

# On the possible solution(s) of the Continuum Problem

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**The Main Thesis.** If one of the reasonable strong enough reflection principles should be assumed (as an additional set-theoretic axiom), then the continuum is either  $\aleph_1$  or  $\aleph_2$  or extremely large.

- ▶ The adjective “reasonable” in the statement above might be subjective.
- ▷ Still I am going to try to convince you in this talk that the whole statement has certain degree of objectivity.

- ▶ We consider the following type of statements:

$\text{RP}_{\mathcal{C}, < \kappa}$  For the class  $\mathcal{C}$  of structures, if  $\mathfrak{A} \in \mathcal{C}$  then there is a sub-structure  $\mathfrak{B} \in \mathcal{C}$  of  $\mathfrak{A}$  of cardinality  $< \kappa$ .

- ▶ Note that Downward Löwenheim-Skolem theorems (DLSTs) can be seen as statements of this type. For example, the usual DLST for first-order logic can be formulated as  $\text{RP}_{\mathcal{C} < \aleph_1}$  for

$\mathcal{C} = \{\mathfrak{A} : \mathfrak{A} \text{ is an infinite structure in countable language with built-in Skolem-functions}\};$

$$\kappa = \aleph_1.$$

- ▶ Let us call a statement of the form  $\text{RP}_{\mathcal{C}, < \kappa}$  a **reflection principle** (RP, for short) and “ $< \kappa$ ” the **reflection point** of the reflection principle.

- ▶ Sometimes it is convenient to consider some refinement of the substructure relation of the elements of the class of structures in the Reflection Principles:

$RP_{\mathcal{C}, \sqsubseteq, < \kappa}$  For the class  $\mathcal{C}$  of structures, and a binary relation  $\sqsubseteq$  on  $\mathcal{C}$  which refines the substructure relation on the elements of  $\mathcal{C}$ , if  $\mathfrak{A} \in \mathcal{C}$  then there is a  $\mathfrak{B} \in \mathcal{C}$  s.t.  $\mathfrak{B} \sqsubseteq \mathfrak{A}$  of cardinality  $< \kappa$ .

- ▷ In this framework, the usual DLST can be more naturally formulated as  $RP_{\mathcal{C}, \sqsubseteq, < \kappa}$  for

$\mathcal{C} := \{\mathfrak{A} : \mathfrak{A} \text{ is an infinite structure in a countable language}\};$

$\sqsubseteq :=$  the elementary substructure relation  $\prec$  ;

$\kappa := \aleph_1$ .

**Theorem 1.** (Alan Dow, 1988) For any compact Hausdorff space  $X$ , if  $X$  is not metrizable, then  $X$  has a subspace of size  $< \aleph_2$  which is not metrizable.

▷ For a proof, see [\[dow\]](#) or [\[fuchino\]](#).



**Theorem 2.** (DLST for  $L(Q)$ ) Let  $L(Q)$  be the logic obtained from the first-order logic by adding the (unary) quantifier  $Q$  where  $Qx(\dots)$  is interpreted as “there are uncountably many  $x$  s.t.  $\dots$ ”. Then, for any uncountable structure  $\mathfrak{A}$  (in a countable language), there is  $\mathfrak{B} \prec_{L(Q)} \mathfrak{A}$  of cardinality  $< \aleph_2$ .

Proof.

- ▶ Let  $L^{\aleph_0, II}$  be the monadic second-order logic where the second order variable  $X, Y$  etc. run over countable subsets of the underlying set of the structure in question (suggested by “ $\aleph_0$ ”). As usual, the logic has the built-in binary relation  $\varepsilon$  where, for a first order variable  $x$  and a second order  $X$ , “ $x \varepsilon X$ ” is interpreted as “ $x$  is an element of  $X$ ”. The logic allows  $\forall$  and  $\exists$  quantification the second-order variables (suggested by “ $II$ ”).
  
- ▷ For structures  $\mathfrak{A}, \mathfrak{B}$  of countable language with  $\mathfrak{B} \subseteq \mathfrak{A}$ , we say that  $\mathfrak{B} = \langle B, \dots \rangle$  is a **weak  $L^{\aleph_0, II}$ -elementary substructure** of  $\mathfrak{A}$  (notation:  $\mathfrak{B} \prec_{L^{\aleph_0, II}}^- \mathfrak{A}$ ) if, for any  $L^{\aleph_0, II}$ -formula  $\varphi = \varphi(x_0, \dots, x_{n-1})$  in the language of  $\mathfrak{A}$  without second-order free variables, and  $b_0, \dots, b_{n-1} \in B$ , we have
 
$$\mathfrak{B} \models \varphi(b_0, \dots, b_{n-1}) \Leftrightarrow \mathfrak{A} \models \varphi(b_0, \dots, b_{n-1}).$$

# The Continuum Hypothesis is a Reflection Principle (2/2)

The Continuum Problem (7/23)

► If  $\mathcal{C} = \{\mathfrak{A} : \mathfrak{A} \text{ is a structure in a countable language}\}$ , we shall drop  $\mathcal{C}$  from  $\text{RP}_{\mathcal{C}, \square, < \kappa}$  and write  $\text{RP}_{\square, < \kappa}$ .

▷ Thus  $\text{RP}_{\prec_{L^{\aleph_0, \aleph_1}}, < \aleph_2}^-$  is the statement:

$(\text{RP}_{\prec_{L^{\aleph_0, \aleph_1}}, < \aleph_2}^-)$ : For any structure  $\mathfrak{A}$  in a countable language, there is a substructure  $\mathfrak{B}$  of  $\mathfrak{A}$  of cardinality  $< \aleph_2$  s.t.  $\mathfrak{B} \prec_{L^{\aleph_0, \aleph_1}}^- \mathfrak{A}$ .

**Theorem 3.** (S.F., A. Ottenbreit, and H. Sakai [1]) CH is equivalent to  $\text{RP}_{\prec_{L^{\aleph_0, \aleph_1}}, < \aleph_2}^-$ .

Proof.

- ▶ Let  $L_{stat}^{\aleph_0}$  be the monadic second-order logic where the second order variable  $X, Y$  etc. run again over countable subsets of the underlying set of the structure in question. The built-in predicate  $\varepsilon$  is just like in case of  $L^{\aleph_0, II}$ .  $L_{stat}^{\aleph_0}$  does not allow the second-order quantification but has the new second-order quantifier “ $stat X(\dots)$ ” whose interpretation is “there are **stationarily many**  $X$  s.t. ...”. In the literature  $L_{stat}^{\aleph_0}$  is often referred to as **stationary logic**.
- ▷ The elementarity  $\prec_{L_{stat}^{\aleph_0}}^-$  is defined similarly as before.
- ▶  $RP_{\prec_{L_{stat}^{\aleph_0}}, < \aleph_2}$  is thus the principle:

$RP_{\prec_{L_{stat}^{\aleph_0}}, < \aleph_2}$  : For any structure  $\mathfrak{A}$  in a countable language, there is a substructure  $\mathfrak{B}$  of  $\mathfrak{A}$  of cardinality  $< \aleph_2$  s.t.  $\mathfrak{B} \prec_{L_{stat}^{\aleph_0}}^- \mathfrak{A}$ .



RP $_{\langle \overset{-}{L_{stat}^{\aleph_0}}, \aleph_2 \rangle}$  : For any structure  $\mathfrak{A}$  in a countable language, there is a substructure  $\mathfrak{B}$  of  $\mathfrak{A}$  of cardinality  $< \aleph_2$  s.t.  $\mathfrak{B} \prec_{\overset{-}{L_{stat}^{\aleph_0}}} \mathfrak{A}$ .

► RP $_{\langle \overset{-}{L_{stat}^{\aleph_0}}, \aleph_2 \rangle}$  implies the principle called RP in [millennium-book] : Definition 37.17

RP : For every regular  $\lambda \geq \aleph_2$ , if  $S$  is a stationary subset of  $[\lambda]^{\aleph_0}$ , then for any  $X \in [\lambda]^{\aleph_1}$ , there is  $Y \in [\lambda]^{\aleph_1}$  s.t.  $X \subseteq Y$  and  $S \cap [Y]^{\aleph_0}$  is stationary in  $[Y]^{\aleph_0}$ .

Proposition 4. (S.F., Ottenbreit and Sakai, [II]) RP $_{\langle \overset{-}{L_{stat}^{\aleph_0}}, \aleph_2 \rangle}$  implies RP.

Proof.

Back to Cor.10.

Corollary 5. RP $_{\langle \overset{-}{L_{stat}^{\aleph_0}}, \aleph_2 \rangle}$  implies that  $2^{\aleph_0} \leq \aleph_2$ .

Proof. By Proposition 4. and by the fact that RP implies  $2^{\aleph_0} \leq \aleph_2$  Theorem 27.18 (Todorćević) ([millennium-book]). □ (Corollary 5.)

- The following Proposition can be proved using Corollary 5. and Theorem 3.2 (a) by Baumgartner and Taylor in [baumgartner-taylor]:

**Proposition 6.** (S.F., A. Ottenbreit, and H. Sakai, [II])

$\text{RP}_{\langle L_{\text{stat}}^{\aleph_0} \rangle, < \kappa}$  for  $\kappa > \aleph_2$  implies  $\kappa > 2^{\aleph_0}$ .

**Corollary 7.** (S.F., A. Ottenbreit, and H. Sakai, [II])

$\text{RP}_{\langle L_{\text{stat}}^{\aleph_0} \rangle, < 2^{\aleph_0}}$  implies  $2^{\aleph_0} = \aleph_2$ .

**Proof.** ►  $\text{RP}_{\langle L_{\text{stat}}^{\aleph_0} \rangle, < 2^{\aleph_0}}$  implies  $2^{\aleph_0} \leq \aleph_2$ .

[If  $2^{\aleph_0} > \aleph_2$ , then  $2^{\aleph_0} > 2^{\aleph_0}$  by Proposition 6. This is a contradiction.]

►  $\text{RP}_{\langle L_{\text{stat}}^{\aleph_0} \rangle, < \aleph_1}$  does not hold.

[“there exists uncountably many  $x$  s.t. ...” is expressible in  $L_{\text{stat}}^{\aleph_0}$  (Lemma 4a.)]

□ (Corollary 7.)

▷ Thus,  $2^{\aleph_0} \neq \aleph_1$  and hence  $2^{\aleph_0} = \aleph_2$ .

- ▶ For a set  $A$  and  $\mathcal{A} \subseteq {}^{\omega_1}A$ , we define the game  $\mathcal{G}(\mathcal{A})$  in which two players  $I$  and  $II$  choose elements of  $A$  alternately:

I	$a_0$	$a_1$	$a_2$	$\dots$	$a_\xi$	$\dots$	$(\xi < \omega_1)$
II	$b_0$	$b_1$	$b_2$	$\dots$	$b_\xi$	$\dots$	

- ▶  $II$  wins the game, if
  - ▷  $\langle a_\xi, b_\xi : \xi < \eta \rangle \in \mathcal{A}$  and  $\langle a_\xi, b_\xi : \xi < \eta \rangle \frown \langle a_\eta \rangle \notin \mathcal{A}$  for any  $a_\eta \in A$  some  $\eta < \omega_1$ ; or
  - ▷  $\langle a_\xi, b_\xi : \xi < \omega_1 \rangle \in [\mathcal{A}]$   
 where  $[\mathcal{A}] := \{f \in {}^{\omega_1}A : f \upharpoonright \nu \in \mathcal{A} \text{ for all } \nu < \omega_1\}$ .
- ▶ The **Game Reflection Principle (GRP)** [König] (Strong Game Reflection Principle in B. König's terminology) is the following principle:

**GRP :** For any set  $A$  of regular cardinality,  $\mathcal{A} \subseteq {}^{\omega_1}A$ , and for  $\omega_1$ -club  $\mathcal{C} \subseteq [\mathcal{A}]^{\aleph_1}$ , if the player  $II$  does not have a winning strategy in  $\mathcal{G}(\mathcal{A})$  then there is a  $B \in \mathcal{C}$  s.t.  $II$  does not have a winning strategy in  $\mathcal{G}(\mathcal{A} \cap {}^{\omega_1}B)$ .

**GRP** : For any set  $A$  of regular cardinality,  $\mathcal{A} \subseteq \omega_1 > A$ , and for  $\omega_1$ -club  $\mathcal{C} \subseteq [A]^{\aleph_1}$ , if the player  $II$  does not have a winning strategy in  $\mathcal{G}(\mathcal{A})$  then there is a  $B \in \mathcal{C}$  s.t.  $II$  does not have a winning strategy in  $\mathcal{G}(\mathcal{A} \cap \omega_1 > B)$ .

► **GRP** is also a principle of the type  $RP_{\mathcal{C}, \sqsubseteq, < \aleph_2}$ .

▷ This follows among other things from the following:

**Theorem 8.** (B. König [könig]) **GRP** implies **CH**.

**Theorem 9.** (S.F., A. Ottenbreit, and H. Sakai, [I]) **GRP** implies

$$RP_{\langle \overset{-}{L}_{stat}^{\aleph_0}, < \aleph_2 \rangle}$$

**Corollary 10.** **GRP** implies the Singular Cardinal Hypothesis (**SCH**).

Corollary 10. GRP implies the Singular Cardinal Hypothesis (SCH).

see the proof of Proposition 5. + by definition

Proof.  $GRP \Rightarrow RP \xrightarrow{\text{Theorem 9}} \langle \aleph_0, L_{stat}^{-} \rangle, < \aleph_2 \xRightarrow{\text{Proposition 4.}} RP \xRightarrow{\text{by definition}} 2^{\aleph_0} \leq \aleph_2 + FRP$

$\Rightarrow$  SCH  
[fuchino-rinot]

□ (Corollary 10.)

Theorem 11. ([könig]) GRP is equivalent to the assertion:  
“ $\aleph_2$  is generically supercompact by  $\sigma$ -closed p.o.s”.

**Theorem 11.** ([könig]) **GRP** is equivalent to the assertion:  
“ $\aleph_2$  is generically supercompact by  $\sigma$ -closed p.o.s”.

► For a class  $\mathcal{P}$  of p.o.s, a cardinal  $\kappa$  is **generically supercompact by  $\mathcal{P}$** , if for any  $\lambda \geq \kappa$  there is a  $\mathbb{P} \in \mathcal{P}$  s.t. for any  $(V, \mathbb{P})$ -generic  $\mathbb{G}$ , there are classes  $M, j \in V[\mathbb{G}]$  s.t.  $j : V \xrightarrow{\sim}_{\kappa} M$ ,  $j(\kappa) > \lambda$  and  $j''\lambda \in M$ .

▷  $j : V \xrightarrow{\sim}_{\kappa} M$  :

$j$  is an elementary  
embedding of  $V$  to  $M$ ;

$M$  is a transitive class;

$j(\alpha) = \alpha$  for all  $\alpha < \kappa$ ;

and  $j(\kappa) > \kappa$

( $\kappa$  is a **critical point** of  $j$ ).

This is formalizable in **ZFC** !!

(see **5.1 Proposition**  
[the higher inf.] )

# The closedness condition $j''\lambda \in M$

- ▶ The supercompactness of a cardinal  $\kappa$  is defined by the existence of  $j, M \subseteq V$  for any  $\lambda \geq \kappa$  s.t.  $j : V \xrightarrow{\lambda} M$ ,  $j(\kappa) > \lambda$ , and  $[M]^\lambda \subseteq M$ .
- ▷ The last condition (the closedness of  $M$ ) is too strong for a “generic” version of the supercompactness, in general. The condition “ $j''\lambda \in M$ ” is a replacement of this closedness of  $M$ .

**Lemma 12.** (Lemma 2.5 in [I]) Suppose that  $\mathbb{G}$  is a  $(V, \mathbb{P})$ -generic filter for a p.o.  $\mathbb{P} \in V$ , and  $j : V \xrightarrow{\lambda} M \subseteq V[\mathbb{G}]$  with  $j''\lambda \in M$  for a  $\lambda \geq \kappa$ . Then, we have the following:

- (1) For any set  $A \in V$  with  $V \models |A| \leq \lambda$ , we have  $j''A \in M$ .
- (2)  $j \upharpoonright \lambda, j \upharpoonright \lambda^2 \in M$ .
- (3) For any  $A \in V$  with  $A \subseteq \lambda$  or  $A \subseteq \lambda^2$  we have  $A \in M$ .
- (4)  $(\lambda^+)^M \geq (\lambda^+)^V$ , Thus, if  $(\lambda^+)^V = (\lambda^+)^{V[\mathbb{G}]}$ , then  $(\lambda^+)^M = (\lambda^+)^V$ .
- (5)  $\mathcal{H}(\lambda^+)^V \subseteq M$ . (6)  $j \upharpoonright A \in M$  for all  $A \in \mathcal{H}(\lambda^+)^V$ .

► For a set  $S \subseteq \text{On}$  and an infinite regular cardinal  $\lambda$ , let

$$\text{Col}(\lambda, S) := \{f : \\ f \text{ is a mapping with } \text{dom}(f) \subseteq (S \setminus 2) \times \lambda, \text{rng}(f) \subseteq \sup S, \\ |f| < \lambda, \text{ for all } \langle \alpha, \xi \rangle \in \text{dom}(f) (f(\langle \alpha, \xi \rangle) < \alpha)\},$$

$$\mathbb{1}_{\text{Col}(\lambda, S)} := \emptyset, \quad \text{and}$$

$$f \leq_{\text{Col}(\lambda, S)} g \quad :\Leftrightarrow \quad g \subseteq f \text{ for } f, g \in \text{Col}(\lambda, S).$$

▷  $\text{Col}(\lambda, S)$  adds surjections from  $\lambda$  to  $\alpha$  for each  $\alpha \in S$ .

**Lemma 13.** (see e.g. 10.17 Lemma in [the higher inf.])

- (1) Suppose  $\kappa, \mu$  are infinite regular cardinal with  $\mu < \kappa$ . If  $\kappa$  is an inaccessible cardinal, then  $\text{Col}(\mu, \kappa)$  has the  $\kappa$ -cc.
- (2) Suppose  $\kappa, \mu$  are infinite regular cardinal with  $\mu < \kappa$ . If  $\kappa$  is an inaccessible cardinal or  $\mu = \omega$ , then  $\text{Col}(\mu, \kappa)$  forces that all ordinals  $\alpha$  with  $\mu \leq \alpha < \kappa$  to be of cardinality  $\mu$  and preserves all cardinals and cofinality  $\geq \kappa$ .
- (3) If  $S = X \dot{\cup} Y$  then  $\text{Col}(\mu, S) \cong \text{Col}(\mu, X) \times \text{Col}(\mu, Y)$ .



# A model of “ $\aleph_2$ is generically supercompact ...”

- By the following theorem with  $\mu = \aleph_1$ , we obtain a model in which  $\aleph_2$  is generically supercompact by  $\sigma$ -closed p.o.s.

Theorem 14. Suppose that  $\kappa$  is a supercompact cardinal,  $\mu < \kappa$  a regular uncountable cardinal, and  $\mathbb{P}_0 = \text{Col}(\mu, \kappa)$ . Then, for a  $(V, \mathbb{P}_0)$ -generic  $\mathbb{G}_0$ ,

- ▷  $V[\mathbb{G}_0] \models$  “ $\mu^+$  is generically supercompact by  $< \mu$ -closed p.o.s.”.

**Proof.** Note that  $V[\mathbb{G}_0] \models \mu^+ = \kappa$ .

For  $\lambda \geq \kappa$ , let  $j : V \xrightarrow{\sim} M$  be a  $\lambda$ -supercompact embedding for  $\kappa$ .

Then we have  $j(\mathbb{P}_0) \underbrace{=} \text{Col}(j(\mu), j(\kappa))^M \underbrace{=} \text{Col}(\mu, j(\kappa))^V$ .  
 by elementarity  $\quad = \mu$   $\quad$  by closedness of  $M$

For a  $(V[\mathbb{G}_0], \text{Col}(\mu, j(\kappa) \setminus \kappa))$ -generic filter  $\mathbb{G}$ , the lifting

$$\tilde{j} : V[\mathbb{G}_0] \xrightarrow{\sim} \underbrace{M[\mathbb{G}_0][\mathbb{G}]}_{\subseteq V[\mathbb{G}_0][\mathbb{G}]}; \quad \tilde{a}^{\mathbb{G}_0} \mapsto j(\tilde{a})^{\mathbb{G}_0 * \mathbb{G}} = \underbrace{(\mu^+)^{V[\mathbb{G}_0]}}$$

witnesses the generic  $\lambda$ -supercompactness of  $\kappa$  by  $\mu$ -closed p.o.s in  $V[\mathbb{G}_0]$ .

□ (Theorem 14.)

- ▶ The proof of Theorem 14. can be yet refined to obtain the following:

Theorem 15. Suppose that  $\kappa$  is a supercompact cardinal, and  $\mathbb{P}_0 = \text{Col}(\aleph_1, \kappa)$ . Then, for a  $(V, \mathbb{P}_0)$ -generic  $\mathbb{G}_0$ ,

- ▷  $V[\mathbb{G}_0] \models$  “ $\aleph_2$  is Laver-generically supercompact for  $\sigma$ -closed p.o.s”.

Here, a cardinal  $\kappa$  is said to be **Laver-generically supercompact** for a class  $\mathcal{P}$  of p.o.s, if, for any  $\lambda \geq \kappa$  and any  $\mathbb{P} \in \mathcal{P}$ , there is a  $\mathbb{P}$ -name of a p.o.  $\mathbb{Q}$  with  $\Vdash_{\mathbb{P}} \text{“}\mathbb{Q} \in \mathcal{P}\text{”}$  s.t., for any  $(V, \mathbb{P} * \mathbb{Q})$ -generic filter  $\mathbb{H}$ , there are  $M, j \subseteq V[\mathbb{H}]$  s.t.

- ▷  $j : V \xrightarrow{\lambda, \kappa} M$ ,
- ▷  $j(\kappa) > \lambda$ , ▷  $\mathbb{P}, \mathbb{H} \in M$  and ▷  $j''\lambda \in M$ .

- ▶ The definition of Laver-generic supercompactness is slightly stronger than the one given in [II].

- ▶ If  $\mathcal{P}$  in the definition of Laver-generic large cardinal is taken to be some natural class of p.o.s then we obtain the trichotomy mentioned at the beginning of the talk. In particular:

**Theorem 16.** ([II]) (1) Suppose that  $\mu$  is Laver-generically supercompact for  $\sigma$ -closed p.o.s. Then,  $2^{\aleph_0} = \aleph_1$ ,  $\mu = \aleph_2$ , and  $\text{MA}^{+\omega_1}(\sigma\text{-closed})$  holds.

(2) Suppose that  $\mu$  is Laver-generically supercompact for proper p.o.s. Then  $2^{\aleph_0} = \mu = \aleph_2$ , and  $\text{PFA}^{+\omega_1}$  holds.

(3) Suppose that  $\mu$  is Laver-generically superhuge for ccc p.o.s. Then  $2^{\aleph_0} = \mu$  and  $\mathcal{P}_\mu(\lambda)$  for any regular  $\lambda \geq \mu$  carries an  $\aleph_1$ -saturated normal ideal. In particular,  $\mu$  is  $\mu$ -weakly Mahlo. Also  $\text{MA}^{++\kappa}(\text{ccc}, < \mu)$  for all  $\kappa < \mu$  holds.

- ▶ We called this notion of generic large cardinals “Laver-generic large cardinal” since we need to iterate large cardinal times along with a “Laver diamond” to obtain models for (2) and (3) of Theorem 16.
- ▶ “Laver-generic large cardinals” are first order definable. This is not at all trivial and is proved in [fuchino-sakai].

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ご清聴ありがとうございました。  
Thank you for your attention!



## Definition of some notations

- ▶ For an ordinal  $\alpha$  and a set  $A$ ,  ${}^\alpha A := \{f : f : \alpha \rightarrow A\}$ .
- ▷ For an ordinal  $\beta$ ,  ${}^{\beta>} A := \bigcup_{\alpha < \beta} {}^\alpha A$ . [Back](#)
- ▶ For a set  $A$  and a cardinal  $\kappa$ ,  $[A]^\kappa := \{a \in \mathcal{P}(A) : |a| = \kappa\}$ .
- ▶  $\mathcal{C} \subseteq [A]^{\aleph_1}$  is  $\omega_1$ -club if
  - ▷ for all  $a \in [A]^{\aleph_1}$  there is  $b \in \mathcal{C}$  with  $a \subseteq b$  (unbounded or cofinal);  
and
  - ▷ for any  $\subseteq$ -increasing sequence  $\langle a_\xi : \xi < \omega_1 \rangle$  of elements of  $\mathcal{C}$ , we have  $\bigcup_{\xi < \omega_1} a_\xi \in \mathcal{C}$ . [Back](#)



## Stationarity of sets of countable sets

- ▶ For a set  $X$ , we write
$$[X]^{\aleph_0} := \{a : a \subseteq X, \text{ and } a \text{ is countable}\}.$$
- ▶  $C \subseteq [X]^{\aleph_0}$  is closed unbounded (**club**), if
  - ▷ For any  $a \in [X]^{\aleph_0}$  there is  $b \in C$  s.t.  $a \subseteq b$  (unbounded or cofinal); and
  - ▷ For any increasing sequence  $\langle a_n : n \in \omega \rangle$  in  $C$ , (i.e.  $a_n \in C$  for all  $n \in \omega$  and, for  $n, n' \in \omega$  with  $n < n'$ ,  $a_n \subseteq a_{n'}$ ), we have  $\bigcup_{n \in \omega} a_n \in C$ . (closed)
- ▶  $S \subseteq [X]^{\aleph_0}$  is **stationary**, if  $S \cap C \neq \emptyset$  for all club  $C \subseteq [X]^{\aleph_0}$ .

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## Proof of Proposition 4.

**RP** : For every regular  $\lambda > \aleph_2$ , if  $S$  is a stationary subset of  $[\lambda]^{\aleph_0}$ , then for any  $X \in [\lambda]^{\aleph_1}$ , there is  $Y \in [\lambda]^{\aleph_1}$  s.t.  $X \subseteq Y$  and  $S \cap [Y]^{\aleph_0}$  is stationary in  $[Y]^{\aleph_0}$ .

**Proposition 4.** (S.F., Ottenbreit and Sakai, [II])  $\text{RP}_{\langle \overset{-}{L_{stat}^{\aleph_0}}, < \aleph_2 \rangle}$  implies RP.

**Lemma 4a.** "there exist uncountably many  $x$  s.t. ..." is expressible in  $L_{stat}^{\aleph_0}$ .

**Proof.** "*stat*  $X \exists x (x \notin X \wedge \dots)$ " will do. □ (Lemma 4a)

**Proof of Proposition 4.** Suppose that  $S \subseteq [\lambda]^{\aleph_0}$  is stationary and  $X \subseteq [\lambda]^{\aleph_1}$ .

► Let  $\kappa$  be a sufficiently large regular cardinal and

$$\mathfrak{A} := \langle \mathcal{H}(\kappa), \lambda, S, X, \in \rangle$$

► Let  $\mathfrak{B} = \langle B, \dots \rangle$  be s.t.  $\mathfrak{B} \prec \overset{-}{L_{stat}^{\aleph_0}} \mathfrak{A}$  and  $|B| < \aleph_2$ .

▷ Then  $Y := \lambda \cap B$  is as desired.

□ (Proposition 4.)

## Proof of Theorem 3.

**Theorem 3.** (S.F., A. Ottenbreit, and H. Sakai [I]) **CH** is equivalent to  $\text{RP}_{\langle \overset{-}{L^{\aleph_0}}, \parallel, \langle \aleph_2 \rangle}$ .

**Proof.**  $\blacktriangleright$  ( $\Leftarrow$ ): Assume that  $\text{RP}_{\langle \overset{-}{L^{\aleph_0}}, \parallel, \langle \aleph_2 \rangle}$  holds and consider the

structure  $\mathfrak{A} := \langle \mathcal{P}(\omega), \underbrace{n}_{\text{constant}}, \underbrace{\omega}_{\text{unary relation}}, \underbrace{\in}_{\text{binary relation}} \rangle_{n \in \omega}$ .

$\triangleright$  Note the formula  $\forall X (\text{"}X \subseteq \omega\text{"} \rightarrow \exists x \forall y (y \in x \leftrightarrow y \in X))$ .

$\blacktriangleright$  For every  $\mathfrak{B} \prec_{\langle \overset{-}{L^{\aleph_0}}, \parallel} \mathfrak{A}$ , we have  $\mathfrak{B} = \mathfrak{A}$ . Thus **CH** holds.

( $\Rightarrow$ ):  $\blacktriangleright$  Assume that **CH** holds and let  $\mathfrak{A} = \langle A, \dots \rangle$  be a structure in a countable language.

$\blacktriangleright$  Let  $\kappa$  be a regular cardinal s.t.  $\mathfrak{A} \in \mathcal{H}(\kappa)$ .

$\triangleright$  By **CH**, there is  $M \prec \mathcal{H}(\kappa)$  s.t.  $\mathfrak{A} \in M$ ,  $|M| = \aleph_1$  and  $[M]^{\aleph_0} \subseteq M$ .

$\blacktriangleright$  Let  $\mathfrak{B} := \mathfrak{A} \upharpoonright A \cap M$ . Then  $\|\mathfrak{B}\| \leq \aleph_1$  and  $\mathfrak{B} \prec_{\langle \overset{-}{L^{\aleph_0}}, \parallel} \mathfrak{A}$ .

## Proof of Theorem 2.

**Theorem 2.** (DLST for  $L(Q)$ ) Let  $L(Q)$  be the logic obtained from the first-order logic by adding the (unary) quantifier  $Q$  where  $Qx(\dots)$  is interpreted as “there are uncountably many  $x$  s.t.  $\dots$ ”. Then, for any uncountable structure  $\mathfrak{A}$  (in a countable language), there is  $\mathfrak{B} \prec_{L(Q)} \mathfrak{A}$  of cardinality  $< \aleph_2$ .

**Proof.** Suppose that  $\mathfrak{A} = \langle A, \dots \rangle$  is a structure in a countable language.

$$\mathcal{H}(\kappa) = \{x : \underbrace{|\text{trcl}(x)|}_{< \kappa} < \kappa\}$$

- ▶ Let  $\kappa$  be a regular cardinal with  $\mathfrak{A} \in \mathcal{H}(\kappa)$ . Let  $M \prec \mathcal{H}(\kappa)$  be s.t.  $\mathfrak{A} \in M$ ,  $\omega_1 \subseteq M$ , and  $|M| = \aleph_1$ .
- ▶ Let  $B := A \cap M$  and  $\mathfrak{B} := \mathfrak{A} \upharpoonright B$ .  
Then  $\mathfrak{B}$  is of cardinality  $< \aleph_2$  and  $\mathfrak{B} \prec_{L(Q)} \mathfrak{A}$ .  $\square$  (Theorem 2.)

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