Reliability assessment of the performance of granular column in the nonuniform liquefiable ground to mitigate the liquefaction-induced ground deformation

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Abstract

Granular columns have been widely used to mitigate the liquefaction-induced ground deformation. The increment in lateral stress due to densification, shear reinforcement, and drainage capacity of granular columns are believed to increase the liquefaction resistance of the ground. However, several case histories and recent research development exhibited the limitations of the effectiveness of granular columns under strong earthquakes. Besides, the mechanism of shear reinforcement governed by granular columns is poorly understood. Moreover, the spatial nonuniformity of the ground should be considered for a reliable engineering assessment of the performance of granular columns. A series of three-dimensional nonlinear stochastic analyses are carried out using the OpenSees framework with PDMY02 elasto-plastic soil constitutive model to map the reliability of the performance of equally-spaced granular columns. Soil variability is implemented with stochastic realizations of overburden and energy-corrected, equivalent clean sand, (N1)60cs values using spatially correlated Gaussian random field. The reliability of the performance of granular column is assessed based on the stochastic distributions of average surface settlement and horizontal ground displacement associated with the degree of confidence. The implications of cumulative absolute velocity, Arias Intensity and peak acceleration of different ground motions on the efficacy of the granular column are also discussed.

Keywords: Granular column; liquefaction; spatial nonuniformity; stochastic analyses

1 1. Introduction

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3 tilting, and sinking of the foundation-structure system all over the world during many past 4 earthquakes. The construction on the liquefiable ground is not recommended unless the 5 appropriate liquefaction mitigation measures are taken at such sites. Liquefaction 6 mitigation by granular columns or gravel drainage system is one of the well-established 7 techniques, which is used to facilitate quick dissipation of excess pore water pressure 8 generated during the earthquake. Besides, the granular columns densify the surrounding 9 soil during installation and believe to re-distribute the earthquake-induced or pre-existing 10 stresses (Seed and booker 1977, Tokimatsu et al. 1990, and Adalier and Elgamal 2004). 11 Many researchers have found that the pioneering design charts for granular columns 12 developed by Seed and Booker (1977) overestimate their performance (Boulanger et al. 13 1998, Adalier et al. 2003, Adalier and Elgamal 2004, Olarte et al. 2017, and Kumar et al. 14 2019b). Brennan and Madabhushi (2002) performed centrifuge experiments to investigate 15 the effectiveness of vertical drains in the mitigation of liquefaction-induced effects. They 16 reported that the flow front (zone of adequate drainage at any time) play a vital role in the 17 performance of gravel drains. The flow front slows down with distance from the gravel 18 drain, and hence it is highly relevant to consider the effective radius and adequate spacing 19 between the gravel drains. Adalier and Elgamal (2004) have performed centrifuge 20 experiments to understand the liquefaction mitigation capabilities of granular columns 21 and associated ground deformations. They concluded that the performance of granular 22 columns depends on their drainage capacity, and the densification of the ground during 23 the installation of granular columns is inevitable. The ancillary benefits of treating the 24 ground with granular columns are the restriction of shear deformation, offering the 25 containment of the encapsulated soil, and providing stiffening-matrix effects (reducing

Liquefaction has caused severe damage to the built environment, for instance, settlement,

26 the stress in adjacent soil) (Boulanger et al. 1998, Adalier et al. 2003, Adalier and Elgamal 27 2004, Olarte et al. 2017, and Kumar et al. 2019b). However, these effects are not well 28 established yet, and more research is needed for a better understanding in this regard. 29 Raymajhi et al. (2016) investigated the contribution mechanism of shear reinforcement, 30 increment in lateral stress, and drainage effects with the help of three-dimensional finite-31 element analyses. They reported that the granular columns undergo a shear strain 32 deformation pattern, which is noncompatible with the surrounding soil contrasting with 33 the conventional design assumption of shear strain compatibility. Many researchers 34 (Goughnour and Pestana 1998, Green et al. 2008, Olgun and Martin 2008, and Raymajhi 35 et al. 2014) also suggested that the granular columns may deform in both flexure and 36 shear modes which are not considered in the conventional design charts.

37 The ground is prone to spatial nonuniformity and needs to be taken into account for 38 a reliable engineering assessment of the performance of granular columns. The modeling 39 of inherent soil variability can be achieved utilizing the advanced nonlinear finite element 40 analyses and well-calibrated sophisticated elasto-plastic soil constitutive models. 41 Reliability analyses provide a means of evaluating the combined effects of uncertainties 42 in the parameters involved in the calculations, and they offer a useful supplement to 43 traditional engineering judgment (Duncan 2000). For a thorough understanding of risk 44 and reliability analyses in geotechnical engineering, readers are suggested to read 45 Christian et al. (1994) and Phoon and Ching (2014). Case histories and recent research 46 development have exhibited the limitations of granular columns under strong earthquakes 47 (Boulanger et al. 1998, Adalier et al. 2003, Adalier and Elgamal 2004, Brennan and 48 Madabhushi 2002, Olarte et al. 2017, and Kumar et al. 2019b). Moreover, the mechanism 49 of liquefaction resistance, drainage effects, deformation pattern, and shear reinforcement 50 due to granular columns are poorly understood. In this paper, a series of nonlinear 51 stochastic analyses are carried out using the OpenSees framework with PDMY02 elastoplastic soil constitutive model. The soil variability is implemented with stochastic realizations of overburden and energy-corrected, equivalent clean sand, $(N1)_{60cs}$ values using spatially correlated Gaussian random field. Three-dimensional finite element simulations are performed for the sufficient number of realizations to map the reliability of the effectiveness of equally-spaced granular columns to mitigate the liquefactioninduced ground deformation.

58 2. Numerical model

59 The presented work is inspired by the findings of a series of centrifuge experiments reported in Kumar et al. (2019b). The authors developed a hybrid foundation, which is a 60 61 combination of the gravel drainage system and friction piles as a remedial measure against 62 the liquefaction-induced effects. The efficacy of the hybrid foundation was investigated in the uniform deposit of liquefiable Toyoura sand ($D_R \sim 50\%$). The gravel drainage 63 64 system ($D_R \sim 30\%$) used in the centrifuge experiment was an array of 5x5 granular 65 columns (see Figure 1). Design charts reported by Seed and Booker (1977) in their 66 seminal work and the revised guidelines presented by Bouckovalas et al. (2006) were 67 used to design the granular columns. Many parameters, e.g., replacement area, target excess pore water pressure ratio, earthquake intensity, reported case histories, and 68 69 installation methodology of gravel drains, were considered while designing the granular 70 columns. The index properties of Toyoura sand and granular column (silica no. 3) are 71 shown in Table 1.

There are a few parameters that need to be considered to ensure the reliability of the performance of the granular column as an integral part of the developed hybrid foundation. For instance, the ground is prone to spatial nonuniformity, which was not considered in the centrifuge experiments. Besides, the granular columns only provided additional drainage to rapidly dissipate the excess pore water pressure, and the

77 contribution in the shear reinforcement was ignored in the centrifuge experiments. 78 Moreover, the density of granular columns in the centrifuge experiments was ~30%, 79 which is significantly less than the density of constructed granular columns at the site 80 (which is usually in the range of 75~85%). These site-specific parameters are essential to 81 consider for a reliable engineering judgment on the performance of granular columns to 82 mitigate the liquefaction-induced ground deformation. For that purpose, half of the single 83 granular column (with $D_R \sim 80\%$) in the middle of the gravel drainage system (due to 84 symmetry) under the buffer tank (BT) and associated model ground (effective drainage 85 zone of granular column) in the above-mentioned centrifuge test is considered for the 86 numerical simulations, as shown in Figure 2. The reason for this idealization is that the 87 modeling of the whole centrifuge model and gravel drainage system (see Figure 1) is 88 computationally expensive and not feasible for stochastic analyses as the reliability 89 assessment requires thousands of analyses. Similar idealizations have been well-adopted 90 by many researchers (Elgamal et al. 2009, Raymajhi et al. 2014, and Khosravifar et al. 91 2018). This approach does not account for the distinct stress distribution to the individual 92 granular column (in the gravel drainage system) coming from the foundation-structure 93 system during the dynamic event. Instead, the intent is to explore the reliability at a single 94 granular column to get an insight into the overall performance of the whole gravel drainage system. 95

Numerical simulations are carried with Rayleigh damping of 1% at a frequency of 1 Hz corresponding to the first-mode of a typical nonlinear ground response is used in the analyses (Stewart et al., 2008). The ground is modeled using brick u-p (8-node brickUP) elements. The load from the foundation-structure system is modeled as surface pressure for simplicity. The effects of the superstructure inertia are ignored in this study. The bottom nodes of the ground are kept fixed in all the degrees of freedom. Tokachi-Oki ground motion (NS component of recorded shaking at the Hachinohe Port in 1968, see 103 Figure 2) is imposed on the bottom nodes of the ground during the dynamic analyses 104 using the multiple support excitation technique in OpenSees. All the nodes on the side 105 boundary with the same elevation are tied to move together (in X and Y direction) using 106 equalDOF command in OpenSees. The vertical movement of side boundary nodes are 107 kept free. The nonuniformity of the liquefiable ground is considered in the presented study. 108 Based on the random realization of the nonuniformity of the ground, the relative density 109 of the elements would fall into a wide range ($D_R = 30 - 75\%$, discussed in subsection 4.1). 110 The dynamic behavior of the liquefiable element significantly depends on the relative 111 density and its corresponding calibrated parameters. In this case, tie the vertical 112 movement of side nodes with periodic boundary (as adopted by Law and Lam, 2001; 113 Elgamal et al., 2009; and Rayamajhi et al., 2014, for a uniform ground) would enforce 114 the side boundary elements to have same settlement which is not reasonable for the 115 nonuniform ground even though the extent of the model in the X and Y directions (see 116 Figure 2) are small compared to the size of the granular column. All the nodes above the 117 water table are assigned zero pore water pressure. The nodes of the planes of Y=0 and 118 0.7 m (see Figure 2) are kept fixed against the out-of-plane displacement.

PDMY02 soil constitutive model is used to model the dynamic behavior of the ground. The PDMY02 Model is an elastoplastic soil-liquefaction constitutive model originally developed to simulate the cyclic liquefaction response and the associated accumulation of cyclic shear deformation in clean sand and silt (Yang et al. 2003). Within a stress–space plasticity framework, PDMY02 Model employs a new flow rule and strain–space parameters to simulate the cyclic development and evolution of plastic shear strain. PDMY02 does not include a critical state soil mechanics framework.

126 The parameters of the PDMY02 Model are calibrated to achieve the single-127 amplitude shear strain of 3% in cyclic undrained simple shear loading with zero initial 128 static shear stress ratio on a horizontal plane at a single element level. Laboratory test 129 results from Chiaro et al. (2012) are considered as the dynamic behavior of saturated 130 Toyoura sand with a relative density of 50% at a single element level for the calibration 131 purpose. Figure 3(a) shows a typical response of calibrated PDMY02 Model for cyclic 132 stress ratio (CSR) = 0.171, $D_R = 50\%$, and $\sigma'_{vc} = 100$ kPa in cyclic undrained simple shear 133 loading with zero initial static shear stress ratio on a horizontal plane. The PDMY02 134 Model exhibits the ability of shear strain accumulation, commonly referred to as cyclic 135 mobility, which is evident from the stress-strain behavior. The stress path is shown in 136 Figure 3(b). The vertical effective stress ratio drops down to nearly zero within 15 cycles 137 and triggered large shear strains afterward. Numerically simulated cyclic response at the 138 single element level is obtained after calibrating the parameters of the PDMY02 Model 139 to achieve a similar response as observed in the experiment in terms of cyclic mobility, 140 initial shear modulus, and the accumulation rate of shear strain. Figure 3(c) shows the 141 shear strain accumulation with the drop in vertical effective stress ratio. Figure 3(d) shows 142 the CSR curves corresponding to single-amplitude shear strains of 3% with zero initial 143 static shear stress ratio. The calibrated values of the PDMY02 Model for Toyoura sand 144 $(D_R \sim 50\%)$ and granular column $(D_R \sim 80\%)$ are shown in Table 2.

145 **3. Deterministic analyses**

146 3.1 Ground deformation

The deterministic analysis is carried out (Toyoura sand with $D_R = 50\%$, the granular column with $D_R = 80\%$) before performing the series of stochastic analyses to investigate the dynamic behavior of a liquefiable ground treated with equally-spaced granular columns. The simulated time histories of average settlement of the top surface of the grounds with and without granular column are shown in Figure 4. The settlement time histories are divided into co-shaking and post-shaking phases. It is evident that the rate of settlement in the co-shaking phase (until t = 50 s) is significantly large in the case of the 154 ground with the granular column in comparison with the ground without a granular 155 column. The large permeability of the granular column seems to adversely affect the 156 settlement evolution during the co-shaking phase. The settlement time histories also 157 indicate that the relatively large stiffness of the granular column (with respect to the 158 ground) does not have any contribution in the restriction of the average vertical settlement 159 of the top surface of the ground. The authors also confirmed this with the simulated settlement time histories of the ground with granular columns of density $D_R = 30$ and 80% 160 161 and found that there was not any considerable change in the simulated settlement response. 162 The effectiveness of the granular column is evident in restricting the post-shaking average 163 settlement of the top surface of the ground. Similar trends were observed in the centrifuge 164 experiments (Kumar et al. 2019b). However, the numerically simulated settlement is 165 significantly less than the observed settlement (in centrifuge experiments) in the post-166 shaking phase for both the grounds with and without granular column, while that in the 167 co-shaking is comparable to the observed ones. It is to be noted that the laminar boundary 168 conditions in the numerical model ignore the settlement contribution due to three-169 dimensional lateral spreading in the centrifuge test. This idealization is also responsible 170 for the overall less settlement in the case of numerical simulations. Several researchers 171 (Taibet et al. 2007; Dashti and Bray 2013; Karimi and Dashti 2015; and Kumar et al. 172 2020) have made similar observations. The numerical models typically exhibit limitations 173 in capturing the settlement caused by partial drainage and reconsolidation specifically in 174 the post-shaking phase because of the characteristics of their constitutive formulations, 175 as reported by Shahir et al. (2012), Karimi and Dashti (2016), Boulanger and Ziotopoulou 176 (2017), and Adamidis and Madabhushi (2019).

Figure 5 shows the horizontal displacement of the top surface of the grounds with and without the granular column. The peaks of applied ground motion triggered the large horizontal displacement at the beginning of the shaking (t = $12 \sim 16$ s). The ground 180 without the granular column experienced the mobilization of its shear strength soon after 181 the maximum horizontal displacement and started exhibiting the traces of cyclic mobility 182 (accumulation of horizontal displacement in one direction) after t = 28 s. However, the 183 ground with granular column did not show such a tendency, and the residual horizontal 184 displacement is marginal in comparison with the ground without a granular column.

185

3.2 Evolution of excess pore water pressure

186 The evolution of excess pore water pressure (EPWP) plays a vital role in the manifestation 187 of liquefaction during the dynamic event. Figure 6 shows the EPWP generation and 188 dissipation trends at different depths along a selected point C (see Figure 2) for the 189 grounds with and without a granular column. The soil at certain depth undergoes 190 liquefaction state if the excess pore water pressure ratio (r_u) , which is the ratio of EPWP 191 and the initial vertical effective stress at respective depth, approaches one. During the 192 early phase of shaking, the generation rate of EPWP is typical for both the grounds. 193 However, the ground without granular column shows a significantly larger magnitude of 194 maximum EPWP, even approaching $r_u = 1$ line (liquefaction state) at depths Z = 5, 8, and 195 10 m. The ground with granular column exhibits significantly faster dissipation of EPWP 196 after t = 20 s in comparison with the ground without the granular column. The observed 197 trends signify that the presence of a granular column is able to restrict the evolution of 198 EPWP to minimize the extent of the liquefaction in the ground.

199 Contours of maximum r_u for the grounds with and without granular column at 200 different depths are shown in Figure 7. Four different planes are selected at different 201 depths Z = 2.25, 4.25, 6.25 and 8.25 m (depths are selected below the water table or 202 drainage boundary to examine the apparent effects of granular column). Figure 7(a) 203 depicts that the ground without granular column undergoes liquefaction as the values of 204 r_u is in the range of 0.90 – 1.0 for all the planes at depths Z = 2.25, 4.25, 6.25, and 8.25 m 205 (however, slightly lower values of r_u in the range of 0.85 - 0.90 are observed for a few 206 elements at depth Z = 2.25 m). The presence of granular column is found to restrict the 207 evolution of EPWP remarkably as the r_u values are significantly lower for the ground with 208 the granular column in caparison with the ground without granular column as depicted in 209 Figure 7(b). The best performance of the granular column appeared to be just below the 210 base of the granular column (at depth Z = 6.25 m, noted that the depth of the granular 211 column is 6 m). The base of the granular column acts as a drainage boundary for the pore 212 fluid during the earthquake. The strong hydraulic gradients steer the pore fluid toward the 213 granular column, which facilitates in the significant dissipation of EPWP (Kumar et al. 214 2019b). This also corroborates the observation that the granular column is able to restrict 215 the values of r_u in the range of 0.70 - 0.85 for a plane at a depth Z = 8.25 m, which is 216 significantly deeper from the base of the granular column.

217 3.3 Shear reinforcement

218 The deformed shapes (10 times magnified) after the shaking and the distribution of excess 219 pore water pressure ratio (r_u) at t = 16 s for the grounds with and without granular column 220 are shown in Figure 8. It is evident from Figure 8(a) that the ground without the granular 221 column undergoes significant deformation (both settlement and horizontal displacement). 222 The ground exhibited mobilization of shear strength for depths Z = 4 - 6 m (element 223 behavior at Z = 5 m is shown in Figure 9(a)). The ground also exhibited the state of liquefaction ($r_u \sim 1$, for depths Z = 3 – 9 m, as shown in Figure 8(b)). The liquefaction in 224 225 the ground resulted in the mobilization of shear strength during the shaking, which lead 226 to the excessive deformation of the ground. The presence of granular column increased 227 the overall stiffness of the ground (discussed later with Figures 9 and 10) and minimized 228 the overall liquefaction extent of the ground (Figures 7 and 8(b)), which restricted the 229 deformation of the ground.

Stress-strain curves for elements of array E, at Z = 5 and 10 m (see Figure 2) for 230 231 the grounds with and without granular column are shown in Figure 9. It is evident from 232 Figure 9(a) that the element of the ground without the granular column undergoes 233 considerable shear strain in comparison with the ground with the granular column. This 234 also corroborates the observation made earlier that the ground without granular column 235 exhibited mobilization of excessive shear strength for depths Z = 4 - 6 m (as discussed with Figure 8(a)). The stress-strain curves for the element at Z = 10 m (Figure 9(b)) 236 237 exhibits the trace of relatively large stiffness degradation during the shaking for the 238 ground without the granular column in comparison with the ground with the granular column. This implies that the presence of a granular column increases the overall stiffness 239 240 of the ground. Besides, the granular column helped to minimize the liquefaction extent (Figures 7 and 8(b)), which also resulted in stiffer behavior of the ground with the granular 241 242 column.

The general notion that the presence of a granular column increases the overall stiffness of the ground (Baez 1995) is further examined with Figures 10 and 11. The induced cyclic stress ratio during the shaking is proportional to the shear stress reduction coefficient per the simplified procedure of Seed and Idriss (1971), as shown in equation 1.

248
$$\operatorname{CSR} = \frac{\tau_{\mathrm{S}}}{\sigma_{\mathrm{V}}'} = 0.65 \left(\frac{a_{\mathrm{max}}}{g}\right) \left(\frac{\sigma_{\mathrm{V}}}{\sigma_{\mathrm{V}}'}\right) \mathrm{rd}$$
 (1)

Where, CSR = cyclic stress ratio; $\tau_s = cyclic$ shear stress; σ'_v and $\sigma_v =$ effective and total vertical stress at a depth of interest, respectively; $a_{max} =$ peak horizontal acceleration; rd = shear stress reduction coefficient. Larger is the stress reduction coefficient, larger the induced cyclic stress ratio during the shaking. The effect of the granular column on shear stress distribution within the ground is estimated using R_{rd}, which is defined in equation 2.

255
$$R_{rd} = \frac{rd_{wg}}{rd_{ng}}$$
(2)

Where, rd_{wg} and rd_{ng} = shear stress reduction coefficient for the grounds with and without granular column, respectively. The value of R_{rd} can provide an insight into the shear reinforcement in the ground due to the granular column. For instance, the value of R_{rd} less than one, equal to one, and more than one implies that the ground with granular column experience proportionally smaller, equal, and larger shear stress, respectively, in comparison with the ground without the granular column.

262 Figure 10 shows the contours of R_{rd} at different depths (at the middle of the elements for planes Z = 0.25, 2.25, 4.25, 6.25 and 8.25 m) of the ground. The values of R_{rd} inside 263 264 the zone of the granular column (see Figure 2) is more than or equal to one for planes Z 265 = 0.25, 2.25, and 4.25 m as expected. This is associated with the fact that the granular 266 column attracts larger shear stress due to its stiffer characteristics. The substantial spatial 267 variation in the values of R_{rd} is evident at the top surface of the ground (plane Z = 0.25268 m), which is associated with the deformation pattern. Besides, the load from the 269 foundation-structure system is modeled as surface pressure (applied at the top surface of 270 the ground), which also resulted in the attraction of significant shear stress due to the apparent inertial interaction during the dynamic loading. The values of R_{rd} for planes Z =271 272 2.25 and 4.25 m are significantly less than one in the ground away from the zone of the 273 granular column, which shows the substantial contribution in the shear reinforcement due 274 to the presence of the granular column. A relatively uniform distribution of R_{rd} is observed 275 for the planes Z = 6.25 and 8.25 m, and the values of R_{rd} are less than one. It is to be noted 276 that the granular column is up to 6 m of depth (see Figure 2); however, the presence of 277 the granular column seems to reduce the shear stress in the whole ground.

The presence of a granular column reduces the induced shear stress in the ground, as shown in Figure 10. However, the magnitude of shear strain in the ground may not 280 adhere to the shear reinforcement during the shaking. Figure 11 shows the contours of the ratio of shear strain (γ) at different depths (at the middle of the elements for planes Z = 281 0.25, 2.25, 4.25, 6.25 and 8.25 m) of the ground. The value of γ less than one signifies the 282 283 contribution of shear reinforcement in reducing the shear strain in the ground due to the 284 granular column. The strong spatial variation in the values of γ (values being close to one) 285 is evident for the planes at depth Z = 0.25 and 2.25 m. This incompatibility in shear strain 286 reduction is attributed to the complex deformation mechanism as reported by several 287 researchers (Goughnour and Pestana 1998, Green et al. 2008, Olgun and Martin 2008, 288 and Raymajhi et al. 2014) and should be taken into account while designing the gravel drainage system. 289

290 **4. Stochastic analyses**

291 4.1 Numerical model

The nonuniformity of the ground is mapped using the overburden and energy-corrected, equivalent clean sand, SPT (N1)_{60cs} values. For a given (N1)_{60cs} value, the relative density (D_R) is calculated per equation 3 (Boulanger and Ziotopoulou, 2017).

295
$$D_{\rm R} = \sqrt{\frac{({\rm N1})_{60cs}}{46}}$$
 (3)

296 A Gaussian correlation function is used, and the random field is generated with Karhunen 297 Loeve (KL) decomposition method (Constantine and Wang, 2012, 2020). The discretized 298 mesh (Figure 2) is implemented in the matrix form of size n by d; where n is the number 299 of nodes and d is the dimension of the random field. The coefficient of variation (COV = 300 40%) and scale of fluctuation ($\theta x = 5.0$ m and $\theta z = 0.5$ m) are considered to model the 301 nonuniformity of the ground according to Phoon and Kulhawy (1999) and Montgomery 302 and Boulanger (2016). The nonuniformity of the ground is modeled with a mean $(N1)_{60cs}$ 303 = 12 ($D_R \sim 50\%$), as shown in Figure 12. A series of three-dimensional stochastic dynamic 304 analyses are performed considering the nonuniformity of the ground using anisotropic, spatially correlated Gaussian random fields of (N1)60cs values. The parameters of 305 306 PDMY02 are calibrated for a wide range of relative densities corresponding to $(N1)_{60cs}$ 307 of 5 ($D_R \sim 32\%$) to (N1)_{60cs} of 26 ($D_R \sim 75\%$). The parameters of the PDMY02 Model are calibrated to achieve the single-amplitude shear strain of 3% in cyclic undrained simple 308 309 shear loading with zero initial static shear stress ratio on a horizontal plane at a single 310 element level as described earlier. The target strength (CRR for 3% single-amplitude 311 shear strain in 15 uniform cycles) for different relative densities are estimated using the 312 SPT-based correlation as suggested by Boulanger and Idriss (2014). Numerically 313 simulated CSR curves for relative densities of $D_R = 30$ and 75% are compared with the 314 CSR curves obtained in the experiment (after Tatsuoka et al. 1982; and Tsukamoto et al. 2006), as shown in Figure 13 (CSR curves for $D_R = 50\%$ is shown in Figure 3(d)). Figures 315 316 3(d) and 13 exhibit that the calibrated parameters reasonably approximate the dynamic behavior of Toyoura sand. The calibrated parameters for 17 different individual relative 317 densities ranging from $D_R = 30 - 75\%$ are tabulated in Table 3. For intermediate relative 318 319 densities, linear interpolation is used to get the calibrated parameters. The granular 320 column and associated ground (Toyoura Sand) is assigned a uniform permeability value 321 of 0.0066 and 0.0002 m/s, respectively. The assigned uniform properties for the granular 322 column is corresponding to $(N1)_{60cs}$ of 30 (D_R ~ 80%), per Raymajhi et al. (2016) and 323 Khosravifar et al. (2018). The random field of (N1)_{60cs} values with calibrated parameters 324 of the PDMY02 Model are implemented into the OpenSees numerical model with the 325 help of Matlab code.

Figure 14 shows the typical variation of the mean and standard deviation of the average settlement and horizontal displacement of the top surface (Z = 0 plane, see Figure 2) of the ground with the granular column. The mean and standard deviation become stable within fifty realizations, and hence, a reliable statistical interpretation of the 330 stochastic data can be obtained from the series of nonlinear dynamic numerical 331 simulations. It should be noted that the larger the number of realizations, the better the 332 reliability of the statistical interpretation. However, the numerical computational expense 333 should be taken into account when selecting the total number of realizations without 334 compromising with the stability of the mean and standard deviation of the primary 335 stochastic outcomes (e.g., the average settlement and horizontal displacement of the top 336 surface of the ground in this study).

337 4.2 Stochastic distribution of ground deformation

338 The results of three-dimensional stochastic analyses are presented and compared with the deterministic analysis results. Figure 15 illustrates the stochastic distribution of the 339 340 average settlement and horizontal displacement of the top surface of the ground (Z = 0) 341 plane, see Figure 2) for the grounds with and without a granular column. Figure 15(a)342 depicts that the mean (μ) and the standard deviation (σ) of the average surface settlement is 4.31 cm and 0.23 cm, respectively, for the ground with the granular column. It is 343 344 observed that the mean of stochastic average surface settlement is significantly larger than 345 the respective deterministic value. Whereas, the mean (μ) and the standard deviation (σ) 346 of the average surface settlement is 4.80 cm and 0.10 cm, respectively, and the mean value 347 is comparable to the deterministic value for the ground without the granular column. A 348 relatively wider stochastic distribution and considerable standard deviation in the average 349 surface settlement is evident in the case of the ground with the granular column in 350 comparison with the ground without a granular column. This emphasizes that the presence 351 of a granular column may adversely affect the uncertainty in the prediction of the average 352 surface settlement due to the inherent ground nonuniformity (further discussed with 353 Figure 16). Figure 15(b) depicts that the mean (μ) and the standard deviation (σ) of the 354 horizontal surface displacement is 0.31 cm (distribution in the range of -1.0 cm to 1.5 cm) 355 and 0.60 cm, respectively, for the ground with the granular column. Whereas, the mean 356 (μ) and the standard deviation (σ) of the surface horizontal displacement is -3.17 cm 357 (distribution in the range of -6.0 cm to -1.0 cm) and 1.34 cm, respectively, for the ground 358 without granular column. The mean of the stochastic distribution is comparable with the 359 deterministic values for both the cases of grounds with and without the granular column. 360 However, a relatively wider stochastic distribution and considerable standard deviation 361 in the horizontal surface displacement is evident in the case of the ground without the 362 granular column in comparison with the ground with the granular column. This 363 emphasizes that the presence of a granular column may favorably affect the uncertainty 364 in the prediction of horizontal surface displacement (further discussed with Figure 16). 365 The reason for this is the shear reinforcement of the ground due to the stiffness of the 366 granular column, which is the governing factor for the residual amount of horizontal 367 surface displacement, as discussed earlier. Besides, the granular column is considered 368 with uniform properties (Table 1), which facilitated relatively less uncertainty in the 369 prediction of surface horizontal displacement in the case of the ground with the granular 370 column in comparison with the ground without a granular column.

371 The probability of deviation of the stochastic average settlement and horizontal 372 displacement of the top surface of the ground from their deterministic values are evaluated 373 and presented in Figure 16 for the grounds with and without the granular column. The 374 deviations of the average settlement and horizontal displacement of the top surface of the 375 ground are considered on the positive side (more than the deterministic value) and the 376 negative side (less than the deterministic value). Figure 16(a) shows that total 377 probabilities of the stochastic average surface settlement being deviated on the negative 378 side from the deterministic value are 13.07 and 40.03%, respectively, and on the positive 379 side from the deterministic value are 86.45 and 56.65%, respectively, for the grounds with and without granular column. The maximum deviation of the average surface settlement 380

381 on the negative side is 0.20 cm (with a 2.32% probability of occurrence) and 0.29 cm 382 (with a 0.09% probability of occurrence), respectively, for the grounds with and without 383 granular column. The maximum deviation of the average surface settlement on the 384 positive side is 0.62 cm (with a 5.97% probability of occurrence) and 0.19 cm (with a 385 4.43% probability of occurrence), respectively, for the grounds with and without granular 386 column. A relatively larger deviation from the deterministic value associated with a 387 significant probability of occurrence in case of the ground with granular column signifies 388 that the presence of granular column adversely affects the uncertainty in the prediction of 389 the average surface settlement due to the inherent ground nonuniformity as discussed 390 earlier (with Figure 15(a)). Figure 16(b) shows that total probabilities of stochastic 391 surface horizontal displacement being deviated on the negative side from the 392 deterministic value are 57.05 and 42.68%, respectively, and on the positive side from the 393 deterministic value are 41.92 and 51.69%, respectively, for the grounds with and without 394 granular column. The maximum deviation of the horizontal surface displacement on the 395 negative side is 1.48 cm (with a 1.12% probability of occurrence) and 2.32 cm (with a 396 2.96% probability of occurrence), respectively, for the ground with and without granular 397 column. The maximum deviation of the horizontal surface displacement on the positive 398 side is 1.04 cm (with a 2.74% probability of occurrence) and 3.30 cm (with a 1.05% 399 probability of occurrence), respectively, for the grounds with and without granular 400 column. A relatively larger deviation from the deterministic value associated with a 401 significant probability of occurrence in the case of the ground without granular column 402 signifies that the presence of granular column favorably affects the uncertainty in the 403 prediction of the horizontal surface displacement due to the inherent ground 404 nonuniformity as discussed earlier (with Figure 15(b)).

405 4.3 Stochastic bounds of excess pore water pressure ratio

406 Spatial nonuniformity is prone to influence the evolution of EPWP, which may 407 significantly affect the deformation mechanism of the ground (as witnessed in Figures 15 408 and 16). The efficacy of the granular column to restrict the r_{μ} (for uniform ground, with 409 deterministic analyses) is discussed in Figure 7. Similarly, the contours of r_u at different 410 depths are estimated for each realization from the series of stochastic analyses considering 411 the spatial nonuniformity of the ground with the granular column to trace the worst 412 performance (the largest values of r_u) and best performance (smallest values of r_u) of the 413 granular column as shown in Figure 17. The contours of r_u in Figure 17(b) are 414 significantly larger than the contours of r_u shown in Figure 17(a), which implies that the 415 performance of granular column per the restriction of EPWP may be compromised due 416 to the nonuniformity of the ground. The values of r_u in Figures 7(b) (deterministic case) 417 and 17(b) (largest values of r_u based on stochastic analyses) signifies that the 418 nonuniformity in the ground prone to adversely affect the performance of the granular 419 column to restrict the r_u.

420

4.4 Stochastic surface spectral response spectrum

421 The frequency and magnitude of the input shaking fluctuate (amplify or attenuate 422 depending upon the inertial and kinematic interaction) as the wave propagates toward the 423 surface of the ground (Kumar et al. 2019a). The presence of a granular column helps to 424 minimize the extent of liquefaction (Figure 7), which may affect the filtration of the high-425 frequency content of the incident wave and the amplification in the magnitude of low-426 frequency content of incident wave. For each realization, the displacement time history 427 of the top surface of the ground is recorded during the shaking. The spectral horizontal 428 displacement is calculated for a wide range of periods ($T = 0.0005 \sim 4$ s), considering a 429 damping ratio of 5%. Figure 18(a) shows the upper and lower bounds of surface spectral 430 horizontal displacement in comparison with the one for applied Tokachi-Oki ground 431 motion at the base. The stochastic bound of surface spectral horizontal displacement of 432 the top surface of the ground is significantly larger than the corresponding values for 433 Tokachi-Oki ground motion for a wide range of the periods $(0.55 \sim 3.0 \text{ s})$. The probability 434 of deviation of stochastic spectral horizontal displacement (for a different range of 435 periods) from the corresponding values for Tokachi-Oki ground motion is evaluated and 436 shown in Figure 18(b). The total probabilities of stochastic spectral displacement being 437 more than the deterministic value are found in the range of 88.43 to 99.08% for structure periods 0.5 - 3.0 s. The maximum deviation in the spectral displacement from 438 439 deterministic value is found in the range of 0.59 cm (with 2.68% probability of occurrence) to 1.31 cm (with 7.74% probability of occurrence) for structure periods 0.5 440 441 -3.0 s. This wide range of deviation in spectral displacement from their deterministic 442 values emphasizes that the nonuniformity of the ground (traced with the presented 443 stochastic analyses) is vital to consider for a better insight of the surface response 444 spectrum.

445

4.5 Effects of different ground motions

446 Kinematic and inertial interaction between soil and the granular column plays a vital role 447 in the manifestation of the overall deformation of the ground during the dynamic event. 448 The frequency and magnitude of the input shaking fluctuate as the wave propagates 449 toward the surface of the ground. This alteration significantly depends on the anisotropic conditions resulted due to spatial nonuniformity, relative stiffness, the extent of 450 451 liquefaction, and deformation pattern of the ground during the dynamic excitation. Each 452 earthquake ground motion possesses a unique signature of frequency content, peak 453 acceleration (PA), cumulative absolute velocity, Arias Intensity, and time duration. The 454 results associated with Tokachi-Oki ground motion (discussed so far) may not necessarily 455 represent the overall scenario of the performance of the granular column. Ten different

ground motions (GM1-GM10, see Table 4) are selected from the Pacific Earthquake
Engineering Research (PEER) using the procedure by Jayaram et al. (2011). The ground
motions possess a broad spectrum of frequency content and PA of interest, per Raymajhi
et al. (2016). The response spectra (damping 5%) for Tokachi-Oki and ten selected ground
motions along with their median are shown in Figure 19.

461 Selected ten ground motions are scaled for PA = 0.2, 0.4, and 0.6g. The ground 462 motions possess cumulative absolute velocity (CAV) and Arias Intensity (AI) in the range 463 of 2.3 - 34 m/s and 0.2 - 10.5 m/s, respectively. Deterministic analyses (Toyoura sand 464 with $D_R = 50\%$) are carried out for these scaled ground motions to examine the fluctuation 465 in the average settlement and horizontal displacement of the top surface of the ground 466 with the granular column in comparison with the Tokachi-Oki ground motion. Figure 467 20(a) shows that the deterministic average surface settlement for Tokachi-Oki ground 468 motion (=4.05 cm) is larger than the average surface settlement for all ten ground motions 469 (GM1-GM10) of PA = 0.2g. Similar trends are observed for eight ground motions of PA 470 = 0.4 and 0.6g except for two ground motions with the larger deterministic average 471 surface settlement than Tokachi-Oki ground motion. However, a significant deviation in 472 the horizontal surface displacement is observed for several selected ground motions from 473 the corresponding value for Tokachi-Oki ground motion (= 0.32 cm), as shown in Figure 474 20(b). The average surface settlement is found to be better correlated with the CAV and 475 AI than the PA of selected ground motions. Figure 20(a) depicts a strong correlation 476 between CAV, AI and average surface settlement. However, horizontal surface 477 displacement is found to be better correlated with the PA than CAV and AI of selected 478 ground motions as depicted in Figure 20(b). The horizontal surface displacement 479 primarily governed by the overall stiffness of the ground (as discussed with Figures 8 and 480 9), and thus large PA of the ground motion may adversely affect the stiffness degradation 481 of the granular column during the dynamic event. These statistics signify that a wide

21

spectrum of, PA, CAV, and AI of the ground motion is important to consider for a reliableestimate of the ground deformation.

484 Stochastic analyses are carried out for all the ground motions GM1-GM10 with PA 485 = 0.6g, and the probabilities of deviation from the deterministic values of average 486 settlement and horizontal displacement of the top surface of the ground are evaluated as 487 shown in Figure 21. The interpretation is made in comparison with the respective 488 probability of deviations for Tokachi-Oki ground motion (as reported in Figure 16). 489 Figure 21(a) shows the probability of deviation of the average surface settlement from 490 the deterministic values. It is evident that the deviation in the average surface settlement 491 and probabilities of exceedance (especially on the positive side) are significantly 492 exceeding the values traced with the Tokachi-Oki ground motion for several ground 493 motions. Figure 21(b) shows the probability of deviation of the horizontal surface 494 displacement from the deterministic values. It is evident that the deviation in the 495 horizontal surface displacement for several ground motions are significantly exceeding 496 the values traced with the Tokachi-Oki ground motion. Besides, the probabilities of 497 exceedance for several ground motions are significantly larger for the deviations in both 498 the positive and negative sides. This observation corroborates the fact that the 499 characteristics of the ground motion (CAV, AI and PA) prone to significantly affect the 500 effectiveness of granular column to mitigate the liquefaction-induced ground deformation.

501 **5. Conclusions**

A reliability assessment of the performance of equally-spaced granular columns in a nonuniform liquefiable ground is carried out to mitigate the liquefaction-induced ground deformation using three-dimensional (3D) stochastic numerical analyses. The PDMY02 elastoplastic soil constitutive model is used to simulate the dynamic behavior of the liquefiable ground treated with the granular column. The nonuniformity in the ground is 507 mapped with the stochastic realizations of the overburden and energy-corrected, 508 equivalent clean sand, SPT (N1)_{60cs} values using a spatially correlated Gaussian random 509 field. It is found that the presence of a granular column increases the overall stiffness and 510 minimizes the liquefaction extent of the ground. The favorable shear reinforcement within 511 the ground is observed due to the granular column. However, incompatibility in shear 512 strain reduction is also noted due to the complex deformation mechanism. The spatial 513 nonuniformity in the ground is found to affect the liquefaction-induced ground 514 deformation. Stochastic results depicted that the presence of the granular column reduces 515 the uncertainty in the estimation of horizontal displacement; however, it adversely affects the uncertainty in the prediction of the average surface settlement of the ground. The 516 517 stochastic displacement spectra exhibited that the nonuniformity of the ground should be 518 taken into account, especially for long-period structures. Besides, the wide range of 519 deviation in spectral displacement from their deterministic value emphasizes that the 520 nonuniformity of the ground is important to consider for a better insight of the surface 521 response spectrum. It is found that the characteristics of ground motions (CAV, AI, and 522 PA) significantly affect the liquefaction-induced ground deformation. Stochastic results 523 emphasize that the reliability assessment of the performance of the granular column is 524 essential for better engineering judgment. The presented probabilistic assessment traces the conservative nature of the deterministic performance of granular column and 525 526 possesses significant practical importance. The findings of shear reinforcement, strain 527 incompatibility, probabilistic estimates of liquefaction-induced ground deformation, 528 stochastic bound of the evolution of EPWP, and effects of different ground motions will 529 assist in bridging the gap of risk information while designing the granular columns with 530 conventional design charts. For a generalized framework to incorporate the reduction in 531 the epistemic uncertainty, it is necessary to investigate further the full scenario of the gravel drainage system with the foundation-structure system and different ground 532

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Tables

Description	Toyoura sand	Granular column
		(Silica no. 3)
Specific gravity, Gs	2.65	2.63
D ₅₀ (mm)	0.19	1.72
D ₁₀ (mm)	0.14	1.37
Maximum void ratio, e _{max}	0.973	1.009
Minimum void ratio, emin	0.609	0.697
Permeability, k (m/s)	2.0E-4	6.6E-3

Table 1. Index properties of Toyoura sand and granular column

Table 2. Calibrated parameters for Toyoura sand and granular column

Material/Parameters*	ρ	G _{max}	В	φ	$\mathrm{PT}_{\mathrm{ang}}$	C1	C3	D1	D3
	(ton/m^3)	(kPa)	(kPa)						
Toyoura sand ($D_R = 50\%$)	1.94	3.54E4	7.50E4	33.5	25.5	0.07	0.20	0.06	0.20
Granular column $(D_R = 80\%)^{**}$	2.14	1.04E5	2.60E5	48.0	30.0	0.006	0.0	0.42	0.0

*Remaining parameters (total number of parameters are 22) received default values as reported by Khosravifar et al. (2018)

**The parameters for the granular column are selected per Elgamal et al. 2009, Raymajhi et al. 2016, and Khosravifar et al. (2018).

, • • •)							
D _R	G _{max}	В	φ	$PT_{ang} \\$	C3	D1	D3
(%)	(kPa)	(kPa)					
30	2.5E4	5.30E4	31.0	31.0	0.52	0.00	0.00
33	2.6E4	5.51E4	31.4	29.0	0.48	0.00	0.00
36	2.7E4	5.72E4	31.8	27.0	0.40	0.01	0.10
39	2.8E4	5.93E4	32.0	26.0	0.30	0.04	0.10
42	2.9E4	6.14E4	32.2	25.9	0.27	0.06	0.25
45	3.0E4	6.36E4	32.5	25.8	0.20	0.06	0.20
48	3.1E4	6.57E4	32.9	25.7	0.20	0.06	0.20
50	3.5E4	7.50E4	33.5	25.5	0.20	0.06	0.20
52	3.7E4	7.73E4	33.8	25.6	0.15	0.06	0.15
55	3.8E4	8.05E4	34.1	25.7	0.10	0.09	0.10
58	4.0E4	8.47E4	34.5	25.8	0.06	0.09	0.10
61	4.5E4	9.53E4	35.0	26.0	0.06	0.09	0.10
64	5.2E4	1.09E5	35.3	26.0	0.06	0.10	0.10
67	5.9E4	1.24E5	35.6	26.0	0.04	0.13	0.10
71	6.3E4	1.32E5	35.7	26.0	0.04	0.14	0.00
73	7.1E4	1.49E5	35.9	26.0	0.00	0.17	0.00
75	8.0E4	1.68E5	36.0	26.0	0.00	0.20	0.00

- 75%)

Table 3. Calibrated parameters for Toyoura sand with different relative densities ($D_R = 30$

Note: C1 is 0.07 for all D_R. The remaining parameters (total number of parameters are 22) received default values as reported by Khosravifar et al. (2018)

Tag	Earthquake name	Year	Magnitude	
GM1	Northridge 1	1994	6.69	
GM2	Hector Mine 1	1999	7.13	
GM3	Hector Mine 1	1999	7.13	
GM4	Taiwan SMART 1	1986	7.3	
GM5	Loma Prieta 1	1989	6.93	
GM6	Northridge 2	1994	6.69	
GM7	Alaska	2002	7.9	
GM8	Loma Prieta 2	1989	6.93	
GM9	Northridge 2	1994	6.69	
GM10	Loma Prieta 3	1989	6.93	

Table 4. Earthquake ground motions (after Raymajhi et al. 2016)

Figures

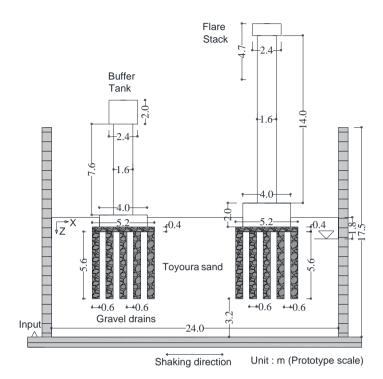


Figure 1. Centrifuge model configuration in the prototype scale

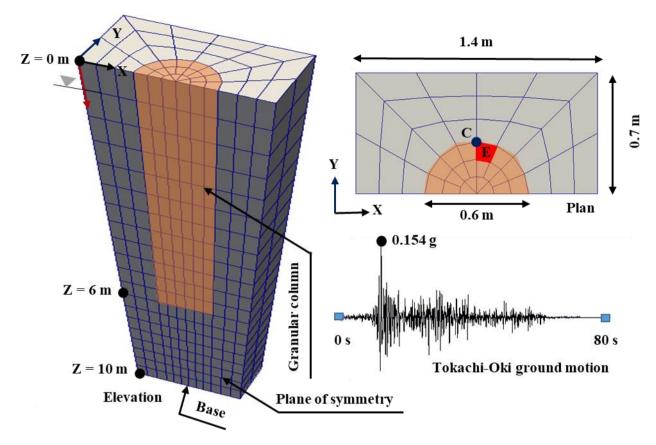


Figure 2. Three-dimensional (3D) numerical model

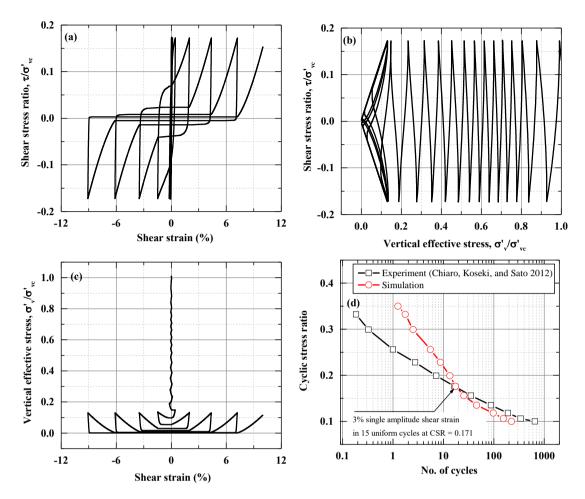


Figure 3. The response of the calibrated PDMY02 Model at the element level

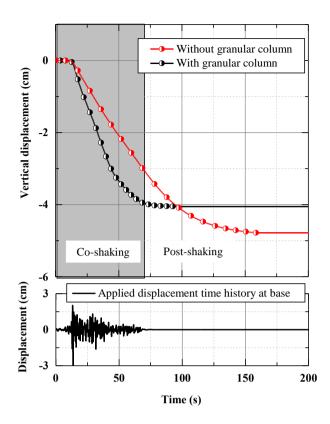


Figure 4. Average settlement of the top surface of the ground

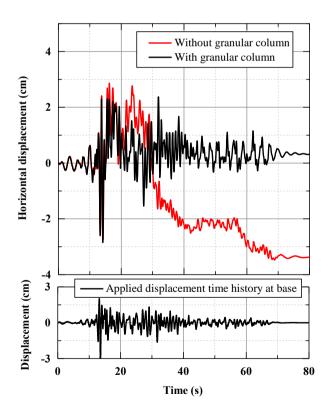


Figure 5. The horizontal displacement of the top surface of the ground

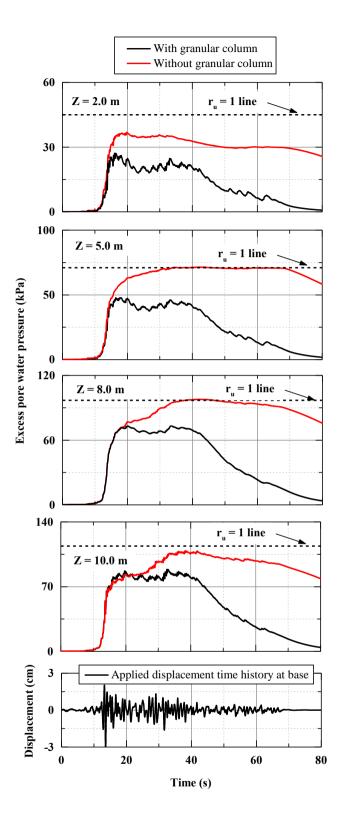


Figure 6. Evolution of excess pore water pressure along point C (see Fig. 2) at different depths for grounds with and without granular column

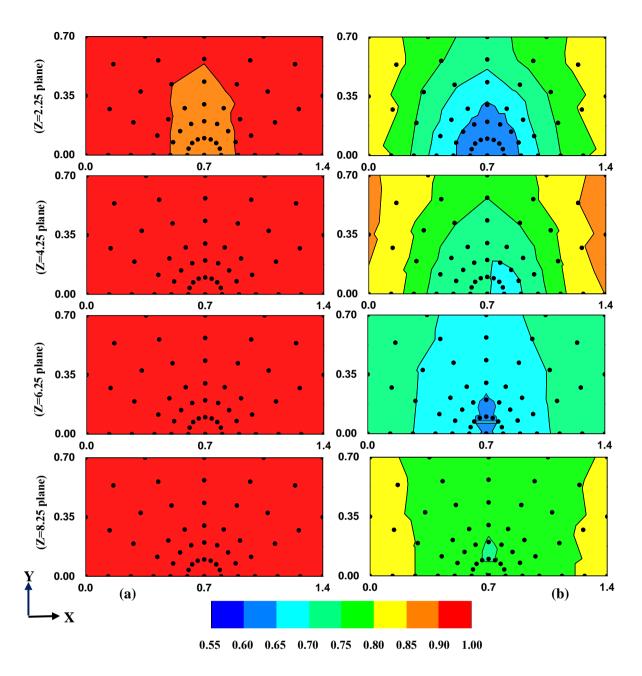


Figure 7. Contours of maximum r_u at different depths (planes Z = 2.25, 4.25, 6.25, and 8.25 m) for (a) ground without granular column and (b) ground with granular column

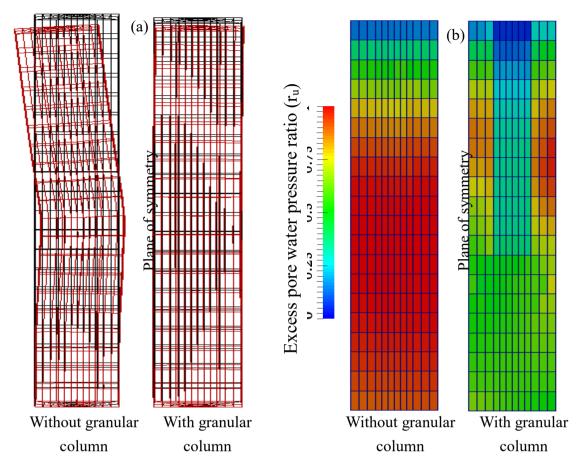


Figure 8. Ground response: (a) deformed shape (10 times magnified) after the shaking and (b) distribution of r_u at t = 16 s

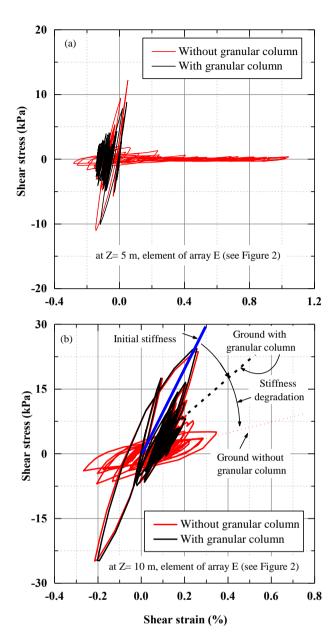


Figure 9. Typical stress-strain behavior for elements along E (see Fig. 2): (a) at depth Z = 5 m and (b) at depth Z = 10 m

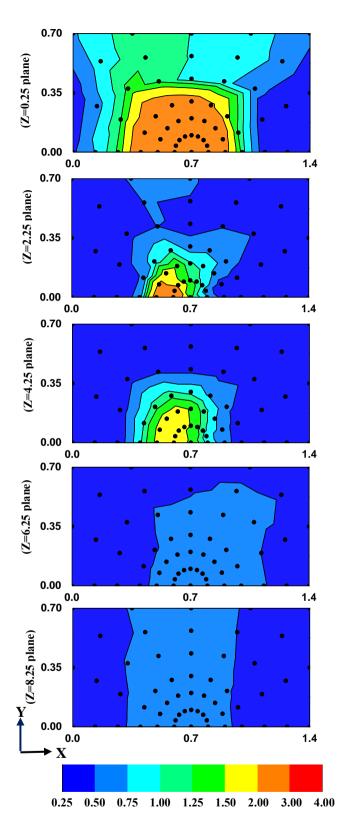


Figure 10. Contours of the ratio of maximum shear stress reduction coefficient (R_{rd}) at different depths (planes Z = 0.25, 2.25, 4.25, 6.25, and 8.25 m) of the ground

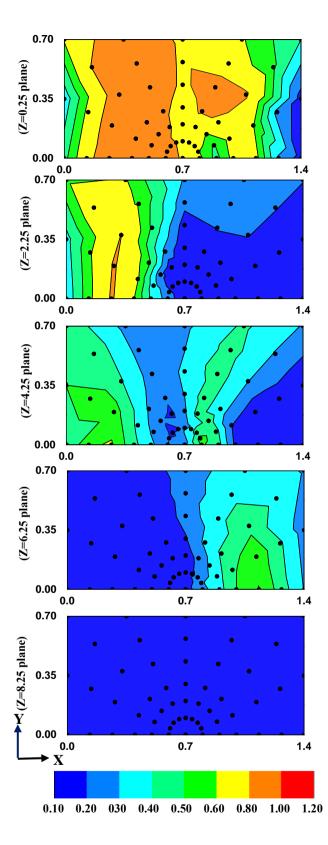


Figure 11. Contours of the ratio of maximum shear strain at different depths (planes Z = 0.25, 2.25, 4.25, 6.25, and 8.25 m) of the ground

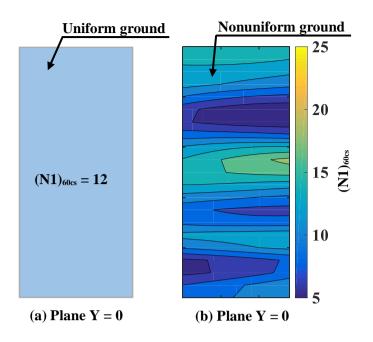


Figure 12. A typical scenario of the ground condition at Plane Y= 0 (see Figure 2): (a) uniform ground and (b) nonuniform ground

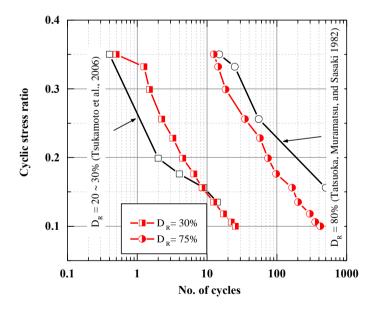


Figure 13. The response of the calibrated PDMY02 Model at element level for loose (D_R = 30%) and dense sand (D_R = 75%)

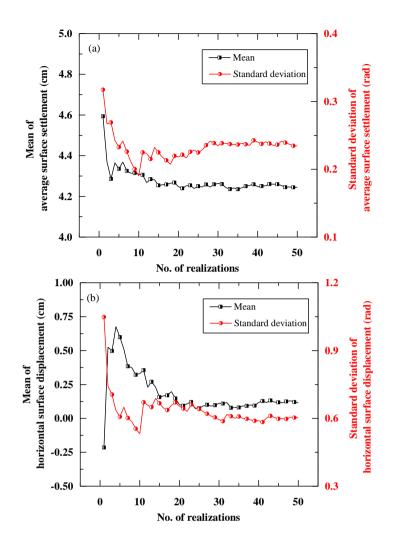
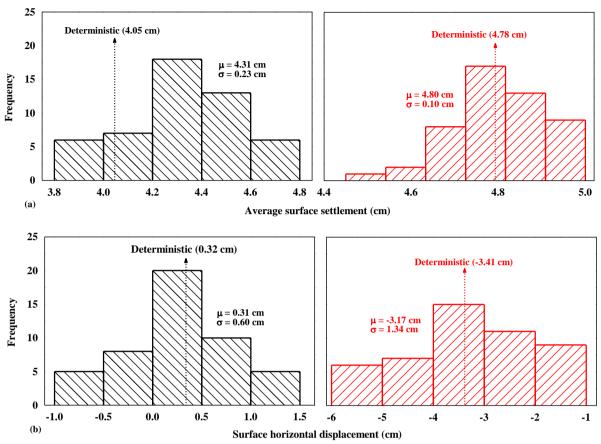


Figure 14. Convergence check for sufficient number of stochastic realizations



Without granular column 📉 With granular column

Figure 15. Stochastic distribution of model ground deformation for the grounds with and without granular column: (a) average surface settlement and (b) surface horizontal displacement

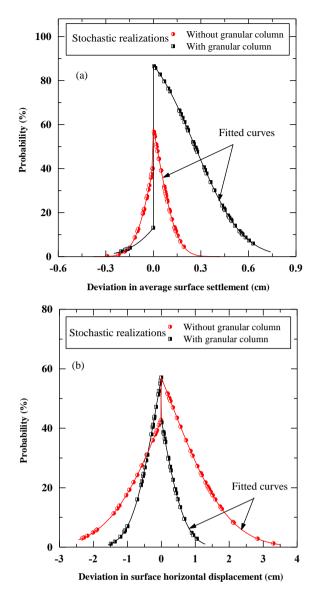


Figure 16. Probability of deviation from the deterministic values for the grounds with and without granular column: (a) for average surface settlement and (b) for surface horizontal displacement

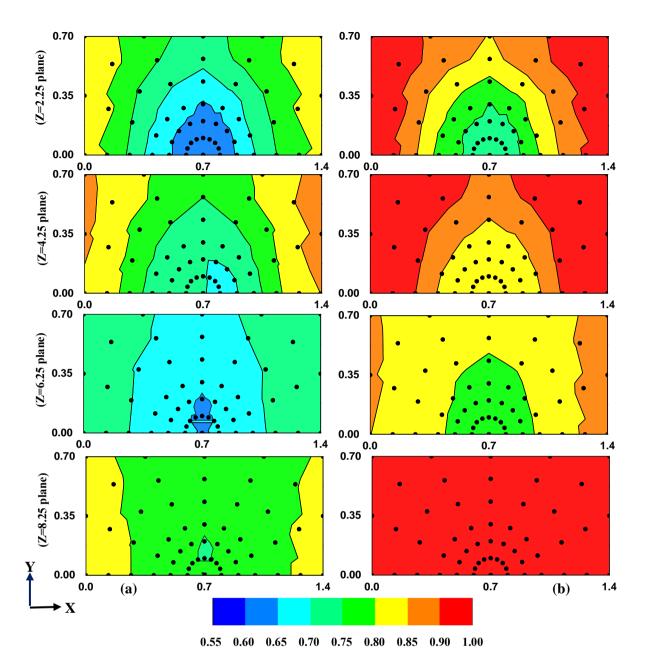


Figure 17. Contours of r_u at different depths (planes Z = 2.25, 4.25, 6.25, and 8.25 m) for the ground treated with granular column: (a) the best performance with smallest ratio of r_u , and (b) the worst performance with largest ratio of r_u from the series of stochastic analyses

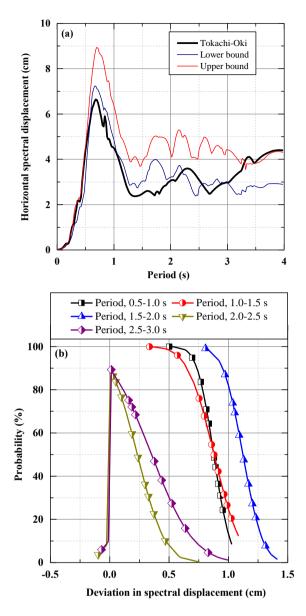


Figure 18. The kinematic response of the ground with granular column: (a) upper and lower bound of displacement response spectra (with 5% damping) at the top surface (Z=0 m) of the ground and (b) probability of deviation of average spectral displacement (for different period range) from their respective values for Tokachi-Oki ground motion

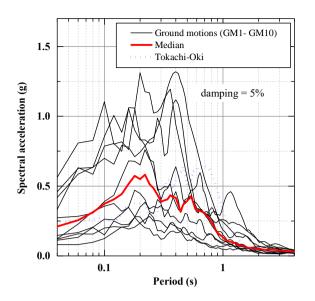


Figure 19. Spectral accelerations for different ground motions (see Table 3)

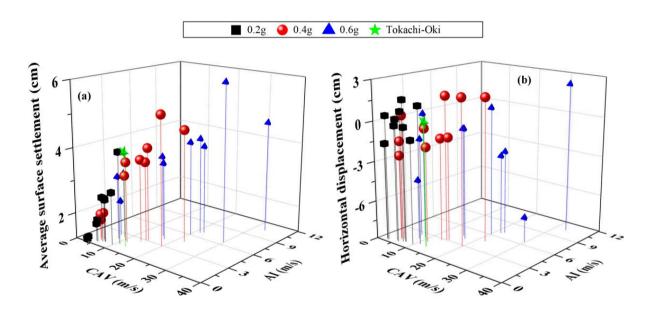


Figure 20. The deterministic response of ten scaled ground motions for the ground with granular column: (a) average surface settlement and (b) surface horizontal displacement

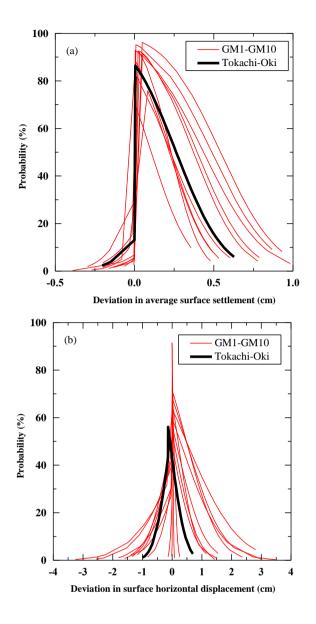


Figure 21. Probability of deviation from the deterministic values for the ground with the granular column for all the ground motions scaled with peak acceleration = 0.6g: (a) for average surface settlement and (b) for surface horizontal displacement