

*Scientific study advancements: Analysing Japanese historical materials using archaeobotany and digital humanities*

Ayako Shibutani, Historiographical Institute, the University of Tokyo, Japan

---

Over the last two decades, the study of starch grain assemblages in archaeological contexts has become progressively widespread across East Asia (Shibutani, 2017b). Similarly in Japanese archaeology, extensive starch data has been accumulated from stone artefacts (e.g. Kamijo, 2013; Kobayashi & Kamijo, 2012; Shibutani, 2017b, 2018; Shibutani, Aono, & Nagaya, 2015; Yamamoto, Shibutani, & Kamijo, 2016), pottery residues (Shibutani, 2007, 2014, 2017a; Shoda, Matsutani, Kunikita, & Shibutani, 2011; Yamamoto et al., 2016), wooden materials (Kamijo, 2014), soil sediments (Aono, Shibutani, Soeda, & Nagaya, 2021), and human and animal dental calculus (Shimono & Takenaka, 2014; Yamazaki, Shibutani, & Yoneda, 2021). These data have mainly been emphasis placed on determination of the tool functions, identification of processed plants and field crops, and reconstruction of the past plant foods and dietary habits. Such microbotanical methods are now applied to analyse paper components and mixtures of Japanese historical materials.

In Japan, papers used for historical documents and diaries have mainly been studied in palaeography, history, and the conservation of cultural property (Shibutani, 2019a). These studies have focused on ancient and medieval materials, but in recent years there have been promoted the classification of materials through microscopic observations and the analysis of early-modern documents (Shibutani, 2020). Any surveys of Japanese historical materials are essentially non-destructive, and their papers are observed with the transmitted and reflected lights of microscopes, mostly with 100 times magnification (e.g. Okawa, 2017; Tomita, 2013, 2014, 2016; Yuyama, 2010, 2017). These microscopic observations aim to examine morphological features such as thickness and density of the fibres, the existence and quantity of

additives such as rice powder and kaolin, and the conditions of other materials such as plant parenchyma. Based on these results, preliminary studies explore the past methods of making and using papers, binding techniques, the classification of paper types, recognising the two sides of paper, and analysing other materials such as sumi ink and vermilion (Shibutani, 2019a; Shibutani & Goto, 2019; Shibutani & Kojima, 2018). In addition, USB digital cameras for microscopy and lenses of megapixel cameras have been upgraded, and researchers and analysts can easily gain distortion-free and high-definition images (Shibutani & Goto, 2020). Thus, scientific studies of historical paper-based materials have rapidly developed using sophisticated analytical approaches (e.g. Enomae, 2012; Laboratory, 2021; Sakamoto, 2018; Sakamoto & Okada, 2017; Shibutani, Nomura, Takashima, Amano, & Yamada, 2021), and the diverse scientific information in historical materials has been accumulated in greater depth.

While the accumulation of scientific analysis of historical materials is progressing, previous studies have pointed out that different researchers use different methods to acquire data and different data items to be analysed, and that there is no objectivity in the respective identification criteria. It is difficult to share the research data generated and accumulated among researchers and analysts (Shibutani, 2020; Shibutani & Goto, 2020). Some of the analytical data have been published in papers and other publications, or have been attempted to be made available on websites through databases or as data sets. However, this is only a partial and superficial presentation of the data. Some researchers have examined numerous historical paper-based materials, and others do not have easy access to numerical data that can be used in comparison processes (Shibutani, 2020). Furthermore, the preliminary morphological analyses are conducted simply with a visual examination by ‘specialised’ researchers with no experience in scientific approaches (Shibutani, 2019b, 2020). Without resolving this current situation, it will be difficult to conduct comprehensive and exhaustive searches or to acquire research data that can be presented in relation to the historical materials themselves.

The author has organised an interdisciplinary research group in cultural property science, plant breeding, history, digital humanities, and archaeology, especially has applied archaeobotanical and other scientific methodologies to conduct comprehensive scientific studies of historical materials. Our group is conducting the international standardisation of historical material studies with the following three axes: 1) Standardising scientific methods of historical materials; 2) Constructing a research data sharing management system, and 3) Advancing a scientific research community using the data sharing management system (Shibutani, 2020; Shibutani et al., 2021). Why should we promote scientific studies of historical materials? The reason is that there is a need for capturing data provenance and diversity while promoting attribution and acknowledgement of its use. This paper’s position is that archaeobotanical

and digital humanities' applications can solve the technological and sociological challenges inhibiting global open access and data provenance of analytical results in historical studies.

Establishing scientific research methods for historical materials, and integrating, sharing, and standardising large amounts of diverse analytical data will not only ensure the reproducibility and objectivity of analysis results, but will also enable to share and to use the previous research data. It is needed to set up analysis items and identification criteria that focus on the acquisition of objective data containing numerical values, and to establish an analysis process (Shibutani, 2020; Shibutani et al., 2021). Based on archaeobotanical techniques, we first established the basic data items for scientific analyses containing their botanical characteristics. They propose to identify the types of paper components taxonomically and to measure their quantity and density based on the numerical data in previous studies. Also, for examining the transition in the past paper-making methods, our data items emphasise starch grains as additives and their taxonomic identification together with the presence or absence of minerals and glues. By sharing these criteria into a research group and among researchers, it is enabling to identify issues in each analysis process of historical materials and to examine them from multiple perspectives.

The next challenge is how to share the generated and accumulated analysis data among researchers and how to promote multi-faceted examination. It is important to standardise the scientific information of various historical materials internationally and to use it widely throughout historical research (Shibutani et al., 2022). Especially image data are rich data files because they present various parameters in a multidimensional space and are acquire using complex microscopy instruments. The real benefit of the easy sharing and reuse of digital data is that they aid data provenance and reproducibility of results. With more openly accessible resource data, researchers can enhance and accelerate scientific advances in history. By applying Linked Open Data, digital object identifiers, and other systems such as institutional repositories, our research data can be resource data that can be valued and shared around the world (Shibutani & Goto, 2020; Shibutani et al., 2022).

Our current project is to develop an image data management tool for open science in history. It manages the multi-layered information of historical materials. Users of this application can preserve any resource data, easily update the content, and perform the easiest and fastest image annotation. Multiple labels on an image are useful to specify the contextual similarity for image retrieval. By generating a similarity matrix between labels, systematic network linking with other analysis data can be done more effectively, and researchers can visualise each process to improve the reproducibility of analysis data. The application can also be operated both online and offline. Image metadata can be edited easily and comfortably. Just like a notes application, users can easily write notes during and after an investigation.

Our application seeks to create a research process improvement by linking it to information infrastructure, and thus we can accelerate digital transformation (DX) in historical studies.

Together with introducing the current research status about Japanese historical paper-based materials, this paper presented that scientific approach to historical materials with transcending the boundaries of the humanities and natural sciences can produce the perspective of comprehensive and multifaceted research. Our project continues to expand and build up case studies, and promotes the sharing and opening scientific research data for contributing to open science in history.

## Acknowledgements

This study was supported by JSPS KAKENHI (Grant Numbers JP19H00549, JP20H00010, and JP20G05817). I am grateful to all the project members, other researchers, and institutions for their help at various stages.

## References

- Aono, T., Shibutani, A., Soeda, Y., & Nagaya, Y. (2021). Morphological analyses of crop remains to identify Ainu farming systems. *Scientific Studies on Cultural Properties*, 82, 1-20. (in Japanese with English abstract)
- Enomae, T. (2012). Digitization of microscopic images of historical papers used for Medieval Japan documents and analysis of non-fibre mixtures. In Historiographical Institute, the University of Tokyo (Ed.), *Nihonshi shiro kyodo kenkyu no aratana tenkai yokosyu (New perspectives for collaborative study of Japanese historical documents, Abstract book)* (pp. 55-57). Tokyo: Historiographical Institute, the University of Tokyo. (in Japanese)
- Kamijo, N. (2013). Analyses of use-wears and starch residues on stone slabs found from the Takamigaoka sites. In N. Takeuchi & T. Watanabe (Eds.), *Excavation report of the Takamigaoka sites* (pp. 1070-1073). Iwata: Iwata City Educational Board. (in Japanese)
- Kamijo, N. (2014). Mortar, pestle, tub, pounder of Ainu: from observations of morphological features and usewears with starch residue analyses (*Ainu minzoku no tateusu to tategine, oke, tegine: keitai siyokon kansatsu to zanzon denpuntsubu bunseki kara*). In H. Takakura (Ed.), *Higashi Asia Kobunka Ronko 1* (pp. 82-100). Fukuoka: Chugoku Shoten. (in Japanese)

- Kobayashi, Y., & Kamijo, N. (2012). Starch residue analysis of stone tools. In T. Sekine & N. Kamijo (Eds.), *Studies on the Kamegaoka culture in the Shimokita peninsula* (Vol. 2-text section 2, pp. 30-33). Hirosaki city: Department of Japanese Archaeology, Graduate School of Humanities, Hirosaki University and the Research Center for Kamegaoka Culture, Hirosaki University. (in Japanese)
- Jissen Women's Educational Institute Bungei Material Laboratory. (Ed.) (2021). *Kami no lens kara mita kotenseki: Koseisai digital microscope no sekai (Historical documents seen through the lens of paper: The world of high-resolution digital microscope)*: Jissen Women's Educational Institute Bungei Material Laboratory. (in Japanese)
- Okawa, A. (2017). Monjokami no seni sosei oyobi tenryo no kansatsu (Observation of fiber components of historical document papers and additives). In K. Yuyama (Ed.), *Komonjo ryoshi ronso* (pp. 747-762). Tokyo: Bensei Publishing Inc. (in Japanese)
- Sakamoto, S. (2018). On the existence of ragpaper in Dunhuang Documents. *Archaeology and Natural Science*, 75, 75-82. (in Japanese with English abstract)
- Sakamoto, S., & Okada, Y. (2017). Analysis of particles on the paper of Dunhuang documents. *Archaeology and Natural Science*, 72, 63-75. (in Japanese with English abstract)
- Shibutani, A. (2007). Starch residues on grinding slabs and cooking potteries from the Tsukuba, Saraokayama, and Miyakenishi sites in Jomon age. *Cultura Antiqua*, 59 (2), 116-126. (in Japanese)
- Shibutani, A. (2014). Starch residues of plant remains on Jomon pottery and stone tools from the Shimo-yakebe site, Tokyo, Japan, and their implications for plant utilization. *Bulletin of the National Museum of Japanese History*, 187, 357-386. doi:<http://doi.org/10.15024/00000296> (in Japanese)
- Shibutani, A. (2017a). Scientific analysis of research materials: Residues with pottery and ethnographic materials. In N. M. o. J. History (Ed.), *Challenge for "Integrated Studies of Cultural and Research Resources"* (pp. 136-154). Tokyo: Yoshikawa Kobunkan. (in Japanese)
- Shibutani, A. (2017b). What did Jomon people consume for starchy food? A review of the current studies on archaeological starch grains in Japan. *Japanese Journal of Archaeology*, 5 (1), 3-25. Retrieved from [http://www.jjarchaeology.jp/contents/pdf/vol005/5-1\\_003.pdf](http://www.jjarchaeology.jp/contents/pdf/vol005/5-1_003.pdf)

- Shibutani, A. (2018). Methods of starch residue analysis of stone tools and research states in Hokkaido and northern Tohoku areas. In T. Aono & Y. Nagaya (Eds.), *Basic study of Jomon culture on the coast of Funkawan, Hokkaido* (pp. 117-120). Date City, Hokkaido: Date City Institute of Funkawan Culture. (in Japanese)
- Shibutani, A. (2019a). Developing a methodology of mixture analysis to determine the origins of Japanese historical papers. In National Museum of Japanese History (Ed.), *Integrated Studies of Cultural and Research Resources*. Michigan, US: fulcrum, University of Michigan Library. <https://hdl.handle.net/2027/fulcrum.zc77sr415>
- Shibutani, A. (2019b). Toward establishment of “International Research for Historical Paper Materials”. In A. Shibutani (Ed.), *International Study of Historical Paper Materials: New Perspectives for Studies of Historical Materials* (pp. 2-5). Tokyo. (in Japanese)
- Shibutani, A. (2020). Integrated studies of historical resources using archaeological and botanical methods: Perspectives of establishing “International Study of Historical Paper Materials”. *Cultura Antiqua*, 72 (1), 82-89. (in Japanese)
- Shibutani, A., Aono, T., & Nagaya, Y. (2015). Examination of contaminated materials in starch residue analysis: Focusing on the Kitakogane Shell Mounds in Date city, Hokkaido. *Bulletin of the National Museum of Japanese History*, 195, 1-32. doi:<http://doi.org/10.15024/00002224> (in Japanese)
- Shibutani, A., & Goto, M. (2019). *Constructing A New Science Framework In Japanese Historical Studies Through Digital Infrastructure*. Paper presented at the Digital Humanities 2019 (DH2019), TivoliVredenburg, Utrecht, Netherlands. <https://dh2019.adho.org/posters/>
- Shibutani, A., & Goto, M. (2020). *How Do Research Data Develop? International Standardisation of Scientific Data in Historical Studies*. Paper presented at the Digital Humanities 2020 (DH2020), Online. [https://dh2020.adho.org/wp-content/uploads/2020/07/116\\_HowDoResearchDataDevelopInternationalStandardisationofScientificDataInHistoricalStudies.html](https://dh2020.adho.org/wp-content/uploads/2020/07/116_HowDoResearchDataDevelopInternationalStandardisationofScientificDataInHistoricalStudies.html)
- Shibutani, A., & Kojima, M. (2018). An approach to scientific analysis of Japanese historical papers by microscopic observations: Analysing Japanese historical documents multidirectionally 3. In National Museum of Japanese History (Ed.), *Historical Studies and “Inte-*

- grated Studies of Cultural and Research Resources*” (pp. 98-120). Tokyo: Yoshikawa Kobunkan. (in Japanese)
- Shibutani, A., Nomura, T., Takashima, A., Amano, M., & Yamada, T. (2021). Component Analysis of Historical Paper Materials at the Matsunoo Taisha Shrine Using Archaeological and Botanical Methodologies. *Tokyo Daigaku Shiryo Hensan-jo Kenkyu Kiyō*, 31, 59-74. Supplement materials from [https://github.com/ashibuta/HI-kiyo\\_matsunoo2020](https://github.com/ashibuta/HI-kiyo_matsunoo2020). git (in Japanese)
- Shibutani, A., Takashima, A., Amano, M., Nomura, T., Yamada, T., Hatayama, S., Kosem G., Onoe, Y. (2022). Scientific Research on the Paper Used for Historical Documents: Case Studies from the Collections of the Yōmei Bunko and the Miyakonojo Shimazu Family. *Tokyo Daigaku Shiryo Hensan-jo Kenkyu Kiyō*, 32, 1-22. (in Japanese)
- Shimono, M., & Takenaka, M. (2014). Starch granules in dental calculus of human skeletal remains from Shimauchi Tombs with underground corridor-style burial chambers. *Bulletin of Kagoshima Women's College*, 49, 1-4. (in Japanese)
- Shoda, S., Matsutani, A., Kunikita, D., & Shibutani, A. (2011). Multi-analytical approach to the origin of charred remains on Yayoi pottery from the Joto site, Okayama. *Japanese Journal of Historical Botany*, 20 (1), 41-52. (in Japanese with English abstract)
- Tomita, M. (2013). Nihon ni okeru monjo ryoshi no gaikan (Overview of historical papers in Japan). In National Museum of Japanese History of Inter-university Research Institute Corporation, National Institutes for the Humanities (Ed.), *Special Exhibition Documents from Medieval Japan: Functions and Styles* (pp. 206-210). Sakura: National Museum of Japanese History of Inter-university Research Institute Corporation, National Institutes for the Humanities. (in Japanese)
- Tomita, M. (2014). Chusei monjo no ryoshi keitai no rekishi teki hensen wo kangaeru (Considering historical transition of paper morphotypes of Medieval documents). *Sogoshi Rekishaku*, 184, 15-19. (in Japanese)
- Tomita, M. (2016). Bunkenshiryo kara mita chusei monjo ryoshi no taikai to hensen: Danshi to kowa-suibara. *Komonjo kenkyu*, 80, 40-73. (in Japanese)
- Yamamoto, N., Shibutani, A., & Kamijo, N. (2016). Plant food in the Jomon Period from starch residues on deep bowls, stone pestles and mortars: The scope of case studies in Ishikawa Prefecture, Japan. *The Journal of the Faculty of Letters, Nagoya University*, 62,

51-82. doi:<http://doi.org/10.18999/jouflh.62.51> (in Japanese)

Yamazaki, K., Shibutani, A., & Yoneda, M. (2021). Starch grains from dental calculus of *Sus scrofa* in the Jomon and Kofun-Heian periods on the Izu Islands, Tokyo. *Zooarchaeology*, 38, 55-60. (in Japanese)

Yuyama, K. i. (2010). Papermaking processes described in the Engi Shiki -A theoretical note on ancient document papers. *Bulletin of Office of the Shosoin Treasure House*, 32, 72-84. (in Japanese)

Yuyama, K. i. (2017). Wagakuni ni okeru ryoshi no rekishi ni tsuite: “Ryoshi no hensen hyo” oboe-gaki (About history of document papers in Japan: Short note of a table of historical paper transitions). In K. Yuyama (Ed.), *Komonjo ryoshi ronso* (pp. 3-38). Tokyo: Bensei Publishing Inc. (in Japanese)