$ ;  
then Bianchi identity  $d\partial\phi = 0$



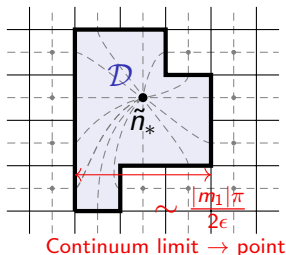
- Lattice action with  $\theta$  angle

$$S = \frac{R^2}{2\pi} \sum [1 - \cos \partial\phi_{a,\ell}] - i\theta Q, \quad Q = -\frac{1}{4\pi^2} \sum \epsilon_{\mu\nu} \partial\phi_{2,(\tilde{n},\mu)} \partial\phi_{1,(n+\hat{\mu},\nu)}$$

- Usually,  $Q_{m1}(C) \equiv \frac{1}{2\pi} \sum_{\ell \in C} \partial\phi_{1,\ell} = 0$
- **Excision method**: sites&links eliminated inside  $\mathcal{D}$ ; put one dual site  $\tilde{n}_*$  in  $\mathcal{D}$

$$m_1 = Q_{m1}(\partial\mathcal{D}) \in \mathbb{Z}$$

- $\langle M_1(\tilde{n}_*) \dots \rangle_{\theta+2\pi} = \langle M_1(\tilde{n}_*) e^{im_1\phi_2(\tilde{n}_*)} \dots \rangle_{\theta}$   
for magnetic defect operator  $M_1(x)$



# EM symmetry and 't Hooft anomaly

- $U(1)$  electric/magnetic global symmetries:  $j_e = \star d\phi$ ,  $j_m = d\phi$ 
  - ▶ Electric charged object  $e^{i\phi}$ ;
  - Monopole  $M_1(x)$  with  $(0, m_1) \xrightarrow{\text{Witten}}$  **dyon** with  $(m_1, m_1)$

- Background gauging  $U_{\rho, \tilde{\rho}}^{\text{em}, a}$ :

$$F_{\rho}^{(e,1)} \text{ and } F_{\tilde{\rho}}^{(m,1)} \text{ for } \phi_1, F_{\tilde{\rho}}^{(e,2)} \text{ and } F_{\rho}^{(m,2)} \text{ for } \phi_2$$

- ▶  $\sup |F| < \delta$  with  $0 < \delta < \min(\pi, 2\pi - 4\epsilon)$

- ▶  $F_{\mu\nu}^e = \Delta_{\mu} D\phi_{n,\nu} - \Delta_{\nu} D\phi_{n,\mu}$

- Analogue of  $\theta$  shift is given by

$$\theta \rightarrow \theta + 2\pi, \quad F_{\tilde{\rho}}^{(m,1)} \rightarrow F_{\tilde{\rho}}^{(m,1)} - F_{\tilde{\rho}}^{(e,2)}, \quad F_{\rho}^{(m,2)} \rightarrow F_{\rho}^{(m,2)} + F_{\rho}^{(e,1)}$$

- ▶ Mixing  $\mathcal{Q}_{m1}$  with  $\mathcal{Q}_{e2}$  on  $\tilde{\Lambda}$ ;  $\mathcal{Q}_{m2}$  with  $\mathcal{Q}_{e1}$  on  $\Lambda$  (**Witten effect**)

- Under above shift, we observe mixed 't Hooft anomaly

$$\mathcal{Z}_{\theta+2\pi}[A^{(e,a)}, A^{(m,a)} - \varepsilon_{ab} A^{(e,b)}] = e^{-\frac{i}{2\pi} \sum \varepsilon_{\mu\nu} A_{\mu}^{(e,2)}(\tilde{n}) A_{\nu}^{(e,1)}(n+\hat{\mu})} \mathcal{Z}_{\theta}[A]$$

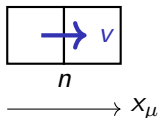
- Magnetic charge in bosonization of 2D  $U(1)$  chiral gauge theory  
[OM–Onoda–Suzuki '24]

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# Summary [1/2]

- Generalized symmetries have been developed in this decade
  - ▶ Higher-form sym, higher-group sym, noninvertible sym, subsystem sym, ...
  - ▶ Through the use of 't Hooft anomaly matching, new insights about *nontrivial dynamics & classification of phases*
- Standing on a **fully regularized framework: lattice gauge theory**
  - ▶ Generalization of Lüscher's construction of topology on lattice
  - ▶ Maintaining **locality,  $SU(N)$  gauge inv & higher-form gauge inv**
  - ▶ There exists interpolation to smooth enough bundle structure

- ★ Transition function  $v_f(n) \rightarrow v_f(x)$
- ★  $Q$  is written in terms of  $v_f(x)^{-1} \partial_\nu v_f(x)$



$$Q \in \mathbb{Z} \xrightarrow{\text{Gauging } \mathbb{Z}_N^{[1]}} \frac{1}{N} \mathbb{Z}$$

- ▶ Mixed 't Hooft anomaly between  $\mathbb{Z}_N^{[1]}$  &  $\theta$  periodicity

# Summary [2/2]

- **Robust discussion on higher-group** from lattice
  - ▶ [Continuum case]  $SU(N) \rightarrow U(N)$  & many  $U(1)$  fields:  $F \rightarrow \tilde{F}$ ,  
 $\text{tr } \tilde{F} = B^{(2)}$ ,  $NB^{(2)} = dB^{(1)}$ ,  $pD^{(4)} = dD^{(3)} + \frac{N}{4\pi} B^{(2)} \wedge B^{(2)}$   
What is topological object?  $\Rightarrow \int ND^{(4)} \in \frac{2\pi}{p} \mathbb{Z}$
  - ▶ [Lattice case] **Counting** integers and fractional numbers;  
mixture of symmetries
- Lattice construction of **magnetic operators** and observation of **Witten effect**
  - ▶ Lattice field theories with admissibility condition
  - ▶ Excision region  $\mathcal{D} \rightarrow$  a point (monopole) in continuum limit
  - ▶ Arranging lattice/dual-lattice for mixing magnetic charges with electric ones
- Future works
  - ▶ Monopole, 't Hooft line in gauge theory [Honda–Onoda–Suzuki '24]
  - ▶ Other kinds: non-invertible (categorical) sym  
[Honda–OM–Onoda–Suzuki '24; talk by Suzuki-san]